

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
FEDERAL SINGLE AUDIT

(In Accordance with the Single Audit Act
and Applicable Federal Regulations)

For the Year Ended June 30, 2022

Performed as Special Assistant Auditors
for the Auditor General, State of Illinois

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
FEDERAL SINGLE AUDIT

(In Accordance with the Single Audit Act
and Applicable Federal Regulations)

For the Year Ended June 30, 2022

TABLE OF CONTENTS

| | <u>Page</u> |
|---|--------------------|
| University Officials | 1 |
| Compliance Report | |
| Summary | 3 |
| Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with <i>Government Auditing Standards</i> | 6 |
| Independent Auditor's Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance, and the Schedule of Federal and Nonfederal Financial Activity | 9 |
| Schedule of Findings and Questioned Costs | |
| Summary of Auditor's Results | 14 |
| Current Finding – <i>Government Auditing Standards</i> | 15 |
| Current Findings – Federal Compliance and Questioned Costs | 19 |
| Prior Findings Not Repeated | 30 |
| Supplementary Information | |
| Schedule of Expenditures of Federal Awards | 31 |
| Notes to the Schedule of Expenditures of Federal Awards | 52 |
| Schedule of Federal and Nonfederal Financial Activity | 54 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
FEDERAL SINGLE AUDIT

(In Accordance with the Single Audit Act
and Applicable Federal Regulations)

For the Year Ended June 30, 2022

TABLE OF CONTENTS
(Continued)

Other Reports Issued Under a Separate Cover

Southern Illinois University's financial statements and *State Compliance Examination* for the year ended June 30, 2022 are issued under separate covers. Additionally, in accordance with *Government Auditing Standards*, we have issued the *Report Required Under Government Auditing Standards* for the year ended June 30, 2022, on our consideration of the University's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, grant agreements, and other matters, under a separate cover. The purpose of this report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the University's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of the audit.

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
FEDERAL SINGLE AUDIT
For the Year Ended June 30, 2022

UNIVERSITY OFFICIALS

| | |
|------------------------------------|----------------------------------|
| President | Dr. Daniel Mahony |
| Fiscal Officer | Duane Stucky |
| General Counsel | Lucas Crater |
| Executive Director, Internal Audit | Kimberly Labonte |
| SIUC Chancellor | Austin Lane |
| SIUE Chancellor | Randall Pembrook (until 2/28/22) |
| SIUE Chancellor | James Minor (eff. 3/1/22) |

BOARD OFFICERS

| | |
|------------------------|-----------------------------------|
| Board Chair | J. Phil Gilbert |
| Board Vice Chair | Ed Hightower |
| Board Secretary | Roger Tedrick (until 2/10/22) |
| Board Secretary | Subhash Sharma (eff. 2/10/22) |
| Secretary to the Board | Misty Whittington (until 8/31/22) |
| Secretary to the Board | Paula Keith (eff. 9/1/22) |

GOVERNING BOARD MEMBERS

| | |
|-------------------------------------|-----------------|
| Trustee | Edgar Curtis |
| Trustee (3/15/21 to 8/13/21) | Tonya Genovese |
| Trustee | J. Phil Gilbert |
| Trustee | Ed Hightower |
| Trustee | Sara Salger |
| Trustee | Subhash Sharma |
| Trustee | John Simmons |
| Trustee | Roger Tedrick |
| Student Trustee (7/1/22 to present) | Dorcas Brou |
| Student Trustee (7/1/22 to present) | Hailee O'Dell |
| Student Trustee (7/1/21 to 6/30/22) | Shaylee Clinton |
| Student Trustee (7/1/21 to 6/30/22) | Maddie Walters |

EX OFFICIO MEMBER

Superintendent of Public Instruction
(Eliminated legislatively on August 13, 2021)

| | |
|----------------------|---------------------|
| State Superintendent | Dr. Carmen I. Ayala |
|----------------------|---------------------|

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
FEDERAL SINGLE AUDIT
For the Year Ended June 30, 2022

BOARD OFFICES

The Agency's primary administrative offices are located at:

Southern Illinois University Carbondale
1263 Lincoln Dr.
Carbondale, Illinois 62901

Southern Illinois University Edwardsville
1 Hairpin Dr.
Edwardsville, Illinois 62025

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
FEDERAL SINGLE AUDIT
In Accordance with the Single Audit Act and Applicable Federal Regulations
For the Year Ended June 30, 2022

FEDERAL COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this audit of the Southern Illinois University (University) was conducted in accordance with the Single Audit Act, Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Guidance Requirements, Cost Principals, and Audit Requirements for Federal Awards (Uniform Guidance)*, and *Government Auditing Standards*.

AUDITOR'S REPORT

The Independent Auditor's Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Schedule of Expenditures of Federal Awards, and the Schedule of Federal and Nonfederal Activity does not contain scope limitations, disclaimers, or other significant non-standard language but does identify a material weakness over internal control over financial reporting.

SUMMARY OF FINDINGS

| Number of | <u>Current</u> | <u>Prior Reports</u> |
|---|-----------------------|-----------------------------|
| | <u>Report</u> | |
| Findings | 8 | 5 |
| Repeated Findings | 3 | 5 |
| Prior Recommendations Implemented or Not Repeated | 2 | 5 |

SCHEDULE OF FINDINGS

| <u>Item No.</u> | <u>Page</u> | <u>Last/First Reported</u> | <u>Description</u> | <u>Finding Type</u> |
|---|--------------------|-----------------------------------|---|-------------------------------------|
| Current Findings – Government Auditing Standards | | | | |
| 2022-001 | 15 | 2021/2020 | Inadequate Internal Controls over Census Data | Material Weakness and Noncompliance |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
FEDERAL SINGLE AUDIT
In Accordance with the Single Audit Act and Applicable Federal Regulations
For the Year Ended June 30, 2022

SCHEDULE OF FINDINGS (CONTINUED)

| <u>Item No.</u> | <u>Page</u> | <u>Last/First Reported</u> | <u>Description</u> | <u>Finding Type</u> |
|--|-------------|----------------------------|---|--|
| Current Findings – Federal Compliance | | | | |
| 2022-002 | 19 | 2021/2018 | Inadequate Procedures for Ensuring Compliance with Earmarking Requirements for the Student Support Services Program | Significant Deficiency and Noncompliance |
| 2022-003 | 21 | N/A | Sliding Fee Discount Not Applied to All Eligible Patients | Significant Deficiency and Noncompliance |
| 2022-004 | 22 | N/A | Insufficient Controls over Review and Approval of Cash Drawdowns | Significant Deficiency and Noncompliance |
| 2022-005 | 23 | N/A | Inadequate Procedures for Ensuring Retention of Eligibility Documentation for the Upward Bound Program | Significant Deficiency and Noncompliance |
| 2022-006 | 25 | N/A | Inaccurate Reporting of Student Verification Status | Significant Deficiency and Noncompliance |
| 2022-007 | 26 | 2021/2017 | Exit Counseling Not Completed | Significant Deficiency and Noncompliance |
| 2022-008 | 28 | N/A | Procurement Requirements Not Followed | Significant Deficiency and Noncompliance |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
FEDERAL SINGLE AUDIT
In Accordance with the Single Audit Act and Applicable Federal Regulations
For the Year Ended June 30, 2022

Prior Findings Not Repeated

| | | | |
|---|----|-----------|--|
| A | 30 | 2021/2019 | Information Technology Risk Assessment Not Performed |
| B | 30 | 2021/2020 | Return of Title IV Aid |

EXIT CONFERENCE

The University waived an exit conference in a correspondence from Kim Labonte, Executive Director, Internal Audit, on February 26, 2023. The responses to the recommendations were provided by Kim Labonte, Executive Director, Internal Audit, in a correspondence dated February 26, 2023.

**INDEPENDENT AUDITOR'S REPORT
ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE
AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

Honorable Frank J. Mautino
Auditor General
State of Illinois

and

Board of Trustees
Southern Illinois University

As Special Assistant Auditors for the Auditor General, we have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, financial statements of the business-type activities, fiduciary activities, and the aggregate discretely presented component units of Southern Illinois University (the "University"), collectively a component unit of the State of Illinois, as of and for the year ended June 30, 2022 and the related notes to the financial statements, which collectively comprise the University's basic financial statements and we have issued our report thereon dated March 2, 2023. Our report includes a reference to other auditors who audited the financial statements of the Alumni Association of Southern Illinois University Edwardsville, the Southern Illinois University Edwardsville Foundation, University Park Southern Illinois University at Edwardsville, Inc., Southern Illinois Research Park, Inc. Carbondale, SIU Physicians & Surgeons, Inc., the SIUE East St. Louis Charter School, and the SIU Dental Associates, Southern Illinois University at Edwardsville as described in our report on the University's financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors. In addition, the financial statements of the Southern Illinois University Foundation (at Carbondale) and Association of Alumni, Former Students and Friends of Southern Illinois University, Inc. (at Carbondale) were not audited in accordance with *Government Auditing Standards* and, accordingly, this report does not include reporting on internal control over financial reporting or compliance and other matters associated with the Southern Illinois University Foundation (at Carbondale) and Association of Alumni, Former Students and Friends of Southern Illinois University, Inc. (at Carbondale) or that are reported on separately by those auditors who audited the financial statements of the Southern Illinois University Foundation (at Carbondale) and Association of Alumni, Former Students and Friends of Southern Illinois University, Inc. (at Carbondale).

Honorable Frank J. Mautino
Auditor General
State of Illinois

and

Board of Trustees
Southern Illinois University

Report on Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the University's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We identified a certain deficiency in internal control, described in the accompanying Schedule of Findings as item 2022-001, that we consider to be a material weakness.

Report on Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed an instance of noncompliance or other matters that is required to be reported under *Government Auditing Standards* and which is described in the accompanying Schedule of Findings as item 2022-001.

Honorable Frank J. Mautino
Auditor General
State of Illinois

and

Board of Trustees
Southern Illinois University

The University's Response to the Findings

Government Auditing Standards requires the auditor to perform limited procedures on the University's response to the findings identified in our audit and described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the other auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on the response.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Original Signature on File

Plante & Moran, PLLC

Portage, MI

March 2, 2023

**Independent Auditor's
Report on Compliance for Each Major Federal Program;
Report on Internal Control Over Compliance;
Report on the Schedule of Expenditures of Federal Awards
Required by the Uniform Guidance; and
Report on the Schedule of Federal and Nonfederal Financial Activity**

Honorable Frank J. Mautino
Auditor General
State of Illinois
and
Board of Trustees
Southern Illinois University

Report on Compliance for Each Major Federal Program

Opinion on Each Major Program

As Special Assistant Auditors for the Auditor General, we have audited compliance by Southern Illinois University (the "University") with the types of compliance requirements identified as subject to audit in the OMB *Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2022. The University's major federal programs are identified in the Summary of Auditor's Results section of the accompanying Schedule of Findings and Questioned Costs.

In our opinion, the University complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2022.

Basis for Opinion on Each Major Federal Program

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America (GAAS); the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States (*Government Auditing Standards*); and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Our responsibilities under those standards and the Uniform Guidance are further described in the Auditor's Responsibilities for the Audit of Compliance section of our report.

We are required to be independent of the University and to meet our other ethical responsibilities, in accordance with relevant ethical requirements relating to our audit. We believe that the audit

Honorable Frank J. Mautino
Auditor General
State of Illinois
and
Board of Trustees
Southern Illinois University

evidence we have obtained is sufficient and appropriate to provide a basis for our opinion on compliance for each major federal program. Our audit does not provide a legal determination of the University's compliance with the compliance requirements referred to above.

Other Matter – Federal Expenditures Not Included in the Compliance Audit

The University's basic financial statements include the operations of The Association of Alumni, Former Students and Friends of Southern Illinois University, Inc. (at Carbondale), The Alumni Association of Southern Illinois University Edwardsville, Southern Illinois University Foundation (at Carbondale), Southern Illinois University Edwardsville Foundation, University Park, Southern Illinois University at Edwardsville, Inc., Southern Illinois Research Park, Inc., Carbondale, SIU Physicians & Surgeons, Inc., the SIUE East St. Louis Charter School, and the SIU Dental Associates, Southern Illinois University at Edwardsville, which are not included in the University's Schedule of Expenditures of Federal Awards during the year ended June 30, 2022. Our compliance audit, described in the "Opinion on Each Major Federal Program," does not include the operations of the entities above because the component units engaged other auditors to perform an audit of their financial statements and, if necessary, an audit of compliance and we do not assume responsibility for, and accordingly make reference to, the audits of the component auditors in our Independent Auditor's Reports on the entities above.

Responsibilities of Management for Compliance

Management is responsible for compliance with the requirements referred to above and for the design, implementation, and maintenance of effective internal control over compliance with the requirements of laws, statutes, regulations, rules, and provisions of contracts or grant agreements applicable to the University's federal programs.

Auditor's Responsibilities for the Audit of Compliance

Our objectives are to obtain reasonable assurance about whether material noncompliance with the compliance requirements referred to above occurred, whether due to fraud or error, and express an opinion on the University's compliance based on our audit. Reasonable assurance is a high level of assurance but is not absolute assurance and, therefore, is not a guarantee that an audit conducted in accordance with GAAS, *Government Auditing Standards*, and the Uniform Guidance will always detect material noncompliance when it exists. The risk of not detecting material noncompliance resulting from fraud is higher than for that resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Noncompliance with the compliance requirements referred to above is considered material, if there is a substantial likelihood that, individually or in the aggregate, it would influence the judgment made by a reasonable user of the report on compliance about the University's compliance with the requirements of each major federal program as a whole.

Honorable Frank J. Mautino
Auditor General
State of Illinois
and
Board of Trustees
Southern Illinois University

In performing an audit in accordance with GAAS, *Government Auditing Standards*, and the Uniform Guidance, we:

- exercise professional judgment and maintain professional skepticism throughout the audit.
- identify and assess the risks of material noncompliance, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the University's compliance with the compliance requirements referred to above and performing such other procedures as we considered necessary in the circumstances.
- obtain an understanding of the University's internal control over compliance relevant to the audit in order to design audit procedures that are appropriate in the circumstances and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over compliance. Accordingly, no such opinion is expressed.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and any significant deficiencies and material weaknesses in internal control over compliance that we identified during the audit.

Other Matters

Instances of Noncompliance

The results of our auditing procedures disclosed instances of noncompliance which are required to be reported in accordance with the Uniform Guidance and which are described in the accompanying Schedule of Findings and Questioned Costs as items 2022-002; 2022-003; 2022-004; 2022-005, 2022-006, 2022-007; and 2022-008. Our opinion on each major federal program is not modified with respect to these matters.

Government Auditing Standards requires the auditor to perform limited procedures on the University's responses to the noncompliance findings identified in our audit described in the accompanying Schedule of Findings and Questioned Costs. The University's responses were not subjected to the other auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

Corrective Action Plan

The University is responsible for preparing a corrective action plan to address each audit finding included in our auditor's report. The University's corrective action plan was not subjected to the

Honorable Frank J. Mautino
Auditor General
State of Illinois
and
Board of Trustees
Southern Illinois University

auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on it.

Report on Internal Control Over Compliance

Our consideration of internal control over compliance was for the limited purpose described in the Auditor's Responsibilities for the Audit of Compliance section above and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies in internal control over compliance and, therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, as described below, we did identify certain deficiencies in internal control over compliance that we consider to be significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying Schedule of Findings and Questioned Costs as items: 2022-002; 2022-003; 2022-004; 2022-005; 2022-006; 2022-007; and 2022-008 to be significant deficiencies.

Our audit was not designed for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, no such opinion is expressed.

Internal Control Findings

Government Auditing Standards requires the auditor to perform limited procedures on the University's responses to the internal control over compliance findings identified in our audit described in the accompanying Schedule of Findings and Questioned Costs. The University's responses were not subjected to the other auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

Honorable Frank J. Mautino
Auditor General
State of Illinois
and
Board of Trustees
Southern Illinois University

Corrective Action Plan

The University is responsible for preparing a corrective action plan to address each audit finding included in our auditor's report. The University's corrective action plan was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on it.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance and the Schedule of Federal and Nonfederal Financial Activity

We have audited the financial statements of the business-type activities, fiduciary activities and the aggregate discretely presented component units of the University as of and for the year ended June 30, 2022, and the related notes to the financial statements, which collectively comprise the University's basic financial statements. We issued our report thereon, dated March 2, 2023, which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. In addition, the accompanying Schedule of Federal and Nonfederal Financial Activity is presented for the purposes of additional analysis and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements and certain additional procedures including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Schedule of Expenditures of Federal Awards and the Schedule of Federal and Nonfederal Financial Activity is fairly stated in all material respects in relation to the basic financial statements as a whole.

Original Signature on File

Plante & Moran, PLLC

Cincinnati, Ohio
March 2, 2023

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
SUMMARY OF AUDITOR'S RESULTS
For the Year Ended June 30, 2022

Financial Statements

Type of report the auditor issued on whether the financial statements audited were prepared in accordance with GAAP: Unmodified

Internal control over financial reporting:

- Material weakness identified? Yes No
- Significant deficiencies identified? Yes None Reported

Noncompliance material to the financial statements noted? Yes No

Federal Awards

Internal control over major federal programs:

- Material weakness(es) identified? Yes No
- Significant deficiency(ies) identified? Yes None Reported

Type of auditor's report issued on compliance for major federal programs: Unmodified

Any audit findings disclosed that are required to be reported in accordance with 2 C.F.R. § 200.516(a)? Yes No

Identification of major federal programs:

| Assistance Listing Number(s) | Name of Federal Program or Cluster |
|--|--|
| 84.033, 84.007, 84.063, 84.379, 84.038, 93.342, and 84.268 | Student Financial Assistance Cluster |
| 84.425E, 84.425F, and 84.425C | COVID-19: Education Stabilization Fund |
| 93.224 and 93.527 | Health Center Program Cluster |
| 84.042, 84.047, and 84.217 | TRIO Cluster |
| Various | Research and Development Cluster |

Dollar threshold used to distinguish between type A and type B programs: \$2,695,599

Auditee qualified as a low-risk auditee? Yes No

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF FINDINGS – GOVERNMENT AUDITING STANDARDS FINDINGS
For the Year Ended June 30, 2022

2022-001 Finding - Inadequate Internal Controls over Census Data

Southern Illinois University (University) did not have adequate internal control over reporting its census data and did not have a reconciliation process to provide assurance census data submitted to its pension and other postemployment benefits (OPEB) plans was complete and accurate.

