

SOLE SOURCE

Procurements Report



Fiscal Year 2021
August 1, 2021

Chief Procurement Office for Public
Institutions of Higher Education
State of Illinois



EXECUTIVE SUMMARY

The CPO for Higher Education (CPO-HE) works with the State's public universities and the Illinois Math and Science Academy (IMSA) to meet their procurement needs free of undue influence through independent authority, oversight and approval. The CPO-HE further works with the universities and IMSA to continually improve procurement policies and to establish best practices that recognize the specific needs of the universities.

The Illinois Procurement Code (30 ILCS 500/20-25) requires that each Chief Procurement Officer issue a report on sole source contracts on a fiscal year basis. This report is for FY21 (July 1, 2020 – June 30, 2021) and is provided to the Governor, General Assembly, and published to the Chief Procurement Officer's website.

Most of the approximately \$10 billion in procurements conducted by the agencies and universities go through one of the competitive methods of source selection. However, the Code does allow contracting without formal competition when there is only one, or only one economically feasible, source for the needed supply or service. The universities are required to submit substantial justification and work with the State Purchasing Officer to determine whether a particular need is appropriate for sole source treatment. If approved for this method, the Chief Procurement Office provides a 14-day public notice of intent to contract on a sole source basis and an opportunity for any interested party to request a public hearing. This process allows any vendor to dispute the sole source declaration and provide evidence to that effect. Any member of the public may also request a hearing to voice concerns with the intent to enter into a sole source contract.

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Illinois Procurement Gateway: <https://ipg.vendorreg.com>
Pathway to Procurement: www.illinois.gov/cpo/PathwayToProcurement**

STATISTICAL OVERVIEW – FY2021

Total Value of Sole Source Procurements:	\$219,070,038.36
Total Number of Sole Source Transactions**:	151
Average Value of Sole Source Transactions:	\$1,450,794.96
Total Institutions Awarding Sole Source Contracts:	15
Total Number of Hearings Held:	12

PUBLIC HEARINGS – FY2021

During FY21, 12 public hearings were conducted compared to 8 hearings in FY20 and 2 hearings in FY19.

BY PROCURING INSTITUTION – FY2021

FY21 Sole Source Transactions by Procuring Institution**	# Transactions	\$ Amount
Chicago State University	4	\$ 4,958,442.22
Eastern Illinois University	5	\$ 672,911.71
Governors State University	3	\$ 1,710,000.00
Illinois Math and Science Academy	0	\$ -
Illinois State University	7	\$ 2,018,785.00
Northeastern Illinois University	2	\$ 964,440.00
Northern Illinois University	7	\$ 4,655,479.01
Southern Illinois University - Carbondale	9	\$ 6,456,000.00
Southern Illinois University - Edwardsville	1	\$ 176,625.00
Southern Illinois University - Medical	3	\$ 1,687,941.00
University of Illinois Chicago	39	\$ 66,751,600.79
University of Illinois Springfield	1	\$ 90,000.00
University of Illinois Urbana-Champaign	55	\$ 20,498,740.41
Western Illinois University	2	\$ 238,449.10
University of Illinois System	1	\$ 190,624.12
Illinois Public Higher Education Cooperative*	12	\$ 108,000,000.00
TOTAL:	151	\$ 219,070,038.36

*IPHEC conducts procurements on behalf of all the public universities.

** Includes awards and change orders that had a cost associated with them, but excludes renewals, which are shown separately below

SOLE SOURCE RENEWALS – FY2021

FY21 Sole Source Renewals by Procuring Institution***	# Renewals	\$ Amount
Chicago State University	0	\$ -
Eastern Illinois University	0	\$ -
Governors State University	0	\$ -
Illinois Math and Science Academy	0	\$ -
Illinois State University	1	\$ 685,000.00
Northeastern Illinois University	0	\$ -
Northern Illinois University	4	\$ 1,259,698.00
Southern Illinois University - Carbondale	1	\$ 76,194.00
Southern Illinois University - Edwardsville	1	\$ 191,646.00
Southern Illinois University - Medical	0	\$ -
University of Illinois Chicago	20	\$ 8,774,665.75
University of Illinois Springfield	1	\$ 62,000.00
University of Illinois Urbana-Champaign	32	\$ 13,426,083.00
Western Illinois University	0	\$ -
University of Illinois System	1	\$ 390,000.00
Illinois Public Higher Education Cooperative	0	\$ -
TOTAL:	61	\$ 24,865,286.75

***Includes all renewals executed within FY21 regardless of the fiscal year of the original award

SOLE SOURCE BY JUSTIFICATION TYPE – FY2021

Section 4.2025 of the Administrative Rules for the CPO-HE lists circumstances that could necessitate a sole source procurement, including but not limited to those listed below. Here we show the number of transactions conducted using each of the justification types.