Census data is demographic data (date of birth, gender, years of service, etc.) of the active, inactive, or retired members of a pension or OPEB plan. The accumulation of inactive or retired members' census data occurs before the current accumulation period of census data used in the plan's actuarial valuation (which eventually flows into each employer's financial statements), meaning the plan is solely responsible for establishing internal controls over these records and transmitting the data to the plan's actuary. In contrast, responsibility for active members' census data during the current accumulation period is split among the plan and each member's current employer(s). Initially, employers must accurately transmit census data elements of their employees to the plan. Then, the plan must record and retain these records for active employees and then transmit this census data to the plan's actuary.

We noted the University's employees are members of the State Universities Retirement System (SURS) for their pensions and the State Employees Group Insurance Program sponsored by the State of Illinois, Department of Central Management Services (CMS) for their OPEB. In addition, we noted these plans have characteristics of different types of pension and OPEB plans, including single employer plans and cost-sharing multiple- employer plans. Additionally, CMS' actuary uses census data for employees of the State's public universities provided by SURS, along with census data for the other participating members provided by the State's four other pensions plans, to prepare their projection of the liabilities of CMS' plan. Finally, SURS' actuary and CMS' actuary used census data transmitted by the University during Fiscal Year 2020 to project pension and OPEB-related balances and activity at the plans during Fiscal Year 2021, which is incorporated into the University's Fiscal Year 2022 financial statements.

During testing, we noted the following:

- The University had not performed an initial complete reconciliation of its census data recorded by SURS to its internal records to establish a base year of complete and accurate census data.
- After establishing a base year, the University had not developed a process to annually obtain from SURS the incremental changes recorded by SURS in their census data records and reconcile these changes back to the University's internal supporting records.
- During our cut-off testing of data transmitted by the University to SURS, we noted 117 instances of an active employee becoming inactive or part-time, 2 instances of an inactive employee becoming active, and 1 instance of an inactive employee becoming retired were reported to SURS after the close of the fiscal year in which the event occurred. There were also 2 instances previously reported that impacted the June 30, 2020 census data.

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF FINDINGS – GOVERNMENT AUDITING STANDARDS FINDINGS
For the Year Ended June 30, 2022

2022-001 Finding - Inadequate Internal Controls over Census Data (Continued)

- During our testing of instructor eligibility testing, we noted 3 of 2,007 instructors tested was not reported as eligible to participate in SURS by the University. SURS determined the total potential impact of this error was each instructor's service credit was off between $\frac{3}{4}$ of a year to 1 year. There were also 10 instances previously reported that impacted the June 30, 2020 census data.

We provided SURS' actuary and CMS' actuary with the exceptions we identified during our testing, along with the results of census data testing at the State Employees Retirement System of Illinois, and determined the net effect of these errors, along with the errors of other plan participants, was immaterial to SURS' and CMS' pension and OPEB-related balances and activity at the plans during Fiscal Year 2021.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the University establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance funds applicable to operations are properly recorded and accounted for to permit the preparation of reliable financial reports and to maintain accountability over the State's resources.

Additionally, eligibility criteria for participation in SURS under the Illinois Pension Code (Code) (40 ILCS 5/15-134(a)) states any person who is an employee of the University becomes a participant in SURS. Under the Code (40 ILCS 5/15-107), an employee is any member of the educational, administrative, secretarial, clerical, mechanical, labor, or other staff of an employer whose employment in a position in which services are expected to be rendered on a continuous basis for at least four months or an academic term, whichever is less:

- 1) not a student employed on a less than full-time temporary basis;
- 2) not receiving a retirement or disability annuity from SURS;
- 3) not on military leave;
- 4) not eligible to participate in the Federal Civil Service Retirement System,
- 5) not currently on a leave of absence without pay more than 60 days after the termination of SURS' disability benefits;
- 6) not paid from funds received under the Federal Comprehensive Employment and Training Act as a public service employment program participant hired on or after July 1, 1979;
- 7) not a patient in a hospital or home;
- 8) not an employee compensated solely on a fee basis where such income would net earnings from self-employment;
- 9) not providing military courses pursuant to a federally-funded contract where the University has filed a written notice with SURS electing to exclude these persons from the definition of an employee;
- 10) currently on lay-off status of not more than 120 days after the lay-off date;
- 11) not on an absence without pay of more than 30 days; and,
- 12) a nonresident alien on a visa defined under subparagraphs (F), (J), (M), or (Q) of Section 1101(a)(15) of Title 8 of the United States Code who (1) has met the Internal Revenue Service's substantial presence test and (2) became an employee on and after July 1, 1991.

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF FINDINGS – GOVERNMENT AUDITING STANDARDS FINDINGS
For the Year Ended June 30, 2022

2022-001 Finding - Inadequate Internal Controls over Census Data (Continued)

In addition, the Code (40 ILCS 5/15-157) requires the University to, at a minimum, withhold contributions of each employee's total compensation of 8% (9.5% for firefighters or police officers) for their participation in SURS, unless further contributions by the employee would either exceed the maximum retirement annuity in the Code (40 ILCS 5/15-136(c)) or the Tier 2 earnings limitation within the Code (40 ILCS 5/15-111(b)), and remit these amounts to SURS. Further, the Code (40 ILCS 5/15-155(b)) requires the University remit employer contributions to SURS reflecting the accruing normal costs of an employee paid from federal or trust funds

Finally, for CMS' OPEB plan, we noted participation in OPEB is derivative of an employee's eligibility to participate in SURS, as members of SURS participate in OPEB as annuitants under the State Employees Group Insurance Act of 1971 (Act) (5 ILCS 375/3(b)).

University officials indicated the base year reconciliation process was not established until Fiscal Year 2021, which is currently being performed by University staff. In addition, they indicated the late reported events were due to the difficulty in timely reporting events which occur near the end of the fiscal year to SURS. Finally, they indicated the three instructors were not reported to SURS due to oversight.

Failure to ensure complete and accurate census data was reported to SURS reduces the overall reliability of pension and OPEB-related balances and activity reported in the University's financial statements, the financial statements of other employers within both plans, and the State of Illinois' Annual Comprehensive Financial Report. Further, failure to report all eligible employees to SURS may result in employees not receiving the pension and OPEB benefits they are entitled to receive under the Code and the Act. (Finding Code No. 2022-001, 2021-001, 2020-001)

RECOMMENDATION

We recommend the University continue to work with SURS to complete the base year reconciliation of Fiscal Year 2021 active members' census date from its underlying records to a report of census data submitted to SURS' actuary and CMS' actuary. After completing an initial full reconciliation, the University may limit the annual reconciliations to focus on the incremental changes to the census data file from the prior actuarial valuation, provided no risks are identified that incomplete or inaccurate reporting of census data may have occurred during prior periods. Any errors identified during this process should be promptly corrected by either the University or SURS, with the impact of these errors communicated to both SURS' actuary and CMS' actuary.

Further, we recommend the University ensure all events occurring within a census data accumulation year are timely reported to SURS so these events can be incorporated into the census data provided to SURS' actuary and CMS' actuary.

Finally, we recommend the University ensure all eligible employees are reported to SURS, along with any required employee and employer contributions.

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF FINDINGS – GOVERNMENT AUDITING STANDARDS FINDINGS
For the Year Ended June 30, 2022

2022-001 Finding - Inadequate Internal Controls over Census Data (Continued)

UNIVERSITY RESPONSE

We agree. SIU Carbondale and SIU Edwardsville have processes and procedures in place for processing certifications, changes, and terminations in the SURS and CMS systems as contracts are received from departments. However, the University had not completed a base year reconciliation of the census data with SURS and CMS during the fiscal year.

The campuses have now completed the base year reconciliation and continue to work to automate the process going forward.

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-002. Finding: Inadequate Procedures for Ensuring Compliance with Earmarking Requirements for the Student Support Services Program

Federal Agency: U.S. Department of Education
Assistance Listing Number: 84.042
Program Expenditures: \$1,011,855
Program Name: TRIO – Student Support Services
Award Number(s): P042A201635
Questioned Costs: None

The Southern Illinois University (University) Carbondale campus did not have adequate procedures in place to ensure the earmarking requirements for the Student Support Services program were met during the fiscal year.

During our testing of earmarking requirements for TRIO Student Support Services at the University, we noted the program at the Carbondale campus served 160 students, of which 95 (59%) students met the criteria for being either low-income individuals who are first-generation college students or individuals with disabilities. The University has not implemented sufficient processes and controls over the past several years to ensure compliance with TRIO earmarking requirements.

The Student Support Services Program requires that, in addition to the eligibility criteria for individual students, not less than two-thirds of the program participants will be either low-income individuals who are first-generation college students or individuals with disabilities (34 CFR Section 646.11(a)(1)).

Uniform Guidance (2 CFR 200.303(a)) requires nonfederal entities receiving federal awards establish and maintain effective internal control to reasonably ensure compliance with Federal laws, statutes, regulations, and the terms and conditions of the Federal award. Effective internal controls should include procedures to ensure that all earmarking requirements are reviewed and monitored to ensure compliance.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the establishment and maintenance of a system, or systems, of internal fiscal and administrative controls to safeguard funds against waste, loss, unauthorized use, and misappropriation.

University officials stated the failure to meet the two-thirds requirement was due to a decreasing pool of students who qualify as first-generation and low income. In addition, officials stated the student recruiting plan was insufficient to ensure that the earmarking requirement was met. Management indicated in the current year, both of these conditions were still present and contributed to the University not meeting the earmarking requirement.

Without effective controls to review the participants and ensure compliance for TRIO Student Support Services, the University is at a greater risk of not meeting the minimum earmarking requirements, as well as increased likelihood of program reviews from oversight agencies. In addition, the University is at risk of being required to return funds to the Department of Education and/or becoming ineligible to administer the program. (Finding Code No. 2022-002, 2021-002, 2020-003, 2019-001, 2018-002)

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-002. Finding: Inadequate Procedures for Ensuring Compliance with Earmarking Requirements for the Student Support Services Program (Continued)

RECOMMENDATION

We recommend the University establish processes and procedures, including plans for increasing participation in the program by targeted populations, to ensure it will meet the earmarking program requirements. We also recommend the University implement controls to identify likely disparities in expected and actual results throughout the year and take proactive corrective action as necessary.

UNIVERSITY RESPONSE

We agree and have implemented corrective actions. Ongoing changes at the university continue to impact the potential for enrollment growth of minority students, which directly impacts the success of the program. Realignment of support services has structured Trio programs in an area with other similar programs that serve students that meet the criteria of the program. This realignment of services is already producing positive results, and the Trio program currently meets earmarking requirements. The requirements will be documented in the upcoming Annual Performance Report once submitted to the US Department of Education for AY 2022-2023 (May 2023). We hope to sustain this progress as enrollment at the university continues to trend upward.

**Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022**

2022-003. Finding: Sliding Fee Discount Not Applied to All Eligible Patients

Federal Agency: U.S. Department of Health and Human Services
Assistance Listing Number: 93.224, 93.527
Program Expenditures: \$5,085,751
Program Name: Health Center Program Cluster
Award Number(s): H8FCS41336, H80CS24098
Questioned Costs: None

The Southern Illinois University (University) Springfield campus did not properly apply the sliding fee discount to all eligible patients.

During our testing of patients treated during the year under audit, we noted 3 of 26 (12%) patients treated did not have the sliding fee discount applied to their charges. The sample was not a statistically valid sample. The 3 patients' charges were partially paid for by Medicare, however, the sliding fee discount should have been applied to the remaining Medicare coinsurance.

Federal guidelines require health centers to prepare and apply a sliding fee discount schedule so amounts owed for health center services by eligible patients are adjusted (discounted) based on the patient's ability to pay (42 CFR 51c.303(f)).

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the establishment and maintenance of a system, or systems, of internal fiscal and administrative controls to safeguard funds against waste, loss, unauthorized use, and misappropriation.

University management stated it was unable to provide patients with the sliding fee discount subsequent to a Medicare claim posting due to a turnover in key Patient Billing Services (PBS) staff members and a failure to educate new staff members on Federally qualified health center (FQHC) billing policies, which differ from SIU-Medicine billing policies.

Without effective controls to provide all eligible patients with the sliding fee discount, there is a risk a patient may be charged incorrectly for health center services provided. (Finding Code No. 2022-003)

RECOMMENDATION

We recommend the University establish processes and procedures to ensure sliding fee discounts are applied for all eligible patients.

UNIVERSITY RESPONSE

We agree. We did not provide the sliding fee discount to eligible patients after a Medicare claim posting. Now that we are aware of the weakness, we are committed and have developed a corrective action plan to address the matter.

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-004. Finding: Insufficient Controls over Review and Approval of Cash Drawdowns

Federal Agency: Various
Assistance Listing Number: Various
Program Expenditures: \$16,320,119
Program Name: Research and Development Cluster
Award Number(s): Various
Questioned Costs: None

Southern Illinois University (University) Carbondale campus did not have procedures in place to consistently review cash drawdowns for the Research and Development Cluster.

During our testing of the University's Research and Development Cluster cash drawdowns, we noted 18 out of 40 (45%) drawdowns totaling \$1,090,049 had no evidence the drawdown had been reviewed. The sample was not a statistically valid sample.

Uniform Guidance (2 CFR 200.303(a)) requires nonfederal entities receiving federal awards establish and maintain effective internal control to reasonably ensure compliance with Federal statutes, regulations, and the terms and conditions of the Federal award. Effective internal controls should include review of drawdowns and appropriate supporting documentation should be retained.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the establishment and maintenance of a system, or systems, of internal fiscal and administrative controls to safeguard funds against waste, loss, unauthorized use, and misappropriation.

University officials indicated there was no formal process in place in place to review and approve drawdowns due to believing the informal process was adequate.

The failure to review drawdown requests increases the likelihood of noncompliance with laws and regulations. (Finding Code No. 2022-004)

RECOMMENDATION

We recommend the University establish processes and procedures to ensure all cash drawdowns are reviewed, approved, and documentation of the review and approval is maintained.

UNIVERSITY RESPONSE

We agree and have implemented corrective actions. We did not have a consistent procedure in place during the audit period. We have addressed the weakness by establishing segregation of duties in the performance of the drawdown procedure. Also, we have implemented measures to ensure approvals are now documented appropriately prior to processing drawdowns.

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-005. Finding: Inadequate Procedures for Ensuring Retention of Eligibility Documentation for the Upward Bound Program

Federal Agency: U.S. Department of Education
Assistance Listing Number: 84.047M
Program Expenditures: \$1,990,376
Program Name: TRIO – Upward Bound
Award Number(s): P047M170568 - 21
Questioned Costs: None

The Southern Illinois University (University) Edwardsville campus did not have adequate procedures in place to ensure the required documentation was retained for all students who received stipends during the audit period.

During our testing of students who received stipends during the year under audit, we noted for 6 of 40 students (15%) students who received stipends totaling \$1,405, the University did not maintain appropriate records to determine the students were eligible for the stipend received. The sample was not a statistically valid sample. Additionally, we noted for 5 of 40 students (12.5%) who participated in Upward Bound, the University did not maintain appropriate records to determine the students were eligible to participate in the Upward Bound program. The 5 students for which documentation was not maintained for eligibility to participate in Upward Bound are also included in the 6 students for which documentation was not maintained for eligibility to receive stipends.

According to federal regulations (34 CFR 645.42), an Upward Bound project may provide stipends for full-time participants who show evidence of satisfactory participation in activities of the project.

Uniform Guidance (2 CFR 200.303(a)) requires nonfederal entities receiving federal awards establish and maintain effective internal control to reasonably ensure compliance with Federal statutes, regulations, and the terms and conditions of the Federal award. Effective internal controls should include the retention of documentation supporting participant eligibility.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the establishment and maintenance of a system, or systems, of internal fiscal and administrative controls to safeguard funds against waste, loss, unauthorized use, and misappropriation.

The State Records Act (5 ILCS 160/8) requires records to be made and preserved containing adequate and proper documentation of decisions of the agency designed to furnish information to protect the legal and financial rights of the State and of persons directly affected by the agency's activities.

According to University officials, the missing documentation relates to a grant that is no longer funded and those responsible for retaining the documentation are no longer with the University.

Without adequate documentation to support eligibility, there is a risk a student may improperly receive stipends or improperly be allowed to participate in the program. The University is also at risk of reduced funding in future years, as well as increased likelihood of program reviews from oversight agencies. (Finding Code No. 2022-005)

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-005. Finding: Inadequate Procedures for Ensuring Retention of Eligibility Documentation for the Upward Bound Program (Continued)

RECOMMENDATION

We recommend the University establish processes and procedures to ensure documentation is retained and retrievable when the parties responsible for the documentation leave the University.

UNIVERSITY RESPONSE

We agree we did not have adequate procedures to ensure the required documents were retained for all students who received stipends during the period tested. We will implement adequate controls to ensure document retention, including in instances where responsible staff have departed the University.

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-006. Finding: Inaccurate Reporting of Student Verification Status

Federal Agency: U.S. Department of Education
Assistance Listing Number: 84.007, 84.033, 84.038, 84.063, 84.268, 84.379, 93.342
Program Expenditures: \$91,856,705
Program Name: Student Financial Assistance Cluster
Award Number(s): P007A211285, P033A211285, P063P200115, P063P210115,
P268K220115, P379T220115, N/A
Questioned Costs: None

The Southern Illinois University (University) Carbondale campus incorrectly reported the verification status for a student to the Department of Education through the Common Origination and Disbursement (COD) website.

During our testing of students during the year under audit, we noted 1 of 40 students (2.5%) selected for verification was not correctly reported to the COD website. The sample was not a statistically valid sample.

The student qualified for a verification waiver, which the University utilized. The student was reported with verification code “V” indicating verification had been completed, although, the student should have been reported with verification code “S” meaning “Selected not verified”.

The U.S. Department of Education published Dear Colleague Letter GEN-21-05 on July 13, 2021. According to the letter, institutions were not required to verify students selected under Verification Tracking Flag “V1” as of the date of the letter. The letter instructed institutions to use Verification Status Code “S-Selected not verified” for students selected under V1 who qualified for a verification waiver under the guidance within the letter.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the establishment and maintenance of a system, or systems, of internal fiscal and administrative controls to safeguard funds against waste, loss, unauthorized use, and misappropriation.

Management indicated the University did not have adequate review procedures in place to ensure all students were accurately reported.

Without adequate controls over verification, the University may give false assurance over verification and has an increased likelihood of program review from oversight agencies. (Finding Code No. 2022-006)

RECOMMENDATION

We recommend the University establish processes and procedures to ensure student verification status is reported accurately to the Department of Education through the COD website.

UNIVERSITY RESPONSE

We agree and have implemented corrective actions. We did not correctly report the verification status for a student through the Common Origination and Disbursement website. We have implemented review procedures to ensure all students are reported accurately.

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-007. Finding: Exit Counseling Not Completed

Federal Agency: U.S. Department of Education
Assistance Listing Number: 84.007, 84.033, 84.038, 84.063, 84.268, 84.379, 93.342
Program Expenditures: \$83,176,764
Program Name: Student Financial Assistance Cluster
Award Number(s): P033A191286, PO33A151286, P033A141286, P063P170116,
P063P180116, P007A151286, P379T180116, P379T190116, N/A
Questioned Costs: None

The Southern Illinois University (University) Edwardsville campus did not complete exit counseling for all necessary students within the required time period.

During our testing of students who received title IV aid at the University, we noted 2 out of 25 (8%) students who received Direct Loans, did not complete exit counseling timely after leaving the Edwardsville campus. The sample was not a statistically valid sample. The University has not implemented sufficient processes and controls over the past several years to ensure compliance with exit counseling requirements.

The Edwardsville campus requires students to complete exit counseling when they leave the University after previously attending. During the year, two students attended the University, received direct loans, and withdrew during the semesters they attended, but had not completed exit counseling within 30 days of the withdraw date from the University. The exit interviews were never conducted.

According to 34 CFR 685.304(b), a school must ensure that exit counseling is conducted with each Direct Subsidized Loan or Direct Unsubsidized Loan borrower and graduate or professional student Direct PLUS Loan borrower shortly before the student borrower ceases at least half-time study at the school.

According to 34 CFR 682.604(a)(1), if a student borrower withdraws from school without the school's prior knowledge or fails to complete an exit counseling session as required, the school must, within 30 days after learning that the student borrower has withdrawn from school or failed to complete the exit counseling as required, ensure that exit counseling is provided through interactive electronic means, by mailing written counseling materials to the student borrower at the student borrower's last known address, or by sending written counseling materials to an email address provided by the student borrower that is not an email address associated with the school sending the counseling materials.

Uniform Guidance (2 CFR 200.303(a)) requires non-Federal entities receiving Federal awards establish and maintain internal controls designed to reasonably ensure compliance with Federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure student exit counseling is completed appropriately.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the establishment and maintenance of a system, or systems, of internal fiscal and administrative controls to safeguard funds against waste, loss, unauthorized use, and misappropriation.

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-007. Finding: Exit Counseling Not Completed (Continued)

According to University officials, the students were improperly coded with an enrollment status of “Eligible to Register”. Banner initiates exit counseling for students with enrollment codes that have a withdrawal indicator and Eligible to Register does not have that indicator.

Exit counseling helps federal student loan borrowers understand how to repay their loans and reviews deferment and repayment plan options. Exit counseling also discusses borrower rights and responsibilities. Updated student contact information will also be collected at the end of the exit counseling session. Failure to complete exit counseling may increase the likelihood of default on student loans and lack of student understanding of loan repayment options, rights and responsibilities. (Finding Code No. 2022-007, 2021-003, 2020-004, 2019-002, 2018-005, 2017-004)

RECOMMENDATION

We recommend the University implement controls to identify all required exit counseling within the student financial aid department in addition to improving controls to identify exit counseling requirements within the information technology department. These controls should be monitored to ensure that all necessary students complete exit counseling within the required time frame.

UNIVERSITY RESPONSE

We agree and have implemented corrective actions. SIUE Student Financial Aid has reintroduced a Banner process which runs simultaneously with the current bi-monthly process, to notify students of exit counseling requirements as soon as possible. We will continue to work with the appropriate office for assistance on how to ensure the appropriate flag gets checked to ensure the proper results.

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-008. Finding: Procurement Requirements Not Followed

Federal Agency: U.S. Department of Education
Assistance Listing Number: 84.425F
Program Expenditures: \$16,705,064
Program Name: Education Stabilization Fund
Award Number(s): P425F200234
Questioned Costs: None

The Southern Illinois University (University) Edwardsville campus did not follow procurement requirements for all purchases under the Higher Education Emergency Relief Fund (HEERF).

During our testing of purchases made using institutional HEERF funds, we noted 1 out of 5 (20%) of transactions tested for procurement, purchased for \$15,160, did not have documentation that procurement policies were followed. The sample was not a statistically valid sample.

According to Uniform Guidance (2 CFR 200.320), the University must have and use documented procurement procedures, consistent with federal standards. Additionally, per Uniform Guidance (2 CFR 200.320), if small purchase procedures are used, price or rate quotations must be obtained from an adequate number of qualified sources as determined appropriate by the University. The University's procurement policy defines small purchases as those ranging from \$10,001 to \$99,000. Uniform Guidance (2 CFR 200.320) also allows for noncompetitive procurement, in which price or rate quotations are not required to be obtained from an adequate number of qualified sources, if certain circumstances apply, including the purchased item being available only from a single source.

Uniform Guidance (2 CFR 200.303(a)) requires non-Federal entities receiving Federal awards establish and maintain internal controls designed to reasonably ensure compliance with Federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure procurement policies are followed.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the establishment and maintenance of a system, or systems, of internal fiscal and administrative controls to safeguard funds against waste, loss, unauthorized use, and misappropriation. The State Records Act (5 ILCS 160/8) requires records to be made and preserved containing adequate and proper documentation of decisions of the agency designed to furnish information to protect the legal and financial rights of the State and of persons directly affected by the agency's activities.

According to University officials, the department making the purchase does not typically receive funding subject to federal procurement requirements and therefore was unaware of the rules and regulations specific to purchases made with federal funds.

Compliance with procurement policies helps ensure prudent use of federal funding. Failure to follow federal procurement regulations increases the likelihood of expenses being disallowed upon review by a federal agency and increases the risk of reduced funding in future years. (Finding Code No. 2022-008)

RECOMMENDATION

We recommend the University provide training to all departments with access to federal funding, specifically on the topic of procurement.

Southern Illinois University
(A Component Unit of the State of Illinois)
Current Findings – Federal and Questioned Costs
For the Year Ended June 30, 2022

2022-008. Finding: Procurement Requirements Not Followed (Continued)

UNIVERSITY RESPONSE

We agree that procurement requirements were not followed for the identified purchases. Steps will be taken to reduce the risk of noncompliance going forward in instances where the procuring department may not regularly utilize grants funds for procurements.

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
PRIOR FINDINGS NOT REPEATED
For the Years Ended June 30, 2022

A. **FINDING** Information Technology Risk Assessment Not Performed

During the prior engagement period, the Southern Illinois University Edwardsville Campus did not document required risk assessments related to student information security

Status: Not Repeated

During the current engagement period, our testing indicated the required risk assessments related to student information security were performed. (Finding Code No. 2021-004, 2020-005, 2019-004)

B. **FINDING** Return of Title IV Aid

During the prior engagement period, the Southern Illinois University Edwardsville Campus did not complete the return of Title IV aid within the required timeframe for one student.

Status: Not Repeated

During the current engagement period, our sample testing did not identify any instances of returns of Title IV aid outside the required time frame. (Finding Code No. 2021-005, 2020-007)

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|---|------------------------------|----------------------|-------------------|----------------------|
| Student Financial Assistance Cluster* | | | | | |
| Direct Programs: | | | | | |
| U. S. DEPARTMENT OF EDUCATION | FEDERAL SEOG GRANT 2021-2022 | 84.007 | P007A211285 | \$ - | \$ 678,310 |
| U. S. DEPARTMENT OF EDUCATION | USOE FEDERAL WORK-STUDY PROGRAM | 84.033 | P033A211285 | \$ - | \$ 1,077,202 |
| U. S. DEPARTMENT OF EDUCATION | PERKINS LOAN FUND | 84.038 | N/A | \$ - | \$ 8,948,927 |
| U. S. DEPARTMENT OF EDUCATION | FEDERAL PELL GRANT 2020-2021 | 84.063 | P063P200115 | \$ - | \$ 52,716 |
| U. S. DEPARTMENT OF EDUCATION | FEDERAL PELL GRANT 2021-2022 | 84.063 | P063P210115 | \$ - | \$ 14,497,300 |
| U. S. DEPARTMENT OF EDUCATION | FEDERAL DIRECT STUDENT LOANS | 84.268 | P268K220115 | \$ - | \$ 65,757,369 |
| U. S. DEPARTMENT OF EDUCATION | FEDERAL TEACH GRANT 2009-10 | 84.379 | P379T220115 | \$ - | \$ 3,772 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | HEALTH PROFESSIONALS LOAN PROGRAM | 93.342 | N/A | \$ - | \$ 835,005 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | LOANS FOR DISADVANTAGED STUDENTS | 93.342 | N/A | \$ - | \$ 6,104 |
| | Total Student Financial Assistance Cluster | | | \$ - | \$ 91,856,705 |
| TRIO Cluster | | | | | |
| Direct Programs: | | | | | |
| U. S. DEPARTMENT OF EDUCATION | STUDENT SUPPORT SERVICES - USDE-P042A201635 | 84.042 | P042A201635 | \$ - | \$ 311,928 |
| U. S. DEPARTMENT OF EDUCATION | UPWARD BOUND - USDE-P047A170643 | 84.047 | P047A170643 | \$ - | \$ 471,404 |
| U. S. DEPARTMENT OF EDUCATION | SIUC MCNAIR PROGRAM - USDE-P217A170302 | 84.217 | P217A170302 | \$ - | \$ 228,523 |
| | Total TRIO Cluster | | | \$ - | \$ 1,011,855 |
| Research and Development Cluster | | | | | |
| Direct Programs: | | | | | |
| U. S. DEPARTMENT OF AGRICULTURE | GOLCONDA JOBS CORPS SITE EVALUATIONS - SNF/FS/USDA-21-CS-11090800-016 | 10.RD | 21-CS-11090800-016 | \$ - | \$ 15,767 |
| U. S. DEPARTMENT OF AGRICULTURE | HERITAGE RESOURCE INVENTORY FOR ECOLOGICAL RESTORATION - USDA/FS/SNF-20-CS-110908 | 10.RD | 20-CS-11090800-029 | \$ - | \$ 95,016 |
| U. S. DEPARTMENT OF AGRICULTURE | HOOSIER NATIONAL FOREST ARCHAEOLOGICAL TESTING: JACKSON/PERRY COUNTY - FS/USDA/H | 10.RD | 18-CS-11091200-017 | \$ - | \$ 15,884 |
| U. S. DEPARTMENT OF AGRICULTURE | INVENTORY OF USDAFS ARCHAEOLOGICAL COLLECTIONS - USDA/FS-20-CS-11090800-026 | 10.RD | 20-CS-11090800-026 | \$ - | \$ 8,031 |
| U. S. DEPARTMENT OF AGRICULTURE | MONITORING FUEL TREATMENT EFFECTS ON GAMBEL OAK - USDA/FS/DFNF-20-CS-11040800-020 | 10.RD | 20-CS-11040800-020 | \$ - | \$ 2,223 |
| U. S. DEPARTMENT OF AGRICULTURE | PHASE I ARCHAEOLOGICAL INVENTORY OF THE AMERICAN POWERLINE - USDA/FS/SNF-20-CS-09 | 10.RD | 20-CS-090800-027 | \$ - | \$ 28,504 |
| U. S. DEPARTMENT OF AGRICULTURE | PROTECTING THE PREHISTORY OF THE PENINSULA - HNF/FS/USDA-21-CS-11091200-016 | 10.RD | 21-CS-11091200-016 | \$ - | \$ 38 |
| U. S. DEPARTMENT OF AGRICULTURE | OPTIMAL BAITING FOR ORAL RABIES VACCINATION - USDA-APHIS-AP21WSNWRC00C008 | 10.028 | AP21WSNWRC00C008 | \$ - | \$ 14,820 |
| U. S. DEPARTMENT OF AGRICULTURE | MCINTIRE STENNIS ADMINISTRATION FY20 - USDA/NIFA-NI20MSCFRXXG030 | 10.202 | NI20MSCFRXXG030 | \$ - | \$ 91,666 |
| U. S. DEPARTMENT OF AGRICULTURE | MCINTIRE STENNIS ADMINISTRATION FY21 - USDA/NIFA-NI21MSCFRXXG006 | 10.202 | NI21MSCFRXXG006 | \$ - | \$ 185,631 |
| U. S. DEPARTMENT OF AGRICULTURE | MCINTIRE STENNIS ADMINISTRATION FY22-FY22 - USDA/NIFA-NI22MSCFRXXG057 | 10.202 | NI22MSCFRXXG057 | \$ - | \$ 71,965 |
| U. S. DEPARTMENT OF AGRICULTURE | ANALYZING OCCUPANCY AND CO-OCCUPANCY OF DOMESTIC AND WILD CARNIVORES - FS/USDA-17 | 10.699 | 17-JV-11261952-049 | \$ - | \$ 38,097 |
| U. S. DEPARTMENT OF AGRICULTURE | PHASE 1 CULTURAL RESOURCES RECONNAISSANCE SURVEY - USDA/FS/DBNF-20-PA-11080200-29 | 10.699 | 20-PA-11080200-297 | \$ - | \$ 72,858 |
| U. S. DEPARTMENT OF COMMERCE | DEVELOPING NATIONAL SOIL MOISTURE PRODUCTS TO IMPROVE DROUG | 11.431 | NA17OAR4310148 | \$ - | \$ 3,120 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing | | Sponsor Grant Number | Subaward Payments | Expenditures |
|--|---|--------------------|--|----------------------|-------------------|--------------|
| | | Number | | | | |
| U. S. DEPARTMENT OF DEFENSE | LOW COST HIGH THROUGHPUT 3D PULMONARY IMAGER - DOD | 12.RD | | W81XWH-20-1-0578 | \$ - | \$ 159,943 |
| U. S. DEPARTMENT OF DEFENSE | CALCIUM SIGNALING IN SKELTAL MUSCLE ATROPY-DOD- W81XWH1810052 | 12.420 | | W81XWH1810052 | \$ 63 | \$ 3,015 |
| U. S. DEPARTMENT OF DEFENSE | NICOTINIC RECEPTOR PATHOLOGY IN TINNITUS: AUDITORY CORTEX - CASPARY - U S DEPARTM | 12.420 | | W81XWH-19-1-0017 | \$ - | \$ 496,279 |
| U. S. DEPARTMENT OF DEFENSE | UNMANNED AIRCRAFT SYSTEMS BASED VEGETATION COVER - USDAR | 12.630 | | W9132T1820003 | \$ - | \$ 20,391 |
| U. S. DEPARTMENT OF DEFENSE | GENOTYPING TO IDENTIFY PALLID STURGEON BROODSTOCK - USACE-W912HZ-20-2-0058 | 12.630 | | W912HZ-20-2-0058 | \$ - | \$ 103,544 |
| U. S. DEPARTMENT OF THE INTERIOR | PROCESSING OF BEE COLLECTIONS FROM MIDWESTERN NATIONAL WILDLIFE REFUGES - USDI/US | 15.RD | | 140F0320P0180 | \$ - | \$ 50,149 |
| U. S. DEPARTMENT OF THE INTERIOR | USGS COLUMBIA ENVIRONMENTAL RESEARCH CENTER, FORT PECK SPECIES IDENTIFICATION - U | 15.RD | | 140G0121P0153 | \$ - | \$ 14,030 |
| U. S. DEPARTMENT OF THE INTERIOR | USGS FIXED PRICE AGREEMENTS - USGS | 15.RD | | VARIOUS | \$ - | \$ 10,550 |
| U. S. DEPARTMENT OF THE INTERIOR | SPECIAL WILDLIFE STUDIES - VARIOUS FEDERAL ARCHAEOLOGICAL COLLECTIONS AND ASSOCIATED | 15.RD | | N/A | \$ - | \$ 30,223 |
| U. S. DEPARTMENT OF THE INTERIOR | DOCUMENTATION CURATED AT SIU - BIA/USDI | 15.159 | | A19AC00032 | \$ - | \$ 4,377 |
| U. S. DEPARTMENT OF THE INTERIOR | QUANTIFYING TARGET STRENGTHS OF BIGHEADED CARP - USFWS/USDI | 15.662 | | F19AC00686 | \$ - | \$ 16 |
| U. S. DEPARTMENT OF THE INTERIOR | IDENTIFYING SOURCES OF GREAT LAKES GRASS CARP - USFWS/USDI-F20AC11012-00 | 15.662 | | F20AC11012-00 | \$ - | \$ 20,833 |
| U. S. DEPARTMENT OF THE INTERIOR | IDENTIFYING SUITABLE AREAS ON THE ARCTIC COAST PLAIN, ALASKA - USFWS/USDI-F20AC00 | 15.678 | | F20AC00328 | \$ - | \$ 31,604 |
| U. S. DEPARTMENT OF THE INTERIOR | SICKLEFIN AND STURGEON CHUB POPULATION GENETICS STUDY - USFWS/USDI-F20AC00225 | 15.678 | | F20AC00225 | \$ - | \$ 33,016 |
| U. S. DEPARTMENT OF THE INTERIOR | GENOMIC SEQUENCING OF DNA - USGS-G19AC00420 | 15.808 | | G19AC00420 | \$ - | \$ 30,795 |
| U. S. DEPARTMENT OF THE INTERIOR | NATAL ENVIRONMENTS OF FISHES IN THE UPPER MISSISSIPPI AND ILLINOIS RIVERS - USGS/ | 15.808 | | G21AC10029-00 | \$ - | \$ 40,186 |
| U. S. DEPARTMENT OF THE INTERIOR | ARCHIVAL AND REMOTE SENSING INVESTIGATIONS AT FORT HENRY - NPS-P20AP00206 | 15.926 | | P20AP00206 | \$ - | \$ 9,296 |
| U. S. DEPARTMENT OF THE INTERIOR | ANALYSIS OF WHITE-TAILED DEER POPULATION & VEG TRENDS AT CUYAHOGA VALLEY NP - NPS | 15.945 | | P21AC10277 | \$ - | \$ 11,008 |
| U. S. DEPARTMENT OF JUSTICE | THE EFFECT OF ALTITUDE ON DECOMPOSITION - USDOJ/NIJ-2018- R2-CX-0014 | 16.560 | | 2018-R2-CX-0014 | \$ - | \$ 19,631 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | ASSESSMENT OF PHYLLOSILICATE SURVIVABILITY - NASA- 80NSSC21K0180 | 43.001 | | 80NSSC21K0180 | \$ - | \$ 5,765 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | ASSESSMENT OF PHYLLOSILICATE SURVIVABILITY IN COMPLEX ACIDIC ENVIRONMENTS ON MARS | 43.001 | | 80NSSC22K0044 | \$ - | \$ 39,329 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | BIOSYNTHESIS OF 3-METHYLHOPANOIDS BY PURPLE NON-SULFUR ANOXYGENIC PHOTOTROPHS - N | 43.001 | | 80NSSC21M0355 | \$ - | \$ 6,278 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | COUPLING IN-SITU DATA ANALYSIS WITH MACHINE LEARNING - NASA-80NSSC21M0293 | 43.009 | | 80NSSC21M0293 | \$ - | \$ 26,672 |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION | uBITES: NEXT-GENERATION 3D-PRINTED SPACE FOOD DERIVED FROM PLASTIC AND BIOMASS - | 43.009 | | N/A | \$ - | \$ 2,499 |
| NATIONAL SCIENCE FOUNDATION | COMBINED CATALYTIC CONVERSION OF CH4 AND CO2 - NSF-CBET- 1 | 47.041 | | CBET-1438440 | \$ - | \$ 4,455 |
| NATIONAL SCIENCE FOUNDATION | NUMERICAL & EXPERIMENTAL STUDY OF INSTABILITY MECHANISMS | 47.041 | | 1512093 | \$ - | \$ 18,277 |
| NATIONAL SCIENCE FOUNDATION | COUPLED THERMAL PIEZOELECTRIC AND HOT CARRIER EFFECTS IN AI | 47.041 | | ECCS1610474 | \$ - | \$ 13,446 |
| NATIONAL SCIENCE FOUNDATION | CAREER: DESIGN, OPTIMIZATION AND FEEDBACK CONTROL- PARTICIPANT COSTS - NSF-194194 | 47.041 | | 1941944 | \$ - | \$ 11,952 |
| NATIONAL SCIENCE FOUNDATION | CAREER: DESIGN, OPTIMIZATION AND FEEDBACK CONTROL - NSF- 1941944 | 47.041 | | 1941944 | \$ - | \$ 125,184 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing | | Sponsor Grant Number | Subaward Payments | Expenditures |
|------------------------------|--|--------------------|--|----------------------|-------------------|--------------|
| | | Number | | | | |
| NATIONAL SCIENCE FOUNDATION | CAREER: SPIN-ELECTRONIC PROPERTIES - NSF-ECCS-1846829 | 47.041 | | ECCS-1846829 | \$ - | \$ 90,057 |
| NATIONAL SCIENCE FOUNDATION | COLLABORATIVE RESEARCH: CONSORTIUM FOR EMBEDDED SYSTEMS - PARTICIPANT - NSF-IIP-1 | 47.041 | | 1361847 | \$ - | \$ (2,993) |
| NATIONAL SCIENCE FOUNDATION | EAGER: NOVEL PROBES FOR LABEL-FREE DETECTION - NSF-1940716 | 47.041 | | 1940716 | \$ - | \$ 34,903 |
| NATIONAL SCIENCE FOUNDATION | ERI: THERMOMECHANICAL RESPONSE OF SANDWICH STRUCTURES - NSF-2138459 | 47.041 | | 2138459 | \$ - | \$ 31,545 |
| NATIONAL SCIENCE FOUNDATION | ZIRCONIUM PERFLUOROPHOSPHONIC ACID MEMBRANES - NSF-2135541 | 47.041 | | 2135541 | \$ - | \$ 43,041 |
| NATIONAL SCIENCE FOUNDATION | REU SITE IN INTERDISCIPLINARY MATERIALS RESEARCH - DMR-175 | 47.049 | | 1757954 | \$ - | \$ 25,378 |
| NATIONAL SCIENCE FOUNDATION | REU SITE IN INTERDISCIPLINARY MATERIALS RESEARCH-PARTICIPANT CAREER: FRAMEWORK TOPOLOGY DEPENDENT PHOTOPHYSICAL PROPERTIES (GENERAL) - NSF-194 | 47.049 | | 1757954 | \$ - | \$ 49,461 |
| NATIONAL SCIENCE FOUNDATION | PROPERTIES (GENERAL) - NSF-194 | 47.049 | | 1944903 | \$ - | \$ 73,879 |
| NATIONAL SCIENCE FOUNDATION | CAREER: FRAMEWORK TOPOLOGY DEPENDENT PHOTOPHYSICAL PROPERTIES (PARTICIPANT) - NSF | 47.049 | | 1944903 | \$ - | \$ 625 |
| NATIONAL SCIENCE FOUNDATION | COLLABORATIVE RESEARCH: ADSORPTION EQUILIBRATION OF BINARY MIXTURES - NSF-DMR-18 | 47.049 | | DMR-1807094 | \$ - | \$ 35,698 |
| NATIONAL SCIENCE FOUNDATION | COLLABORATIVE RESEARCH: EXPLOITING SPIN NETWORKS - NSF-1905341 | 47.049 | | 1905341 | \$ - | \$ 153,787 |
| NATIONAL SCIENCE FOUNDATION | HYPERVALENT IODINE BASED MATERIALS - NSF 2003654 | 47.049 | | 2003654 | \$ - | \$ 94,173 |
| NATIONAL SCIENCE FOUNDATION | IDENTIFYING AND CORRECTING QUANTUM SYSTEMS - NSF-1820870 | 47.049 | | 1820870 | \$ - | \$ 36,028 |
| NATIONAL SCIENCE FOUNDATION | REU SITE IN INTERDISCIPLINARY MATERIALS RESEARCH - NSF-2150489 | 47.049 | | 2150489 | \$ - | \$ 10,768 |
| NATIONAL SCIENCE FOUNDATION | REU SITE IN INTERDISCIPLINARY MATERIALS RESEARCH-PARTICIPANT SUPPORT - NSF-21504 | 47.049 | | 2150489 | \$ - | \$ 61,048 |
| NATIONAL SCIENCE FOUNDATION | COLLABORATIVE RESEARCH: GEODYNAMIC AND GEOGRAPHICAL INVESTIGATION - NSF-EAR-17536 | 47.050 | | EAR-1753637 | \$ - | \$ 39,009 |
| NATIONAL SCIENCE FOUNDATION | COLLABORATIVE RESEARCH: CONSORTIUM FOR EMBEDDED SYSTEMS - NSF-IIP-1361847 | 47.070 | | IIP-1361847 | \$ - | \$ (593) |
| NATIONAL SCIENCE FOUNDATION | NETWORKING INFRASTRUCTURE: ENHANCING SIUC CAMPUS - NSF-2018919 | 47.070 | | 2018919 | \$ - | \$ 76,364 |
| NATIONAL SCIENCE FOUNDATION | DIGITIZATION PEN: INTEGRATING THE UNIQUE COLLECTIONS - NSF-2002400 | 47.074 | | 2002400 | \$ - | \$ 32,383 |
| NATIONAL SCIENCE FOUNDATION | LTREB: COLLABORATIVE RESEARCH: CYCLIC VS. ANTHROPOGENIC CAUSES - NSF-DEB-175463 | 47.074 | | DEB-1754632 | \$ - | \$ 151 |
| NATIONAL SCIENCE FOUNDATION | PROJECT BASELINE: RESURRECTION STUDIES WORKSHOPS - NSF-2051428 | 47.074 | | 2051428 | \$ - | \$ 14,485 |
| NATIONAL SCIENCE FOUNDATION | PROJECT BASELINE: RESURRECTION STUDIES WORKSHOPS-PARTICIPANT SUPPORT - NSF-205142 | 47.074 | | 2051428 | \$ - | \$ 6,895 |
| NATIONAL SCIENCE FOUNDATION | ENHANCING HIGH-RESOLUTION TERRAIN DATA MODEL - NSF-1951741 | 47.075 | | 1951741 | \$ - | \$ 15,177 |
| NATIONAL SCIENCE FOUNDATION | THE ROLE OF SOCIAL ORGANIZATION IN THE ORGANIZATION OF COMPLEX SOCIETY 7 NSF-1822 | 47.075 | | 1822783 | \$ - | \$ 7,080 |
| NATIONAL SCIENCE FOUNDATION | UNDERSTANDING AND BRIDGING THE SMART TECHNOLOGY AND INFRASTRUCTURE DIVIDE IN RURA | 47.075 | | 2122092 | \$ - | \$ 48,826 |
| NATIONAL SCIENCE FOUNDATION | COLLABORATIVE RESEARCH: EXPLORING THE IMPACT OF NOYCE MASTER TEACHING FELLOWSHIP | 47.076 | | 1949969 | \$ - | \$ 31,354 |
| NATIONAL SCIENCE FOUNDATION | PATHWAYS TO STEM LEADERSHIP - NSF-1644166 | 47.076 | | 1644166 | \$ - | \$ 4,158 |
| NATIONAL SCIENCE FOUNDATION | PATHWAYS TO STEM LEADERSHIP (PARTICIPANT) - NSF-1644166 | 47.076 | | 1644166 | \$ - | \$ 55,571 |
| NATIONAL SCIENCE FOUNDATION | RIVER REGION MASTER TEACHING FELLOWSHIPS (ADMIN) - NSF-1758497 | 47.076 | | 1758497 | \$ - | \$ 207,070 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing | | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|---|--------------------|-------------------|----------------------|-------------------|--------------|
| | | Number | | | | |
| NATIONAL SCIENCE FOUNDATION | RIVER REGION MASTER TEACHING FELLOWSHIPS (PARTICIPANT EXPENSE) - NSF-1758497 | 47.076 | 1758497 | | \$ - | \$ 208,480 |
| NATIONAL SCIENCE FOUNDATION | SOUTHERN ILLINOIS ENERGY SCHOLARSHIPS (ADMIN) - NSF-1565068 | 47.076 | 1565068 | | \$ - | \$ 17,223 |
| NATIONAL SCIENCE FOUNDATION | UPPER DELTA REGION BIODIVERSITY SCHOLARSHIPS (GENERAL) - NSF-1564969 | 47.076 | 1564969 | | \$ - | \$ 150,829 |
| NATIONAL SCIENCE FOUNDATION | UPPER DELTA REGION BIODIVERSITY SCHOLARSHIPS (PARTICIPANT) - NSF-1564969 | 47.076 | 1564969 | | \$ - | \$ 153,859 |
| U. S. ENVIRONMENTAL PROTECTION AGENCY | HAB EARLY MITIGATION BY MAGNETIC PHOTOCATALYSTS - USEPA-840 | 66.516 | 84017401 | | \$ - | \$ 10,335 |
| U. S. ENVIRONMENTAL PROTECTION AGENCY | PFASS REMOVAL BY PHOTOCATALYSIS FOR WATER USE - USEPA-84002201 | 66.516 | 84002201 | | \$ - | \$ 47,735 |
| U. S. DEPARTMENT OF ENERGY | MAGNETICALORIC AND MULTIFUNCTIONAL MAGNETIC MATERIALS - USDOE-DE-FG02-06ER46291 | 81.049 | DE-FG02-06ER46291 | | \$ - | \$ 106,819 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | ROLES OF POLYMERASE GAMMA ACCESSORY SUBUNIT GENE MUTATIONS | 93.113 | 113631 | | \$ - | \$ (143) |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | DEFINE CELL & MOLECULE MECHANISMS OF TOXICANT DISRUPT DNA HOMEOSTASIS - NIH-1R15E | 93.113 | 1R15ES033394-01 | | \$ - | \$ 53,038 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | ARYL HYDROCARBON RECEPTOR REGULATION OF ENERGY METABOLISM - TISCHKAU - NIH | 93.113 | 1R15ES030556-01A1 | | \$ - | \$ 165,566 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | THE NEURAL CIRCUITRY OF TOOTH SENSATION-NIH-1R15DE027844-01 | 93.121 | 1R15DE027844-01 | | \$ - | \$ 105,127 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | CODING AUDITORY NEURONS: EFFECTS OF AMINO ACIDS-CASPARY- NIH | 93.173 | 5R01DC000151-37 | | \$ - | \$ 257,623 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | NETWORK MODULATORS OF AUDITORY THALAMOCORTICAL FEEDBACK INHIBITION - RICHARDSON - | 93.173 | 5R21DC018365-03 | | \$ - | \$ 146,202 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | ORAL EPIGALLOCATECHIN GALLATE (EGCG) FOR TREATMENT OF ALZHEIMERS HEARING LOSS - R | 93.173 | 3R01DC016835-03S1 | | \$ - | \$ 54,635 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | MECHANISMS THAT REGULATE HAIR CELL SURVIVAL-COX-NIH | 93.173 | N/A | | \$ - | \$ 98,896 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | ORAL EPIGALLOCATECHIN GALLATE (EGCG) FOR TREATMENT OF CISPLATIN OTOTOXICITY-RAMKU | 93.173 | N/A | | \$ - | \$ 436,884 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | CEREBELLAR GRANULE CELL DYSFUNCTION IN SHANK 3 MICE - RICHARDSON - NATIONAL INSTI | 93.242 | 1R01MH129749-01 | | \$ - | \$ 1,739 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | WITHDRAWAL TRAJECTORY PREDICTORS OF SMOKING RELAPSE - NIH/NIDA-1R03DA052881-01A1 | 93.279 | 1R03DA052881-01A1 | | \$ 5,333 | \$ 49,545 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | NOVEL ROLE OF MYELOID-DERIVED LYMPHATIC PROGENITORS IN INDUCTION OF BREAST CANCER | 93.396 | 5R01CA199649-04 | | \$ - | \$ 18,952 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | UNDERSTANDING THE ROLE OF IFN-GAMMA AND CIITA IN SKELETAL MUSCLE-NIH-R15AR068622 | 93.846 | 1R15AR068622-01 | | \$ - | \$ 15,391 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | DISCOVERY OF SMALL MOLECULES FOR POTENTIAL THERAPEUTICS-NIH-1R03NS112972-01 | 93.853 | 1R03NS112972-01 | | \$ - | \$ 39,919 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | INHIBITING C9ORF72 REPEAT RNA TRANSCRIPTION - NIH-1R21NS114499-01 | 93.853 | 1R21NS114499-01 | | \$ 20,758 | \$ 69,535 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | NUCLEAR SURVEILLANCE OF EXPANDED TANDEM REPEAT IN RNA - NIH-1R15NS111374-01 | 93.853 | 1R15NS111374-01 | | \$ - | \$ 143,264 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | PREVENTION OF SEIZURE-INDUCED SUDDEN DEATH BY PERIAQUEDUCTAL GRAY STIMULATION - F | 93.853 | 1R21NS1268070-01 | | \$ - | \$ 2,530 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | UNRAVELING THE MECHANISMS OF CELL-MEDIATED CHLAMYDIA SYSTEMIC DISSEMINATION - NIH | 93.855 | 1R21AI159743-01A1 | | \$ - | \$ 55,282 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | UNRAVELING THE ROLE OF PROTEIN PHOSPHORYLATION - NIH/NIAID-2-R15AI109566-02 | 93.855 | 2-R15AI109566-02 | | \$ - | \$ 26,070 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | NOVEL MECHANISMS IN DNA MISMATCH REPAIR - NIH-1R01GM132128-01A1 | 93.859 | 1R01GM132128-01A1 | | \$ - | \$ 256,163 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|--|--|------------------------------|----------------------|-------------------|---------------------|
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | NOVEL REGULATORS OF SLO2 POTASSIUM CHANNELS - NIH | 93.859 | N/A | \$ - | \$ 17,885 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | NUCLEIC ACID-BASED ANTI-CRISPR INHIBITORS OF CAS9 - NIH-1R01GM135646-01 | 93.859 | 1R01GM135646-01 | \$ 54,340 | \$ 249,704 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | SINGLE-MOLECULE APPROACHES TO STUDY EPIBLAST STEM CELL FATE DECISION - NIH-1R15GM | 93.859 | 1R15GM140448-01A1 | \$ - | \$ 154,557 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | SOUTHERN ILLINOIS BRIDGES TO THE BACCALAUREATE PROGRAM (MAIN) - DHHS/NIH/NIGMS-2R | 93.859 | 2R25GM107760-06A1 | \$ 34,210 | \$ 241,001 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | SOUTHERN ILLINOIS BRIDGES TO THE BACCALAUREATE PROGRAM (PARTICIPANTS) - DHHS/NIH/ | 93.859 | 2R25GM107760-06A1 | \$ - | \$ 65,652 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | STRUCTURES AND CATALYTIC MECHANISMS - NIH-1R15GM131366-01 | 93.859 | 1R15GM131366-01 | \$ - | \$ 54,551 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | UBIQUITIN-PROTEASOME SYSTEM REGULATION - NIH-2R15GM088798-03 | 93.859 | 2R15GM088798-03 | \$ - | \$ 150,837 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | ULTRAFAST DYNAMICS IN ENZYME CATALYSIS - NIGMS/NIH-1R35GM119818-01 | 93.859 | 1R35GM119818-01 | \$ - | \$ 168,601 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | DIFFERENTIAL CELL PROLIFERATION AND CELL DEATH - NIH-1R15HD095368-01A1 | 93.865 | 1R15HD095368-01A1 | \$ - | \$ 112,225 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | MOLECULAR BASIS FOR THE FUNCTIONS OF MLH1-MLH3 COMPLEX - NIH- 1R03HD098293-01A1 | 93.865 | 1R03HD098293-01A1 | \$ - | \$ 29,543 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | MOLECULAR MECHANISMS UNDERLYING SOMATOTROPE DIFFERENTIATION AND FUNCTION - NIH - | 93.865 | 1R15HD107430-01 | \$ - | \$ 23,412 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | CELLULAR SENESCENCE, INFLAMMATION AND NEUROTRANSMISSION IN ALZHEIMER'S DISEASE-HA | 93.866 | 5R01AG061937-05 | \$ - | \$ 611,192 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | DEVELOPMENTAL PROGRAMMING OF MAMMALIAN AGING - BARTKE - NIH | 93.866 | 1R21AG062985-01A1 | \$ - | \$ 271,751 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | GLUTAMATE NEUROTRANSMISSION IN ALZHEIMER'S DISEASE PROGRESSION-NIH - HASCUP | 93.866 | 5R01AG057767-04 | \$ - | \$ 533,159 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | SEQUELAE OF SARS-COV-2 INFECTION IN ALZHEIMER'S DISEASE - HASCUP - NATIONAL INSTI | 93.866 | 3 R01 AG061937-03S1 | \$ - | \$ 162,315 |
| Subtotal Direct Programs | | | | \$ 114,704 | \$ 8,799,574 |
| Pass-Through Programs From: | | | | | |
| SHAWNEE RC&D | LANDSCAPE SCALE STEWARDSHIP CLUSTER - SRCD ISOLATION AND CHARACTERIZATION OF NOVEL RESISTANCE GENES - UM/USDA-NIFA | 10.RD | SPA | \$ - | \$ 9,152 |
| UNIVERSITY OF MISSOURI | | 10.RD | 2019-67013-29370 | \$ - | \$ (3,614) |
| ILLINOIS DEPARTMENT OF AGRICULTURE | IMPROVING FOOD SAFETY AND SHELF-LIFE OF STRAWBERRIES - ID MANAGEMENT OF PALMER AMARANTH IN HORSERADISH - IDA-SC-20 | 10.170 | SC-19-07 | \$ - | \$ 11,713 |
| ILLINOIS DEPARTMENT OF AGRICULTURE | | 10.170 | SC-20-27 | \$ - | \$ 23,357 |
| ILLINOIS DEPARTMENT OF AGRICULTURE | NOVEL COVER CROP MANAGEMENT FOR IMPROVING RESILIENCY - IDA/USDA-SC-22-17 | 10.170 | SC-22-17 | \$ - | \$ 4,176 |
| ILLINOIS DEPARTMENT OF AGRICULTURE | ORGANIC SPECIALTY LETTUCE PROD TO INCREASE REVENUES - IDA/USDA-SC-21-07 | 10.170 | SC-21-07 | \$ - | \$ 12,713 |
| ILLINOIS DEPARTMENT OF AGRICULTURE | PREDICTIVE MODELING TO MINIMIZE RISK OF MICROBIAL GROWTH IN STRAWBERRIES - IDA/US | 10.170 | SC-21-02 | \$ - | \$ 51,622 |
| IOWA STATE UNIVERSITY | INTENSIFICATION OF FIRST YEAR LARGEMOUTH BASS - ISU/USDA-09585N | 10.200 | 019585N | \$ - | \$ 22,525 |
| IOWA STATE UNIVERSITY | NUTRITIONAL PROGRAMMING OF YELLOW PERCH LARVAE - ISU/NCRAC/USDA-019585B | 10.200 | 019585B | \$ - | \$ 13,677 |
| PURDUE UNIVERSITY | PRECISION WINTER CEREAL RYE COVER CROPPING - PU/USDA-F9002629302037 | 10.215 | F9002629302037 | \$ - | \$ 15,068 |
| UNIVERSITY OF CALIFORNIA-AGRICULTURE AND NATURAL RESOURCES | QUANTIFYING THE EFFECTS OF RANGELAND CONVERSION - UCANR-SA-18-4401-01 | 10.215 | SA 18-4401-01 | \$ - | \$ 28,382 |
| UNIVERSITY OF MINNESOTA | SOIL ECOSYSTEMS AND ECONOMIC BENEFITS - UM-H007179369 | 10.215 | h007179369 | \$ - | \$ (3,092) |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|--|---|------------------------------|--------------------------------|-------------------|--------------|
| UNIVERSITY OF MINNESOTA | A SOCIO-HYDROLOGICAL FRAMEWORK FOR ASSESSING GROUNDWATER SUSTAINABILITY - UM/NC | 10.215 | H008917117 | \$ - | \$ 2,763 |
| UNIVERSITY OF MINNESOTA | REFINING INTERSEEDING WINTER WHEAT PRACTICES - UM-H008334002 | 10.215 | H008334002 | \$ - | \$ 10,493 |
| UNIVERSITY OF MINNESOTA | RESEARCH AND DEMONSTRATION OF PRECISION PLANING - UM/USDA-H007813944 | 10.215 | H007813944 | \$ - | \$ 14,404 |
| WESTERN ILLINOIS UNIVERSITY | OILSEED PENNYCRESS-A NEW CASH COVER-CROP-WIU/USDA-2019-69012-29851-06 | 10.310 | 2019-69012-29851-06 | \$ - | \$ 9,072 |
| CORNELL UNIVERSITY | INTEGRATED WEED MGMT IN HEMP: A MULTISTATE EFFORT TO EVALUATE PRACTICES - CU-139 | 10.329 | 139252-21125 | \$ - | \$ 14,543 |
| PURDUE UNIVERSITY | LARGEMOUTH BASS MUSCLE HYDROLYSATE - PU/NOAA-F0008309702037 | 11.417 | F0008309702037 | \$ 10,389 | \$ 39,909 |
| AEROSPACE CORPORATION | INVESTIGATION OF MACHINE LEARNING APPLICATIONS - AEROSPACE CORP/DOD-PO#4400000233 | 12.RD | PO 4400000233 | \$ - | \$ 2,573 |
| ILLINOIS DEPARTMENT OF MILITARY AFFAIRS | INSPECT POLLINATOR INVENTORY - IDMA-IGA | 12.RD | IGA | \$ - | \$ 16,580 |
| KBR WYLE SERVICES, LLC | MODELING OF ADVANCED FIELD EFFECT TRANSISTORS - KBR-LX10000026 | 12.RD | LX10000026 | \$ - | \$ 9,713 |
| LYNNTECH | COMPREHENSIVE AND MODULAR MILITARY WORKING DOG DECONTAMINATION KIT - LYNNTECH-ARM | 12.RD | ARM-2122 II | \$ - | \$ 70,711 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | MONITORING BLACK CARP DISTRIBUTION AND POPULATION CHARACTERISTICS - IDNR/USFWS-CA | 15.608 | CAFWS-143D | \$ - | \$ 10,130 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | MONITORING VHS STATUS OF FISH POPULATIONS IN ILLINOIS LAKES AND RIVERS 2021 - IDN | 15.608 | CAFWS-149A | \$ - | \$ 42,777 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | MONITORING VHS STATUS OF FISH POPULATIONS IN ILLINOIS LAKES AND RIVERS 2022 - IDN | 15.608 | CAFWS-156B | \$ - | \$ 2,792 |
| INDIANA DEPARTMENT OF NATURAL RESOURCES | RECRUITMENT SOURCES OF BIGHEADED CARPS IN THE OHIO RIVER BASIN - INDDNR/USFWS-514 | 15.608 | 51408 | \$ - | \$ 80,909 |
| INDIANA DEPARTMENT OF NATURAL RESOURCES | RECRUITMENT SOURCES OF BIGHEADED CARPS IN THE OHIO RIVER BASIN - INDDNR/USFWS-608 | 15.608 | 60847 | \$ - | \$ 1,851 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | AN EVALUATION OF FISH, AMPHIBIAN AND REPTILE DIVERSITY - IDNR-T-130R-1 | 15.634 | T-130-R-1 | \$ - | \$ 95,500 |
| MONTANA FISH WILDLIFE & PARKS | ACIPENSERIFOM REPRODUCTION IN THE MISSOURI RIVER - MFWP-FWP 20-0166 | 15.634 | FWP 20-0166 | \$ - | \$ 12,000 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | EVALUATING THE RESPONSES OF ASIAN CARP TO ENHANCED HARVEST | 15.662 | CSFWS-141A | \$ 35,261 | \$ 35,228 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | ASIAN CARP ENHANCED CONTRACT FISHING PROGRAM AND HYDROACOUSTIC ANALYSIS - IDNR/CA | 15.662 | CAFWS-152C | \$ - | \$ 420,773 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | ASIAN CARP ENHANCED CONTRACT REMOVAL - IDNR/USFWS-CAFWS-141H | 15.662 | CAFWS-141H | \$ - | \$ 79,651 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | ASIAN CARP MANAGEMENT AND CONTROL IN THE ILLINOIS WATERWAY - IDNR/USFWS | 15.662 | CAFWS-146B | \$ 168,911 | \$ 267,830 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | ASSESSING AND IMPROVING HARVEST AS A CONTROL MEASURE FOR BIGHEADED CARP - IDNR/US | 15.662 | CAFWS-153A | \$ 45,018 | \$ 680,575 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | DENSITY AND DISTRIBUTIONS OF BIGHEADED CARP IN THE ILLINOIS RIVER - IDNR/USFWS-CA | 15.662 | CAFWS-146C | \$ - | \$ 312,500 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | MOVEMENT AND DENSITY OF BIGHEADED CARP IN THE ILLINOIS RIVER - IDNR/USFWS-CAFWS-1 | 15.662 | CAFWS-141D | \$ - | \$ (7,383) |
| UNIVERSITY OF MISSOURI | POTENTIAL FOR CONSERVATION LANDS IN MIDDLE MISSISSIPPI RI PARTNERSHIPS AND STRATEGIES TO REDUCE VIOLENT CRIME | 15.812 | C-00059562-1 | \$ - | \$ 22,510 |
| CITY OF SPRINGFIELD | FY16 - CITY OF SPRINGFIELD/IC | 16.738 | 414514 / 416504 / 417504 | \$ - | \$ 49 |
| ST. LOUIS COUNTY, MO | ST. LOUIS COUNTY CASTLE POINT NEIGHBORHOOD REVITALIZATION - ST. LOUIS COUNTY/DOJ | 16.817 | DOJ-2017-AJ-BX-0003 | \$ - | \$ 105,396 |
| CITY OF CARBONDALE | COVID-19 - PROPOSAL TO CONDUCT A NEEDS ASSESSMENT - CITY OF CARBONDALE/ARPA-PROFESSIONAL SER | 21.027 | PROFESSIONAL SERVICES AGREEMEN | \$ - | \$ 33,369 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing | | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|---|--------------------|--|---------------------------|-------------------|---------------|
| | | Number | | | | |
| LIBRARY OF CONGRESS | THE GEOGRAPHIES OF RESILIENCE PROJECT - LOC-GA21C0108 | 42.010 | | GA21C0108 | \$ - | \$ 18,591 |
| UNIVERSITIES SPACE RESEARCH ASSOCIATION | DETECTABILITY OF MAGMATIC INTRUSIONS-USRA/NASA-02291-02 | 43.001 | | 02291-02 | \$ - | \$ 7,799 |
| UNIVERSITY OF COLORADO | INVESTIGATION OF JAROSITE AND ALUNITE BEARING SANDSTONES | 43.001 | | 1557892 | \$ - | \$ 35,941 |
| UNIVERSITY OF NOTRE DAME | - UC/NASA - 1557892 | 47.049 | | 202789SIUC | \$ - | \$ 4,355 |
| BOTANICAL RESEARCH INSTITUTE OF TEXAS | COLLABORATION IN COMPUTABILITY - ND/NSF-202789SIUC | 47.074 | | BRIT1754697 | \$ - | \$ 2,517 |
| HOWARD UNIVERSITY | PLANT DISCOVERY IN THE SOUTHERN PHILIPPINES - BRIT/NSF-BRIT1754697 | 47.083 | | 0009861-1000095920 | \$ - | \$ 36,638 |
| UNIVERSITY OF ILLINOIS | NSF CONVERGENCE ACCELERATOR-TRACK D - HOWARD UNIVERSITY-0 | 47.083 | | 107791-18862 | \$ - | \$ 9,737 |
| LAWRENCE LIVERMORE NATIONAL LABORATORY | NSF CONVERGENCE ACCELERATOR-TRACK C:QUSTEAM - U OF I/OSU/NSF-107791 18862 | 81.RD | | B644670 | \$ - | \$ 20,294 |
| UNIVERSITY OF ILLINOIS | PER-ORAL IMMUNIZATION WITH MOMP ANTIGEN - LLNL-B644670 | 81.089 | | N/A | \$ - | \$ 24,564 |
| UNIVERSITY OF WYOMING | ILLINOIS BASIN CARBON ORE, RARE EARTH, AND CRITICAL MINERALS - UIUC/DOE | 81.089 | | 1004486-SIU | \$ - | \$ 31,019 |
| NOVEAR THERAPEUTICS | UNLOCKING THE TIGHT OIL RESERVOIRS OF THE POWDER RIVER BASIN - UW/USDOE-1004486-S | 93.173 | | N/A | \$ - | \$ 485 |
| UNIVERSITY OF WASHINGTON | DRUG DEVELOPMENT FOR HEARING LOSS - RAMKUMAR - NOVEAR THER | 93.173 | | UWSC11043 (7550 & 759508) | \$ - | \$ 47,964 |
| VANDERBILT UNIVERSITY | FATE ACQUISITION AND FUNCTION OF TYPE 1 AND 2 VESTIBULAR HAIR CELLS - COX - UNIV | 93.173 | | N/A | \$ - | \$ 5,639 |
| UNIVERSITY OF ALABAMA | PHYSIOLOGICAL SIGNATURES & BEHAVIORAL CORRELATES TO HIDDEN AL-SBIRT: SCREENING, BRIEF INTERVENTION, AND REFERRAL - UA/ALDMH/SAMHSA/DHHS-A21 | 93.243 | | A21-0051-S004 | \$ - | \$ 7,757 |
| UNIVERSITY OF CHICAGO | ETHIC (PWID) UG3/UH3-JENKINS-UNIVERSITY OF CHICAGO | 93.279 | | N/A | \$ - | \$ 634,790 |
| NORTH CAROLINA STATE UNIVERSITY | MOLECULAR MRI OF BRAIN METABOLISM - NCSU-2020-0679-03 | 93.286 | | 2020-0679-03 | \$ - | \$ 34,638 |
| UNIVERSITY OF NEBRASKA | MECHANISMS OF GENOME INSTABILITY - UN/NIH-34-1905-2251-0 | 93.393 | | 34-1905-2251-001 | \$ - | \$ 22,522 |
| WAYNE STATE UNIVERSITY | MAGNETIC RESONANCE SPECTROSCOPY AND MOLECULAR IMAGING - W | 93.393 | | WSU18087 | \$ - | \$ (1) |
| NEW ENGLAND RESEARCH INSTITUTE | BEST-CLI: ENDOVASCULAR VS. SURGICAL THERAPY IN PATIENTS WI | 93.837 | | N/A | \$ - | \$ 5,346 |
| UNIVERSITY OF ILLINOIS CHICAGO | ACTIV-4 INTEGRATION OF HOST-TARGETING THERAPIES FOR COVID- | 93.838 | | N/A | \$ - | \$ 6,891 |
| UNIVERSITY OF CINCINNATI | ARCADIA TRIAL: ATRIAL CARDIOPATHY AND ANTITHROMBOTIC DRUGS | 93.853 | | N/A | \$ - | \$ (606) |
| UNIVERSITY OF CINCINNATI | SLEEP FOR STROKE MGMT AND RECOVERY TRIAL (SLEEP SMART) - ALLAM - UNIV OF CINCINNA | 93.853 | | 011337-135811 | \$ - | \$ 19 |
| UNIVERSITY OF NEBRASKA | ROLE OF THE CLP PROTEASE SYSTEMS - UN-34-5301-2202-001 | 93.855 | | 34-5301-2202-001 | \$ - | \$ 30,749 |
| UNIVERSITY OF MISSISSIPPI MEDICAL CENTER | THE ROLE OF Pou4f3 IN AGE-RELATED VESTIBULAR DYSFUNCTION - COX - UNIVERSITY OF MI | 93.866 | | SP14271-SB1 | \$ - | \$ 213,271 |
| ST. LOUIS COUNTY, MO | MINORITY YOUTH VIOLENCE PREVENTION II - ST. LOUIS COUNTY, MO-18001066-00 | 93.910 | | PO 18001066-00 | \$ - | \$ 39,492 |
| RUSH UNIVERSITY MEDICAL CENTER | GERIATRIC WORKFORCE ENHANCEMENT PROGRAM - RUSH UNIV | 93.969 | | N/A | \$ - | \$ 48,642 |
| Subtotal Pass-Through Programs | | | | | \$ 259,579 | \$ 3,861,950 |
| Total Research and Development Cluster | | | | | \$ 374,283 | \$ 12,661,524 |

Health Center Program Cluster
Direct Programs:

| | | | | | |
|---|--------|--|------------------|------|--------------|
| COVID-19-FQHC AMERICAN RESCUE PLAN ACT HEALTH CENTER AWARD - WESLEY - DHHS/HRSA | 93.224 | | H8FCS41336-01-01 | \$ - | \$ 1,958,384 |
| FQHC HEALTH CLUSTER CENTER YEARS 10-12 - WESLEY - DHHS/HRSA | 93.224 | | H80CS24098-11-01 | \$ - | \$ 635,135 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|--|---|------------------------------|----------------------|-------------------|---------------------|
| | FQHC HEALTH CLUSTER CENTER YEARS 10-12 - WESLEY - DHHS/HRSA | 93.527 | H80CS24098-11-01 | \$ 102,667 | \$ 2,492,232 |
| | Total Health Center Program Cluster | | | \$ 102,667 | \$ 5,085,751 |
| Fish and Wildlife Cluster | | | | | |
| Pass-Through Programs From: | | | | | |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | OHIO RIVER FISH POPULATION MONITOR & SPORT FISHERIES INVESTIGATIONS IN SOUTHERN I | 15.605 | F-187-R-10 | \$ - | \$ 54,412 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | OHIO RIVER FISH POPULATION MONITORING FY21 - IDNR/USFWS-F-187-R-09 | 15.605 | F-187-R-09 | \$ - | \$ 3,889 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | IDENTIFYING WETLAND AVAILABILITY & QUALITY FY19 - IDNR/US | 15.611 | W-184-R-5 | \$ - | \$ 7 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | IMPACT TO WATERFOWL - IDNR/USFWS-W-202-R-2 | 15.611 | W-202-R-2 | \$ - | \$ (1,210) |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | COOPERATIVE UPLAND WILDLIFE RESEARCH & SURVEYS FY21 - IDNR | 15.611 | W-106-R-31 | \$ - | \$ (19,553) |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | COOPERATIVE FUR-BEARING AND NON GAME MAMMAL INVESTIGATIONS - IDNR/USFWS-W-135-R21 | 15.611 | W-135-R-21 | \$ - | \$ 52,336 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | COOPERATIVE FURBEARING AND NONGAME INVESTIGATIONS - IDNR/USFWS-W-135-R-22 | 15.611 | W-135-R-22 | \$ - | \$ 342,060 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | COOPERATIVE UPLAND RESEARCH AND SURVEYS - IDNR/USFWS-W-106-R-32 | 15.611 | W-106-R-32 | \$ - | \$ 179,688 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | HABITAT, COMPETITION, DISTRIBUTION, AND MANAGEMENT OF GEESE - IDNR/USFWS-W-210-R- | 15.611 | W-210-R-1 | \$ - | \$ 121,161 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | ILLINOIS DEER INVESTIGATIONS - IDNR/USFWS-W-87-R-44 | 15.611 | W-87-R-44 | \$ - | \$ 316,498 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | IMPACT TO WATERFOWL OF DISTURBANCE FROM NON-CONSUMPTIVE USER - IDNR/USFWS-W-202-R | 15.611 | W-202-R-3 | \$ - | \$ 29,071 |
| | Total Fish and Wildlife Cluster | | | \$ - | \$ 1,078,359 |
| WIOA Cluster | | | | | |
| Pass-Through Programs From: | | | | | |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA RAPID RESPONSE (TASK 1) - IDCEO/USDOL-IGA | 17.258 | IGA | \$ - | \$ (30) |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA CAREER SERVICES (TASK 2) - IDCEO/USDOL-IGA | 17.258 | IGA | \$ - | \$ (30) |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA STRATEGIC WORKFORCE INITIATIVES (TASK 3) - IDCEO/USDOL-IGA | 17.258 | IGA | \$ - | \$ (30) |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA RAPID RESPONE (TASK 1) - IDCEO/USDOL-IGA | 17.258 | IGA | \$ - | \$ 43,906 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA CAREER SERVICES (TASK 2) - IDCEO/USDOL-IGA | 17.258 | IGA | \$ - | \$ 25,373 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA STRATEGIC WORKFORCE INITIATIVES (TASK 3) - IDCEO/USDOL-IGA | 17.258 | IGA | \$ - | \$ 91,897 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET RAPID RESPONSE (TASK 1) - IDCEO/USDOL/IGA | 17.258 | IGA | \$ - | \$ 88,971 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | STATEWIDE CAREER SERVICES AND ILLINOIS WORKNET (TASK 2) - IDCEO/USDA/IGA | 17.258 | IGA | \$ - | \$ 62,929 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET STRATEGIC WORKFORCE DEVELOPMENT INITIATIVES (TASK 3) - IDCEO/USD | 17.258 | IGA | \$ - | \$ 92,271 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA RAPID RESPONE (TASK 1) - IDCEO/USDOL-IGA | 17.259 | IGA | \$ - | \$ 46,610 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA CAREER SERVICES (TASK 2) - IDCEO/USDOL-IGA | 17.259 | IGA | \$ - | \$ 26,936 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing | | Sponsor Grant Number | Subaward Payments | Expenditures |
|--|---|--------------------|------------|----------------------|-------------------|---------------------|
| | | Number | | | | |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA STRATEGIC WORKFORCE INITIATIVES (TASK 3) - IDCEO/USDOL-IGA | 17.259 | IGA | | \$ - | \$ 97,557 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET RAPID RESPONSE (TASK 1) - IDCEO/USDOL/IGA | 17.259 | IGA | | \$ - | \$ 94,451 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | STATEWIDE CAREER SERVICES AND ILLINOIS WORKNET (TASK 2) - IDCEO/USDA/IGA | 17.259 | IGA | | \$ - | \$ 66,805 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET STRATEGIC WORKFORCE DEVELOPMENT INITIATIVES (TASK 3) - IDCEO/USD | 17.259 | IGA | | \$ - | \$ 97,954 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA RAPID RESPONE (TASK 1) - IDCEO/USDOL-IGA | 17.278 | IGA | | \$ - | \$ 68,563 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA CAREER SERVICES (TASK 2) - IDCEO/USDOL-IGA | 17.278 | IGA | | \$ - | \$ 39,622 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET WIOA STRATEGIC WORKFORCE INITIATIVES (TASK 3) - IDCEO/USDOL-IGA | 17.278 | IGA | | \$ - | \$ 143,506 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET RAPID RESPONSE (TASK 1) - IDCEO/USDOL/IGA | 17.278 | IGA | | \$ - | \$ 138,936 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | STATEWIDE CAREER SERVICES AND ILLINOIS WORKNET (TASK 2) - IDCEO/USDA/IGA | 17.278 | IGA | | \$ - | \$ 98,270 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | ILLINOIS WORKNET STRATEGIC WORKFORCE DEVELOPMENT INITIATIVES (TASK 3) - IDCEO/USD | 17.278 | IGA | | \$ - | \$ 144,090 |
| | Total WIOA Cluster | | | | <u>\$ -</u> | <u>\$ 1,468,557</u> |
| Highway Safety Cluster | | | | | | |
| Pass-Through Programs From: | | | | | | |
| ILLINOIS DEPARTMENT OF TRANSPORTATION | FY21 THINK FIRST INJURY PREVENTION PROGRAM - EAIRHEART - I | 20.600 | N/A | | \$ 6,029 | \$ 85,771 |
| ILLINOIS DEPARTMENT OF TRANSPORTATION | FY22 THINK FIRST INJURY PREVENTION PROGRAM - EAIRHEART - IL DEPT OF TRANSPORTATIO | 20.600 | HS-22-0253 | | \$ - | \$ 252,609 |
| | Total Highway Safety Cluster | | | | <u>\$ 6,029</u> | <u>\$ 338,380</u> |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|---|------------------------------|----------------------|-------------------|---------------------|
| Aging Cluster | | | | | |
| Pass-Through Programs From: | | | | | |
| EGYPTIAN AREA AGENCY ON AGING | LEGAL SERVICES TO OLDER PERSONS - EAAA-20508/20408 | 93.044 | 20508/20408 | \$ - | \$ 645 |
| EGYPTIAN AREA AGENCY ON AGING | LEGAL SERVICES TO OLDER PERSONS - EAAA-21508-21408 | 93.044 | 21508/21408 | \$ - | \$ 16,169 |
| EGYPTIAN AREA AGENCY ON AGING | LEGAL SERVICES TO OLDER PERSONS - EAAA-22508/22408 | 93.044 | 22508/22408 | \$ - | \$ 28,292 |
| EGYPTIAN AREA AGENCY ON AGING | LEGAL SERVICES TO OLDER PERSONS-ARP - EAAA-22508 | 93.044 | 22508 | \$ - | \$ 5,081 |
| EGYPTIAN AREA AGENCY ON AGING | COVID-19-LEGAL SERVICES TO OLDER PERSONS-COVID FUNDING - EAAA-AP 2020 COVID | 93.044 | AP 2020 COVID | \$ - | \$ 1,807 |
| EGYPTIAN AREA AGENCY ON AGING | LEGAL SERVICES TO OLDER PERSONS - EAAA-20508/20408 | 93.052 | 20508/20408 | \$ - | \$ 123 |
| EGYPTIAN AREA AGENCY ON AGING | LEGAL SERVICES TO OLDER PERSONS - EAAA-21508-21408 | 93.052 | 21508/21408 | \$ - | \$ 3,080 |
| EGYPTIAN AREA AGENCY ON AGING | LEGAL SERVICES TO OLDER PERSONS - EAAA-22508/22408 | 93.052 | 22508/22408 | \$ - | \$ 5,389 |
| EGYPTIAN AREA AGENCY ON AGING | LEGAL SERVICES TO OLDER PERSONS-ARP - EAAA-22508 | 93.052 | 22508 | \$ - | \$ 968 |
| EGYPTIAN AREA AGENCY ON AGING | COVID-19-LEGAL SERVICES TO OLDER PERSONS-COVID FUNDING - EAAA-AP 2020 COVID | 93.052 | AP 2020 COVID | \$ - | \$ 344 |
| | Total Aging Cluster | | | <u>\$ -</u> | <u>\$ 61,898</u> |
| Head Start Cluster | | | | | |
| Direct Programs: | | | | | |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | HEAD START BASIC FY21 - DHHS-05CH011588-01-00 | 93.600 | 05CH011588-01-00 | \$ - | \$ 214,949 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | COVID-19-HEAD START FY21 COVID RESPONSE - DHHS | 93.600 | N/A | \$ - | \$ (42) |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | HEAD START START-UP FUNDS FY21 - DHHS-05CH011588-01-00 | 93.600 | 05CH011588-01-00 | \$ - | \$ 23,292 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | HEAD START 2021 CONSOLIDATED APPROPRIATIONS ACT, ONE TIME FUNDING - DHHS/ACF-05HE | 93.600 | 05HE001058-01-00 | \$ - | \$ 72,836 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | COVID-19-HEAD START AMERICAN RESCUE PLAN - ACF/DHHS-05HE001058-01-01 | 93.600 | 05HE001058-01-01 | \$ - | \$ 67,492 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | HEAD START BASIC FY22 - CONTINUATION YEAR 2 - ACF/DHHS-05CH011588-02 | 93.600 | 05CH011588-02 | \$ - | \$ 3,706,302 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | HEAD START SUPPLEMENTAL SAFETY FUNDS - DHHS-05CH011588-02-01 | 93.600 | 05CH011588 | \$ - | \$ 118,552 |
| U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | HEAD START T/TA FY22 - ACF/DHHS-05CH011588-02 | 93.600 | 05CH011588-02 | \$ - | \$ 41,488 |
| | Total Head Start Cluster | | | <u>\$ -</u> | <u>\$ 4,244,869</u> |
| U. S. DEPARTMENT OF AGRICULTURE | | | | | |
| Direct Programs: | | | | | |
| | SUMMER 2017 ARCHAEOLOGICAL FIELD SCHOOL SUPPORT - SNF/FS/USDA-17-PA-11090800-016 | 10.U01 | 17-PA-11090800-016 | \$ - | \$ 3,534 |
| | Subtotal Direct Programs | | | <u>\$ -</u> | <u>\$ 3,534</u> |
| Pass-Through Programs From: | | | | | |
| ILLINOIS DEPARTMENT OF AGRICULTURE | FARM FAMILY RESOURCE INITIATIVE FY22 PASS-THROUGH - WILLIAMS - ILLINOIS DEPT OF A | 10.525 | 2021-70035-35435 | \$ - | \$ 32,247 |
| ILLINOIS STATE BOARD OF EDUCATION | CHILD & ADULT CARE FOOD & COMMDITIES PROGRAM | 10.558 | N/A | \$ - | \$ 219,165 |
| ILLINOIS STATE BOARD OF EDUCATION | CHILD NUTRITION SAE ADMIN | 10.560 | N/A | \$ - | \$ 2,120 |
| | Subtotal Pass-Through Programs | | | <u>\$ -</u> | <u>\$ 253,532</u> |
| | Total U. S. Department of Agriculture | | | <u>\$ -</u> | <u>\$ 257,066</u> |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing | | Sponsor Grant Number | Subaward Payments | Expenditures |
|--|--|--------------------|---------------------|----------------------|-------------------|---------------------|
| | | Number | | | | |
| U. S. DEPARTMENT OF COMMERCE | | | | | | |
| Pass-Through Programs From: | | | | | | |
| ILLINOIS MANUFACTURING EXCELLENCE CENTER, BRADLEY UNIVERSITY | ILLINOIS MANUFACTURING EXCELLENCE CENTER-FY21 - IMEC | 11.611 | N/A | | \$ - | \$ 256,114 |
| ILLINOIS MANUFACTURING EXCELLENCE CENTER, BRADLEY UNIVERSITY | ILLINOIS MANUFACTURING EXCELLENCE CENTER - IMEC/BU/USDC-FY22 | 11.611 | FY2022-1 | | \$ - | \$ 705,851 |
| ILLINOIS MANUFACTURING EXCELLENCE CENTER, BRADLEY UNIVERSITY | IMEC EXTENSION SERVICES | 11.611 | 2021.06.037 | | \$ - | \$ 41,192 |
| | Total U. S. Department of Commerce | | | | <u>\$ -</u> | <u>\$ 1,003,157</u> |
| U. S. DEPARTMENT OF JUSTICE | | | | | | |
| Pass-Through Programs From: | | | | | | |
| ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY | FQHC TRAUMA RECOVERY CENTER YR 1 - GOLDEN - ILLINOIS CRIMI | 16.575 | N/A | | \$ 7,499 | \$ 259,159 |
| ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY | FQHC TRAUMA RECOVERY CENTER YR2 - GOLDEN - ILLINOIS CRIMINAL JUSTICE INFORMATION | 16.575 | 218594 | | \$ 28,792 | \$ 643,117 |
| | Total U. S. Department of Justice | | | | <u>\$ 36,291</u> | <u>\$ 902,276</u> |
| U. S. DEPARTMENT OF LABOR | | | | | | |
| Direct Programs: | | | | | | |
| | LANGUAGE AND LITERACY APPROPRIATE SAFETY TRAINING-USDOL - | 17.502 | SH99052SH0 | | \$ - | \$ 38,500 |
| | LANGUAGE AND LITERACY APPROPRIATE SAFETY TRAINING AND EDUCATIONAL DEVELOPMENT- US | 17.502 | SH-37196-21-60-F-17 | | \$ - | \$ 38,049 |
| | Subtotal Direct Programs | | | | <u>\$ -</u> | <u>\$ 76,549</u> |
| Pass-Through Programs From: | | | | | | |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY MANAGEMENT, TRAINING AND CONSULTING CORP. | ILLINOIS WORKNET-WDQI PROPATH ILLINOIS - IDCEO-IGA | 17.261 | IGA | | \$ - | \$ 103,516 |
| | DEVELOP OF VIRTUAL REALITY MOD FOR FAA 147 AVIATION MAINTENANCE TECH TRAIN - MANT | 17.280 | PY20-DRA-SIU | | \$ - | \$ 64,037 |
| | Subtotal Pass-Through Programs | | | | <u>\$ -</u> | <u>\$ 167,553</u> |
| | Total U. S. Department of Labor | | | | <u>\$ -</u> | <u>\$ 244,102</u> |
| U. S. DEPARTMENT OF THE TREASURY | | | | | | |
| Pass-Through Programs From: | | | | | | |
| ILLINOIS DEPT OF HEALTHCARE AND FAMILY SVCS | COVID-19-FQHC CARES ACT COVID STABILITY PAYMENTS CURE000027 - WESLEY - IL DEPT OF HEALTHCA | 21.019 | N/A | | \$ - | \$ (1) |
| JACKSON COUNTY HEALTH DEPARTMENT | COVID-19-LOCAL LHEALTH DEPARTMENT CONTACT TRACING GRANT - JCHD/IDPH/CDC | 21.019 | N/A | | \$ - | \$ 4,268 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | COVID-19 - HOMELESS YOUTH PROGRAM - IDHS | 21.027 | N/A | | \$ - | \$ 32,558 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | COVID-19 - JOB TRAINING AND ECONOMIC DEVELOPMENT (JTED) PROGRAM - IDCEO-IGA | 21.027 | IGA | | \$ - | \$ 2,699 |
| GREATER EGYPT PLANNING & DEVELOPMENT COMMISSION | COVID-19 - SOUTHERN ILLINOIS SMALL BUSINESS COMMUNITY NAVIGATOR PROGRAM - IDCEO | 21.027 | N/A | | \$ - | \$ 192,135 |
| | Total U. S. Department of the Treasury | | | | <u>\$ -</u> | <u>\$ 231,659</u> |
| FEDERAL COMMUNICATIONS COMMISSION | | | | | | |
| Direct Programs: | | | | | | |
| | COVID-19-FCC COVID-19 TELEHEALTH PROGRAM - KESSEL - FEDERAL COMMUNICATIONS COMMISSION | 32.006 | 0785 | | \$ - | \$ 201,240 |
| | Total Federal Communications Commission | | | | <u>\$ -</u> | <u>\$ 201,240</u> |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|--|---|------------------------------|----------------------|-------------------|-------------------|
| NATIONAL AERONAUTICS & SPACE ADMINISTRATION | | | | | |
| Direct Programs: | | | | | |
| | NASA WINGS SUMMER CAMP - NASA-NNX16AL57G | 43.007 | NNX16AL57G | \$ - | \$ 1,088 |
| | Total National Aeronautics & Space Administration | | | <u>\$ -</u> | <u>\$ 1,088</u> |
| NATIONAL ENDOWMENT FOR THE HUMANITIES | | | | | |
| Direct Programs: | | | | | |
| | ANCIENT PRACTICES - NEH-AKB-265638-19 | 45.162 | AKB-265638-19 | \$ - | \$ 5,218 |
| | Subtotal Direct Programs | | | <u>\$ -</u> | <u>\$ 5,218</u> |
| Pass-Through Programs From: | | | | | |
| ILLINOIS ARTS COUNCIL | SOUTHERN ILLINOIS MUSIC FESTIVAL - IAC/NEA-2022-0033910 | 45.025 | 2022-0033910 | \$ - | \$ 3,840 |
| ILLINOIS ARTS COUNCIL | SOUTHERN ILLINOIS MUSIC FESTIVAL - IAC-20211421 | 45.025 | 20211421 | \$ - | \$ 4,480 |
| ILLINOIS ARTS COUNCIL | SOUTHERN ILLINOIS SYMPHONY AND MUSIC FESTIVAL-ARPA-2022-MUSIC - IAC-2022-0034133 | 45.025 | 2022-0034133 | \$ - | \$ 5,000 |
| | Subtotal Pass-Through Programs | | | <u>\$ -</u> | <u>\$ 13,320</u> |
| | Total National Endowment for the Humanities | | | <u>\$ -</u> | <u>\$ 18,538</u> |
| SMALL BUSINESS ADMINISTRATION | | | | | |
| Pass-Through Programs From: | | | | | |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | SMALL BUSINESS DEVELOPMENT CENTER-FEDERAL 2021 - IDCEO-21- | 59.037 | 21-181125 | \$ - | \$ 127,322 |
| ILLINOIS DEPARTMENT OF COMMERCE & ECONOMIC OPPORTUNITY | COVID-19-SMALL BUSINESS DEVELOPMENT CENTER-COVID SUPPLEMENTAL FUNDING - IDCEO | 59.037 | 20-543125 | \$ - | \$ 48,287 |
| | Total Small Business Administration | | | <u>\$ -</u> | <u>\$ 175,609</u> |
| U. S. ENVIRONMENTAL PROTECTION AGENCY | | | | | |
| Pass-Through Programs From: | | | | | |
| ILLINOIS EMERGENCY MANAGEMENT AGENCY | STATE INDOOR RADON EDUCATION - CHERRY - IEMA | 66.032 | N/A | \$ - | \$ 16,415 |
| ILLINOIS EMERGENCY MANAGEMENT AGENCY | STATE INDOOR RADON EDUCATION FY22 - CHERRY - IEMA | 66.032 | 22RDNSIU | \$ - | \$ 27,808 |
| ILLINOIS EMERGENCY MANAGEMENT AGENCY | WHOLE HOUSE HEALTH MULTIPURPOSE GRANT - CHERRY - ILLINOIS EMERGENCY MANAGEMENT AG | 66.204 | 20SIUMPG Radon | \$ - | \$ 23,918 |
| ILLINOIS EMERGENCY MANAGEMENT AGENCY | WHOLE HOUSE HEALTH MULTIPURPOSE GRANT FY22 - YARNELL - IEMA | 66.204 | 22RDNSIUMP Radon | \$ - | \$ 3,647 |
| | Total U. S. Environmental Protection Agency | | | <u>\$ -</u> | <u>\$ 71,788</u> |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---------------------------------------|--|------------------------------|-------------------------|-------------------|----------------------|
| U. S. DEPARTMENT OF EDUCATION | | | | | |
| Direct Programs: | | | | | |
| Education Stabilization Fund: | | | | | |
| | COVID-19-FEDERAL CARES HIGHER EDUCATION EMERGENCY RELIEF FUND (HEERF) | 84.425E | P425E200066 | \$ - | \$ 12,563,432 |
| | COVID-19-FEDERAL CARES ACT GRANT - INSTITUTIONAL | 84.425F | P425F201505 | \$ - | \$ 197,531 |
| | COVID-19-HIGHER EDUCATION EMERGENCY RELIEF FUND III- INSTITUTIONAL | 84.425F | P425F201505 | \$ - | \$ 571,974 |
| | Subtotal Education Stabilization Fund | | | \$ - | \$ 13,332,937 |
| Pass-Through Programs From: | | | | | |
| Education Stabilization Fund: | | | | | |
| ILLINOIS BOARD OF HIGHER EDUCATION | ILLINOIS TUTORING INITIATIVE - IBHE | 84.425 | 22&23TUTORIPO-SIU | \$ - | \$ 6,356 |
| ILLINOIS BOARD OF HIGHER EDUCATION | COVID-19-GOVERNOR'S EMERGENCY EDUCATION RELIEF FUND (GEER) | 84.425C | N/A | \$ - | \$ 386,195 |
| | Subtotal Education Stabilization Fund | | | \$ - | \$ 392,551 |
| ILLINOIS STATE BOARD OF EDUCATION | SPECIAL EDUCATION BEHAVIOR ASSESSMENT TRAINING PROJECT - ISBE-22-586SBE-FINAN-B-2 | 84.027 | 22-586SBE-FINAN-B-23806 | \$ - | \$ 6,240 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC CONTRACT FOR DRS SERVICES FY20 - IDHS | 84.126 | N/A | \$ - | \$ (149) |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDG FY22 ADULT POS - IDHS/DRS-46CAF03527 | 84.126 | 46CAF03527 | \$ - | \$ 335,028 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC FY22 MILESTONE - IDHS/DRS-46CAF03081 | 84.126 | 46CAF03081 | \$ - | \$ 27,319 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC FY22 YOUTH WITH DISABILITY RESIDENTIAL - IDHS/DRS- 46CAF03526 | 84.126 | 46CAF03526 | \$ - | \$ 290,394 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC MILESTONE AGREEMENT FY15 - IDHS-46CTD03081 | 84.126 | 46CTD03081 | \$ - | \$ 342 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC MILESTONE AGREEMENT FY2017 - IDHS-46CVF03081 | 84.126 | 46CVF03081 | \$ - | \$ 161 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC POS CONTRACT AGREEMENT FY2017 - IDHS-46CVF03086 | 84.126 | 46CVF03086 | \$ - | \$ (2,913) |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC-MILESTONE CONTRACT - IDHS/DRS | 84.126 | 46CZF03081 | \$ - | \$ 8,196 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC-POS COMMUNITY BASED EMPLOYMENT SERVICES - IDHS/DRS | 84.126 | 46CZF03527 | \$ - | \$ 12,418 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC-POS YOUTH WITH A DISABILITY RESIDENTIAL CONTRACT - IDHS/DRS | 84.126 | 46CZF03526 | \$ - | \$ 55,556 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EDC-SEP CONTRACT (DRS) FY20 - IDHS | 84.126 | N/A | \$ - | \$ 998 |
| ILLINOIS STATE BOARD OF EDUCATION | ILLINOIS SCIENCE ASSESSMENT PARTNERSHIP - ITEM DEVELOPMENT AND SCORING - ISBE-IGA | 84.369 | IGA | \$ 659,993 | \$ 2,069,475 |
| ILLINOIS STATE BOARD OF EDUCATION | SOUTHERN ILLINOIS ITEM CLUSTER DEVELOPMENT COLLABORATIVE - ISBE-IGA | 84.369 | IGA | \$ 22,544 | \$ 156,235 |
| | Subtotal Pass-Through Programs | | | \$ 682,537 | \$ 2,959,300 |
| | Total U. S. Department of Education | | | \$ 682,537 | \$ 16,684,788 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|--|------------------------------|----------------------|-------------------|---------------------|
| U. S. DEPARTMENT OF HEALTH & HUMAN SERVICES | | | | | |
| Direct Programs: | | | | | |
| | FQHC LIFE RCORP (FY21-FY24) - CONNOLLY - DHHS/HRSA | 93.211 | 1GA1RH40070-01-03 | \$ 25,952 | \$ 140,311 |
| | FQHC HEALTH CENTER INFRASTRUCTURE SUPPORT - WESLEY - DHHS/HRSA | 93.526 | 1C8ECS44470-01-00 | \$ - | \$ 54,881 |
| | TRAUMA BASED BEHAVIORAL HEALTH FELLOWSHIP: GRADUATE SOCIAL WORK TRAINING-DHHS-1-M | 93.732 | 1-M01HP31341-01-00 | \$ - | \$ 145,047 |
| | FY22 -FY25 TRAUMA BASED BEHAVIORAL HEALTH FELLOWSHIP - MEYER - DHHS/HRSA | 93.732 | 1 MC1HP42101-02-00 | \$ - | \$ 404,354 |
| | BEHAVIORAL HEALTH WORKFORCE EDUCATION & TRAINING PROGRAM (CRSS PIPELINE) - WOLF - | 93.732 | 1M01HP42449-01-00 | \$ - | \$ 62,878 |
| | PRIMARY CARE TRAINING AND ENHANCEMENT-ALBERS-DHHS/HRSA | 93.884 | N/A | \$ - | \$ 2,251 |
| | ILLINOIS DELTA NETWORK 2-OHRP/HRSA/DHHS-1D60RH25763-04-00 | 93.912 | 1D60RH25763-04-00 | \$ 88,383 | \$ 132,628 |
| | SANGAMON COUNTY ILLINOIS ASSISTED OUTPATIENT TREATMENT - YARNELL - DHHS | 93.997 | 5H79SM082910-02 | \$ - | \$ 640,510 |
| | Subtotal Direct Programs | | | \$ 114,335 | \$ 1,582,860 |
| Pass-Through Programs From: | | | | | |
| ILLINOIS NETWORK OF CHILD CARE RESOURCE & REFERRAL AGENC | COVID-19-CHILD CARE RESTORATION GRANT 2021 CARES ACT - INCCRRA/IDHS- | 93.069 | N/A | \$ - | \$ 176,640 |
| ILLINOIS NETWORK OF CHILD CARE RESOURCE & REFERRAL AGENC | COVID-19-CHILD CARE RESTORATION GRANT-COVID SUPPLEMENTAL FUNDING - | 93.069 | N/A | \$ - | \$ 30,921 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | ILLINOIS PRESCRIPTION MONITORING PROGRAM (ILPMP) CRHSSD COORDINATOR - IDHS/CDC-49 | 93.136 | 49I1145M40 | \$ - | \$ 2,547 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH | ORAL HEALTH WORKFORCE GRANT - IDPH/DHHS-13489106I | 93.236 | 13489106I | \$ - | \$ 9,384 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH | ORAL HEALTH WORKFORCE GRANT - IDPH-23489106J | 93.236 | 23489106J | \$ - | \$ 14,797 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH (IDPH) | ORAL HEALTH WORKFORCE ACTIVITIES - YARNELL - IDPH | 93.236 | 23489105J | \$ - | \$ 8,488 |
| ILLINOIS PRIMARY HEALTH CARE ASSOCIATION | FQHC ILLINOIS ORAL HEALTH WORKFORCE ACTIVITIES - MOLITORIS | 93.236 | N/A | \$ - | \$ 3,028 |
| ILLINOIS PRIMARY HEALTH CARE ASSOCIATION (IPHCA) | COVID-19-FQHC PANDEMIC HEALTH NAVIGATOR PROGRAM - WESLEY - ILLINOIS PRIMARY HEALTH CARE AS | 93.323 | 210016 | \$ - | \$ 319,072 |
| ILLINOIS PRIMARY HEALTH CARE ASSOCIATION (IPHCA) | FQHC 2021 CMS NAVIGATOR PROGRAM - WESLEY - ILLINOIS PRIMARY HEALTH CARE ASSOCIATI | 93.332 | NAVCA210404-01-00 | \$ - | \$ 29,883 |
| ILLINOIS HEALTH AND HOSPITAL ASSOCIATION | HYPERTENSION ECHO COHORT 6 - WILLIAMS - ILLINOIS HEALTH AN | 93.426 | N/A | \$ - | \$ 34,388 |
| ADMINISTRATIVE OFFICE OF THE ILLINOIS COURTS | JUVENILE JUSTICE CLINIC - AOIC-CIPTRNG-G-2001 | 93.586 | CIPTRNG-G-2001 | \$ - | \$ 40,774 |
| ADMINISTRATIVE OFFICE OF THE ILLINOIS COURTS | JUVENILE JUSTICE CLINIC - AOIC-CIPTRNG-G-2101 | 93.586 | CIPTRNG-G-2101 | \$ - | \$ 92,183 |
| ILLINOIS DEPARTMENT OF CHILDREN & FAMILY SERVICES (IDCFS) | FY20-FY22 CMRN CHILDRENS MEDICAL RESOURCE NETWORK - SWAFFORD - IDCFS | 93.643 | 0457439020 | \$ - | \$ 378,154 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CARBONDALE
For the Year ended June 30, 2022

| Federal Grantor/Pass-Through | Program Title | Assistance Listing | | Sponsor Grant Number | Subaward Payments | Expenditures |
|--|---|--------------------|--|----------------------|-------------------|----------------|
| | | Number | | | | |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EMPLOYABILITY DEVELOPMENT - IDHS | 93.667 | | FCSZJ03374 | \$ - | \$ 8,809 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | PROJECT 12 WAYS FY21 - IDHS | 93.667 | | FCSZJ03371 | \$ - | \$ 40,414 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | EMPLOYABILITY DEVELOPMENT FY22 - IDHS FCSAJ03374 | 93.667 | | FCSAJ03374 | \$ - | \$ 51,360 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | PROJECT 12-WAYS FY22 - IDHS | 93.667 | | FCSAJ03371 | \$ - | \$ 489,808 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | SUD LEADERSHIP CENTER - IDHS/DHHS-43CAC03653 | 93.788 | | 43CAC03653 | \$ 461,357 | \$ 881,396 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES (IDHS) | REGIONAL SUD LEADERSHIP CENTER - FRANKLIN - ILLINOIS DEPT OF HUMAN SERVICES | 93.788 | | 43CZC03653 | \$ - | \$ 25,680 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH (IDPH) | COMPREHENSIVE CANCER SURVIVORSHIP LIFESTYLE CHANGE PROGRAM - YARNELL - ILLINOIS D | 93.898 | | 132840011-1 | \$ - | \$ 56,982 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH (IDPH) | FY22 IBCCP GRANT - WORRALL - IDPH | 93.898 | | 26100003J | \$ - | \$ 5,599 |
| ARROWLEAF | ARROWLEAF RURAL COMMUNITIES OPIOID RESPONSE PROGRAM - ARROWLEAF/HRSA | 93.912 | | SPA | \$ - | \$ 1,159 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH (IDPH) | CENTRAL IL CARE CONNECT FY20 - ASHBY - IDPH | 93.917 | | 05780062H | \$ 56,665 | \$ 1,028,927 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | Farm Family Resource Initiative - Williams - Illinois Dept | 93.958 | | N/A | \$ - | \$ (510) |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES (IDHS) | CERTIFIED RECOVERY SUPPORT SPECIALIST SUCCESS PROGRAM - WOLF - IDHS | 93.958 | | 45CAB04321 | \$ - | \$ 58,347 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES | FY21 STATE AND REGIONAL SUBSTANCE USE PREVENTION SERVICES | 93.959 | | N/A | \$ - | \$ 2 |
| ILLINOIS DEPARTMENT OF HUMAN SERVICES (IDHS) | FY22 STATE AND REGIONAL SUBSTANCE USE PREVENTION SERVICES - WOLF - IDHS | 93.959 | | 43CAZ03558 | \$ - | \$ 139,867 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH | FY20 MOBILE SCHOOL HEALTH CENTER - SCOTT - IDPH | 93.994 | | N/A | \$ - | \$ (236) |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH | DENTAL SEALANT GRANT PROGRAM FY19 - IDPH-93489030G | 93.994 | | 93489030G | \$ - | \$ 24,950 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH | ORAL HEALTH PROMOTION PROGRAM - IDPH-13489010I | 93.994 | | 13489010I | \$ - | \$ 32,637 |
| ILLINOIS DEPARTMENT OF PUBLIC HEALTH (IDPH) | FY22-23 MOBILE SCHOOL HEALTH CENTER - SCHONEWOLF - IDPH | 93.994 | | 26380022J | \$ - | \$ 198,393 |
| Subtotal Pass-Through Programs | | | | | \$ 518,022 | \$ 4,183,843 |
| Total U. S. Department of Health & Human Services | | | | | \$ 632,357 | \$ 5,766,703 |
| U. S. DEPARTMENT OF HOMELAND SECURITY | | | | | | |
| Pass-Through Programs From: | | | | | | |
| ILLINOIS EMERGENCY MANAGEMENT AGENCY | COVID-19-FEMA/IEMA PUBLIC ASSISTANCE PROGRAM GRANT | 97.036 | | N/A | \$ - | \$ 500,947 |
| Total U. S. Department of Homeland Security | | | | | \$ - | \$ 500,947 |
| TOTAL FEDERAL AWARD EXPENDITURES | | | | | \$ 1,834,164 | \$ 143,866,859 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - EDWARDSVILLE
For the Year ended June 30, 2022

| Federal Grantor / Pass-Through | ProgramTitle | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|---|------------------------------|-------------------------|-------------------|----------------------|
| Student Financial Assistance Cluster* | | | | | |
| Direct Programs: | | | | | |
| U.S. DEPARTMENT OF EDUCATION | FEDERAL FINANCIAL AID-SCH OF DENTAL MEDI | 84.033 | N/A | \$ - | \$ 134,259 |
| U.S. DEPARTMENT OF EDUCATION | FWS JOB LOCATOR PROGRAM FY2021 | 84.033 | P033A191286 | \$ - | \$ 13,064 |
| U.S. DEPARTMENT OF EDUCATION | FEDERAL WORK STUDY PROGRAM | 84.033 | P033A151286 | \$ - | \$ 236,882 |
| U.S. DEPARTMENT OF EDUCATION | FEDERAL WORK STUDY - AMERICA READS TUTOR | 84.033 | P033A141286 | \$ - | \$ 26,725 |
| U.S. DEPARTMENT OF EDUCATION | PELL GRANT PROGRAM | 84.063 | P063P170116/P063P180116 | \$ - | \$ 15,185,675 |
| U.S. DEPARTMENT OF EDUCATION | SUPPLEMENTAL EDUCATION OPPORTUNITY GRANT | 84.007 | P007A151286 | \$ - | \$ 527,440 |
| U.S. DEPARTMENT OF EDUCATION | TEACH GRANT | 84.379 | P379T180116/P379T190116 | \$ - | \$ 14,145 |
| U.S. DEPARTMENT OF EDUCATION | FWS JOB LOCATOR PROGRAM FY2022 | 84.033 | N/A | \$ - | \$ 38,716 |
| U.S. DEPARTMENT OF EDUCATION | FEDERAL DIRECT STUDENT LOAN PROGRAM | 84.268 | N/A | \$ - | \$ 66,045,132 |
| U.S. DEPARTMENT OF EDUCATION | PERKINS | 84.038 | N/A | \$ - | \$ 675,669 |
| U.S. DEPARTMENT OF EDUCATION | HEALTH PROFESSIONALS | 93.342 | N/A | \$ - | \$ 52,496 |
| U.S. DEPARTMENT OF EDUCATION | INSTRUCTION COOPERATIVE WORK STUDY PROGRAM USOE | 84.033 | P033A151286 | \$ - | \$ 22,506 |
| U.S. DEPARTMENT OF EDUCATION | RESEARCH COOPERATIVE WORK STUDY PROGRAM USOE | 84.033 | P033A151286 | \$ - | \$ 7,752 |
| U.S. DEPARTMENT OF EDUCATION | PUBLIC SERVICE COOPERATIVE WORK STUDY PROGRAM USOE | 84.033 | P033A151286 | \$ - | \$ 10,754 |
| U.S. DEPARTMENT OF EDUCATION | STUDENT SERVICES COOPERATIVE WORK STUDY PROGRAM USOE | 84.033 | P033A151286 | \$ - | \$ 42,905 |
| U.S. DEPARTMENT OF EDUCATION | ACADEMIC SUPPORT COOPERATIVE WORK STUDY PROGRAM USOE | 84.033 | P033A151286 | \$ - | \$ 20,200 |
| U.S. DEPARTMENT OF EDUCATION | INSTITUTIONAL SUPPORT COOPERATIVE WORK STUDY PROGRAM USOE | 84.033 | P033A151286 | \$ - | \$ 4,615 |
| U.S. DEPARTMENT OF EDUCATION | AUXILIARY ENTERPRISES COOPERATIVE WORK STUDY PROGRAM USOE | 84.033 | P033A151286 | \$ - | \$ 112,011 |
| U.S. DEPARTMENT OF EDUCATION | O&M OF PHYSICAL PLANT COOPERATIVE WORK STUDY PROGRAM-USOE | 84.033 | P033A151286 | \$ - | \$ 1,176 |
| Total Student Financial Assistance Cluster | | | | \$ - | \$ 83,172,122 |
| TRIO Cluster | | | | | |
| Direct Programs: | | | | | |
| U.S. DEPARTMENT OF EDUCATION | UPWARD BOUND MATH AND SCIENCE - ECM | 84.047 | P047M180497-21 | \$ - | \$ 220,511 |
| U.S. DEPARTMENT OF EDUCATION | COLLINSVILLE UPWARD BOUND MATH AND SCIEN | 84.047 | P047M170568-21 | \$ - | \$ 303,589 |
| U.S. DEPARTMENT OF EDUCATION | COLLINSVILLE UPWARD BOUND SCHOLARS ACADE | 84.047 | P047A1716265-21 | \$ - | \$ 363,808 |
| U.S. DEPARTMENT OF EDUCATION | VETERAN'S UPWARD BOUND | 84.047 | P047V170198-21 | \$ - | \$ 309,531 |
| U.S. DEPARTMENT OF EDUCATION | UPWARD BOUND BEM | 84.047 | P047A171102-20B | \$ - | \$ 245,706 |
| U.S. DEPARTMENT OF EDUCATION | UPWARD BOUND EC | 84.047 | P047A171101-21 | \$ - | \$ 547,231 |
| Total TRIO Cluster | | | | \$ - | \$ 1,990,376 |
| Research and Development Cluster | | | | | |
| Direct Programs: | | | | | |
| U.S. Department of Agriculture | IDENTIFYING BACTERIAL PATHOGEN CAUSING M | 10.025 | N/A | \$ - | \$ 356 |
| U.S. Department of Agriculture | MEG MODELS: A HOLISTIC SYSTEMS-BASED MOD | 10.310 | 2020-67013-31190 | \$ 38,996 | \$ 97,500 |
| U.S. Department of Agriculture | EXPERIENTIAL LEARNING IN APPLIED STATIST | 10.310 | 2019-67032-31623 | \$ 13,280 | \$ 70,407 |
| U.S. Department of Agriculture | CREATING A DATA-SAVVY WORKFORCE WITH OPE | 10.310 | 2021-67037-34171 | \$ - | \$ 17,994 |
| U.S. Department of Agriculture | PRELIM AWARD - FUELING GROWTH: AN INTEGR | 10.326 | 2018-70001-28758 | \$ 81,279 | \$ 188,626 |
| National Endowment for the Humanities | THE EUGENE B REDMOND DIGITAL COLLECTION | 45.149 | PW26414719 | \$ - | \$ 7,260 |
| National Endowment for the Humanities | SOCIETY FOR THE STUDY OF AMERICAN WOMEN | 45.169 | HAA-268984-20 | \$ - | \$ 24,579 |
| Institute for Museum and Library Sciences | UNIVERSITY MUSEUM COLLECTIONS INVENTORY | 45.301 | MA-30-19-0533-19 | \$ - | \$ 15,839 |
| NATIONAL SCIENCE FOUNDATION | CR: MRI DEVELOPMENT OF ASIC'S SUITE | 47.049 | 1625499 | \$ - | \$ 1,972 |
| National Science Foundation | ISOTOPICALLY DIFFERENT TUNNELING-READY-S | 47.049 | 1800194 | \$ - | \$ 55,500 |
| National Science Foundation | SPECTROSCOPY OF METAL-CONTAINING DIATROM | 47.049 | 1955776 | \$ - | \$ 16,237 |
| National Science Foundation | GP-EXTRA: PERSONAL RELEVANCE, GLOBAL SIG | 47.050 | 1911512 | \$ 6,375 | \$ 143,847 |
| National Science Foundation | CC* COMPUTE: SIUE CAMPUS CLUSTER | 47.070 | 2018551 | \$ - | \$ 8,116 |
| National Science Foundation | TANGIBLE HUMAN ROBOT INTERFACES | 47.070 | 1618283 | \$ - | \$ 1,459 |
| National Science Foundation | CAREER: POPULATION AND EVOLUTIONARY DYNA | 47.074 | 2046869 | \$ - | \$ 71,209 |
| NATIONAL SCIENCE FOUNDATION | EXPLORING EVIDENCE OF THE ANTHROPOCENE | 47.075 | 1659633 | \$ - | \$ 8,018 |
| National Science Foundation | BUILDING A PRIMATE DATABASE TO DETERMINE | 47.075 | 1926481 | \$ - | \$ 18,742 |
| National Science Foundation | COLLABORATIVE RESEARCH: FUTURE OF WORK M | 47.075 | 2128813 | \$ - | \$ 29,682 |
| NATIONAL SCIENCE FOUNDATION | SIUE NOYCE MATH SCHOLARS | 47.076 | 1439827 | \$ 10,000 | \$ 112,521 |
| National Science Foundation | ADVANCE ADAPTATION: TOWARD AN INCLUSIVE | 47.076 | 1936141 | \$ - | \$ 345,690 |
| NATIONAL SCIENCE FOUNDATION | SIUE NOYCE SCHOLARSHIP PROGRAM | 47.076 | 1340042 | \$ - | \$ 37,191 |
| National Science Foundation | EXAMINING FACULTY ATTITUDES AND STRATEGI | 47.076 | 1821664 | \$ 37,932 | \$ 54,994 |
| National Science Foundation | ENVIRONMENTAL COMMUNITY-FOCUSED CITIZEN | 47.076 | 1850343 | \$ 13,053 | \$ 293,064 |
| National Science Foundation | EVIDENCE-BASED TRANSFORMATION OF UNDERGR | 47.076 | 1937392 | \$ 23,841 | \$ 76,743 |
| National Science Foundation | AT THE CONFLUENCE: SUPPORTING CRITICAL T | 47.076 | 2130471 | \$ - | \$ 24,677 |
| U.S. Health Resources and Services Administration | NURSE-MANAGED CLINIC IPCP REDESIGN AND E | 93.359 | 6 UK1HP31730-04-01 | \$ 27,171 | \$ 679,182 |
| National Institutes of Health | MODEL-BASED ASSESSMENT OF CARDIAC ADIPOS | 93.837 | 1R15HL145576-01A1 | \$ - | \$ 129,903 |
| National Institutes of Health | INVESTIGATING HOW CHROMATIN REMODELING A | 93.853 | 2R15NS101608-02A1 | \$ - | \$ 116,619 |
| National Institutes of Health | ENVIRONMENTAL HEALTH INVESTIGATORS: BUIL | 93.859 | 5R25GM129818-04 | \$ 9,666 | \$ 230,460 |
| National Institutes of Health | LEAD OPTIMIZATION OF SOMATOSTATIN-BASED | 93.866 | 5R01AG047858-05 | \$ - | \$ (14,674) |
| Subtotal Direct Programs | | | | \$ 261,593 | \$ 2,863,713 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - EDWARDSVILLE
For the Year ended June 30, 2022

| Federal Grantor / Pass-Through | ProgramTitle | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|--|------------------------------|----------------------|-------------------|---------------------|
| Pass-Through Programs From: | | | | | |
| Iowa State University | NUTRITIONAL PROGRAMMING OF YELLOW PERCH | 10.200 | 019585H | \$ - | \$ 8,715 |
| University of Illinois | EXPANDING THE PIPELINE AND ENHANCING EDU | 12.006 | 101611-18105 | \$ - | \$ 264,159 |
| Illinois Department of Natural Resources | SUBSIDENCE MONITORING RESPONSE TEAM FY20 | 15.252 | 6020000 | \$ - | \$ 221,404 |
| Illinois Department of Natural Resources | MINE MAP PRESERVATION AND WEB-BASED DIGI | 15.252 | 6020001 | \$ - | \$ 202,067 |
| Southern Illinois University School of Medicine | TRAUMA RECOVERY CENTER - FY21 | 16.575 | N/A | \$ - | \$ 7,499 |
| Illinois Department of Human Services | DEVELOPMENT OF A COMPOSITE PRESCRIBER RE | 16.754 | 4911133M40 | \$ - | \$ (811) |
| Illinois Department of Human Services | CLINICAL PHARMACY SERVICES TO SUPPORT | 16.754 | 4911150M40 | \$ - | \$ 60,416 |
| University of Oklahoma | CHEMISTRY REACTIVITY AND REDOX BEHAVIOR | 47.049 | 2019-45 | \$ - | \$ 54,910 |
| Baylor University | BUILDING A RESEARCH TEAM TO EXAMINE THE | 47.070 | 1001106-01 | \$ - | \$ 15,308 |
| Baylor College of Medicine | BEHAVIORAL PLASTICITY RESEARCH INSTITUTE | 47.074 | PO# 7000001310 | \$ - | \$ 42,634 |
| Chicago State University | THE ILLINOIS LSAMP STEM PATHWAY AND RESE | 47.076 | 53114 | \$ - | \$ 17,535 |
| Illinois State University | MIDWEST REGIONAL ROBERT NOYCE CONNECTION | 47.076 | N/A | \$ - | \$ 26,315 |
| Educational Testing Service | EDUCATIONAL TESTING SERVICE (ETS) PROJEC | 47.076 | 1621117 | \$ - | \$ 20,374 |
| Educational Testing Service | INVESTIGATING THE ROLE OF COLLABORATION ON THE DEVELOPMENT | 47.076 | N/A | \$ - | \$ 14,240 |
| University of Illinois | INTEGRATING THE NATIONAL HIV CURRICULUM | 93.145 | 17500 | \$ - | \$ 15,496 |
| University of Missouri-Saint Louis | REFINEMENT AND IMPLEMENTATION OF AUTOMAT | 93.310 | 00052446-1 | \$ - | \$ 698 |
| SOUTHERN ILLINOIS UNIVERSITY SCHOOL OF MEDICINE (SIU-SOM) | TRANSPLATIN: A NOVEL AGENT TO MITIGATE C | 93.395 | 1R01CA166907-01A1 | \$ - | \$ 2,853 |
| Illinois Department of Public Health | ORAL HEALTH PROMOTION PROGRAM | 93.994 | 134890111 | \$ - | \$ 51,078 |
| Subtotal Pass-Through Programs | | | | <u>\$ -</u> | <u>\$ 1,024,890</u> |
| Total Research and Development Cluster | | | | <u>\$ 261,593</u> | <u>\$ 3,888,603</u> |
| WIOA Cluster | | | | | |
| Pass-Through Programs From: | | | | | |
| Madison County | FY20 ERTC: WORKFORCE INNOVATION AND OPPO | 17.258 | N/A | \$ - | \$ 147 |
| ILLINOIS STATE UNIVERSITY | WIOA 101 ONLINE PHASE 4 CONTENT DEVELOPM | 17.258 | A21-0005-001-S001 | \$ - | \$ (299) |
| Madison County | SUPPLY CHAIN AND LOGISTICS GRANT (WIOA) | 17.278 | 2021-SCL-002 | \$ - | \$ 5,742 |
| Illinois State University | WIOA 101 ONLINE PHASE 5 CONTENT DEVELOPM | 17.258 | N/A | \$ - | \$ 63,087 |
| Madison County | ERTC: WORKFORCE INNOVATION AND OPPORTUNI | 17.258 | 2021-24-17 | \$ - | \$ 4,700 |
| Madison County | SUPPLY CHAIN FOR WORLD WIDE TECHNOLOGY (| 17.258 | N/A | \$ - | \$ 11,483 |
| Total WIOA Cluster | | | | <u>\$ -</u> | <u>\$ 84,860</u> |
| Highway Planning and Construction Cluster | | | | | |
| Pass-Through Programs From: | | | | | |
| Illinois Center for Transportation | DEVELOPING SCOUR-DEPTH ESTIMATION USING THE IN SITU SCOUR TESTING DEVICE FOR ILLINOIS COHESIVE S | 20.205 | 087795-18073 | \$ 14,533 | \$ 61,037 |
| Total Highway Planning and Construction Cluster | | | | <u>\$ 14,533</u> | <u>\$ 61,037</u> |
| Highway Safety Cluster | | | | | |
| Pass-Through Programs From: | | | | | |
| Southern Illinois University School of Medicine | THINK FIRST FY2021 | 20.600 | N/A | \$ - | \$ 6,029 |
| Illinois Department of Transportation | DISTRACTED DRIVING | 20.600 | N/A | \$ - | \$ 2,912 |
| Illinois Department of Transportation | IDOT STEP GRANT | 20.600 | HS-22-0134 , 04-02 | \$ - | \$ 5,517 |
| Total Highway Safety Cluster | | | | <u>\$ -</u> | <u>\$ 14,458</u> |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - EDWARDSVILLE
For the Year ended June 30, 2022

| Federal Grantor / Pass-Through | ProgramTitle | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|--|------------------------------|----------------------|-------------------|----------------------|
| Child Nutrition Cluster | | | | | |
| Pass-Through Programs From | | | | | |
| ILLINOIS STATE BOARD OF EDUCATION (ISBE) | SUMMER FOOD PROGRAM - ISBE | 10.559 | N/A | \$ - | \$ 1,336 |
| ILLINOIS STATE BOARD OF EDUCATION (ISBE) | HEAD START-FOOD SERVICE-ISBE | 10.558 | N/A | \$ - | \$ 272,092 |
| Total Child Nutrition Cluster | | | | \$ - | \$ 273,428 |
| Head Start Cluster* | | | | | |
| Direct Programs: | | | | | |
| U.S. Department of Health & Human Services | HEAD START PROGRAM OPERATIONS | 93.600 | 05CH011665-01-00 | \$ 64,795 | \$ 93,764 |
| U.S. Department of Health & Human Services | HEAD START TRAINING AND TECHNICAL ASSIST | 93.600 | N/A | \$ - | \$ 1,280 |
| U.S. Department of Health & Human Services | EARLY HEAD START PROGRAM OPERATIONS FY21 | 93.600 | 05CH011665-01-00 | \$ 141,351 | \$ 102,750 |
| U.S. Department of Health & Human Services | EARLY HEAD START TRAINING AND TECHNICAL | 93.600 | N/A | \$ - | \$ 2,731 |
| U.S. Department of Health & Human Services | HEAD START & EARLY HEAD START CARES FUND | 93.600 | 05CH011665-01-01 | \$ - | \$ 23,486 |
| U.S. Department of Health & Human Services | HEAD START PROGRAM OPERATIONS FY21-3-MON | 93.600 | N/A | \$ 84,887 | \$ 4,012,632 |
| U.S. Department of Health & Human Services | HEAD START T/TA FY21-3-MONTHS | 93.600 | N/A | \$ - | \$ 36,883 |
| U.S. Department of Health & Human Services | EARLY HEAD START PROGRAM OPERATIONS FY21 | 93.600 | N/A | \$ 198,405 | \$ 3,297,975 |
| U.S. Department of Health & Human Services | EARLY HEAD START T/TA FY21-3-MONTHS | 93.600 | N/A | \$ - | \$ 47,203 |
| U.S. Department of Health & Human Services | HEAD START CARES GRANT - YR2021 | 93.600 | 05HE001385-01-01 | \$ - | \$ 220,306 |
| U.S. Department of Health & Human Services | FY2022 HEAD START - START-UP COSTS | 93.600 | 05CH012061-01-02 | \$ - | \$ 70,109 |
| U.S. Department of Health & Human Services | FY2022 EARLY HEAD START - START-UP COSTS | 93.600 | 05CH012061-01-02 | \$ - | \$ 67,197 |
| U.S. Department of Health & Human Services | HEAD START PROGRAM OPERATIONS APRIL 2022 | 93.600 | 05CH012061-02-00 | \$ - | \$ 1,133,733 |
| U.S. Department of Health & Human Services | HEAD START TRAINING AND TECHNICAL ASSIST | 93.600 | 05CH012061-02-00 | \$ - | \$ 14,798 |
| U.S. Department of Health & Human Services | EARLY HEAD START PROGRAM OPERATIONS APRI | 93.600 | 05CH012061-02-00 | \$ - | \$ 956,840 |
| U.S. Department of Health & Human Services | EARLY HEAD START TRAINING AND TECHNICAL | 93.600 | 05CH012061-02-00 | \$ - | \$ 15,843 |
| Subtotal Direct Programs | | | | \$ 489,438 | \$ 10,097,530 |
| Pass-Through Programs | | | | | |
| Illinois Head Start Association | PARENT CAFE | 93.600 | N/A | \$ - | \$ 2,368 |
| Subtotal Pass-Through Programs | | | | \$ - | \$ 2,368 |
| Total Head Start Cluster | | | | \$ 489,438 | \$ 10,099,898 |
| AmeriCorps, formerly Corporation for National and Community Services | | | | | |
| Pass-Through Programs From: | | | | | |
| LESSIE BATES DAVIS NEIGHBORHOOD HOUSE | FY22 AMERICA READS | 94.006 | N/A | \$ - | \$ 23,885 |
| Total AmeriCorps (Corporation for National and Community Services) | | | | \$ - | \$ 23,885 |
| Federal Reserve Bank of St. Louis | | | | | |
| | AGILE REFRESHER COURSE | 99.999 | N/A | \$ - | \$ 2,300 |
| Total Federal Reserve Bank of St. Louis | | | | \$ - | \$ 2,300 |
| Library of Congress | | | | | |
| | TEACHING WITH PRIMARY SOURCES | 42.U06 | GA07C0056 | \$ - | \$ 118,553 |
| Total Library of Congress | | | | \$ - | \$ 118,553 |
| National Aeronautics and Space Administration | | | | | |
| Pass-Through Programs From: | | | | | |
| ILLINOIS SPACE GRANT CONSORTIUM | ILLINOIS SPACE GRANT CONSORTIUM SCHOLARSHIP AWARDS | 43.008 | 078131-15644 | \$ - | \$ 5,145 |
| ILLINOIS SPACE GRANT CONSORTIUM | ISG CONSORTIUM SCHOLARSHIP AWARDS | 43.008 | 099286-18202 | \$ - | \$ 6,000 |
| Total National Aeronautics and Space Administration | | | | \$ - | \$ 11,145 |
| National Endowment for the Arts | | | | | |
| Pass-Through Programs From: | | | | | |
| East St. Louis Arts and Culture Coalition | ART & DESIGN INTERNSHIP | 45.024 | N/A | \$ - | \$ 3,449 |
| Total National Endowment for the Arts | | | | \$ - | \$ 3,449 |
| National Endowment for the Humanities | | | | | |
| Pass-Through Programs From: | | | | | |
| University of Nebraska | WALT WHITMAN'S JOURNALISM: FINDING | 45.161 | 25-1620-0056-004 | \$ - | \$ 6,828 |
| Madison County Historical Society | MADISON COUNTY AT THE MIGRATORY CROSSROADS | 45.164 | N/A | \$ - | \$ 12,061 |
| Social Science Research Council | REALIZING INCLUSIVE STUDENT ENGAGEMENT | 45.164 | N/A | \$ - | \$ 6,477 |
| Subtotal Pass Through Programs | | | | \$ - | \$ 25,366 |
| Direct Programs | | | | | |
| | FREDERICK DOUGLAS AND LITERARY CROSSROAD | 45.163 | ES-272457-20 | \$ - | \$ 82,141 