Rule Citation	Justification Description	# Trans.	\$ Value	% of Total
4.2025(b)	Critical changes to existing contract best made by existing contract holder	4	\$ 1,576,902.20	0.72%
4.2025(b)	Organizational Memberships	2	\$ 2,850,000.00	1.30%
4.2025(b)	Software license, upgrades, or maintenance	27	\$ 30,895,016.64	14.10%
4.2025(b)(1)	Compatibility of equipment, accessories, parts	41	\$ 19,945,406.24	9.10%
4.2025(b)(2)	Items needed for trial use or testing	0	0	0
4.2025(b)(3)	Item is for commercial resale	6	\$ 3,376,625.00	1.54%

Sole Source Transactions

4.2025(b)(4)	Noncompetitive public utility services	9	\$ 47,055,927.00	21.48%
4.2025(b)(5)	Copyrighted or patented	21	\$ 98,069,910.87	44.77%
4.2025(b)(6)	Media for advertising	1	\$ 250,000.00	0.11%
4.2025(b)(7)	Art, entertainment services or athletic events	2	\$ 384,766.40	0.18%
4.2025(b)(8)	Radio and television broadcast rights	1	\$ 526,633.17	0.24%
4.2025(b)(9)	Activities mandated by organizations of which university is a member	0	0	0
4.2025(b)(10)	Federal or state grant requires a specific vendor	0	0	0
4.2025(b)(11)	Required by existing franchise agreement	7	\$ 3,120,000.00	1.42%
4.2025(b)(12)	Required for research	23	\$ 9,103,443.74	4.16%
4.2025(b)(13)	New, latest edition textbooks			
4.2025(b)	Other	7	\$ 1,915,407.10	0.87%
	TOTAL	151	\$ 219,070,038.36	100%

SOLE SOURCE HISTORICAL STATISTICS

In Fiscal Year 2021, the Chief Procurement Officer for Public Institutions of Higher Education awarded 151 sole source procurements, valued at \$219,070,038.36. This is a 26% reduction in the value of sole source transactions over the previous year. This can be attributed in part to the ongoing concerns regarding the COVID-19 pandemic, the reinstatement of the 1-13 exemptions to the Illinois Procurement Code in the fall of 2017, and the additional scrutiny on these transactions.

Three Year Historical Overview	FY2021	FY2020	FY2019
# Institutions Awarding Sole Source Contracts	15	15	13
Total Dollar Value of Sole Source Contracts	\$219,070,038.36	\$296,684,182	\$368,343,901
Total Number of Sole Source Transactions	151	188	166
Average Dollar Value of Sole Source Transactions	\$1,450,794.96	\$1,578,107	\$2,218,939

Sole Source by Procuring Institution	\$ Trans. FY21	# Trans. FY21	\$ Trans. FY20	# Trans. FY20	\$ Trans. FY19	# Trans. FY19
CSU	\$4,958,442.22	4	\$ 718,764	2	\$ 892,769	1
EIU	\$672,911.71	5	\$ 352,008	1	\$ 3,699,801	5
GSU	\$1,710,000.00	3	\$ 2,398,360	2	\$ 0	0
IMSA	\$ -	0	\$ 0	0	\$ 0	0
IPHEC	\$108,000,000.00	12	\$ 180,060,100	17	\$ 303,450,000	44

Sole Source Transactions

ISU	\$2,018,785.00	7	\$ 1,757,000	7	\$ 3,950,000	11
NEIU	\$964,440.00	2	\$ 188,641	1	\$ 0	0
NIU	\$4,655,479.01	7	\$ 3,121,920	5	\$ 1,464,390	6
SIUC	\$6,456,000.00	9	\$ 3,014,369	14	\$ 6,458,130	10
SIUE	\$176,625.00	1	\$ 4,691,646	4	\$ 10,203,798	6
SIU Med	\$1,687,941.00	3	\$ 1,200,000	2	\$ 430,909	1
UIC	\$66,751,600.79	39	\$ 31,427,733	33	\$ 17,645,763	26
UIS	\$90,000.00	1	\$ 62,000	1	\$ 285,500	3
UIUC	\$20,498,740.41	55	\$ 52,084,273	87	\$ 18,042,088	47
UI System	\$190,624.12	1	\$ 12,649,666	10	\$ 1,001,981	4
WIU	\$238,449.10	2	\$ 2,957,701	2	\$ 818,771	2
TOTAL:	\$219,070,038.36	151	\$ 296,684,182	188	\$ 368,343,901	166

To view Sole Source Reports from previous years, click on the image below:

FY2020:



FY2019:



FY2018:



FY2017:



FY2016:

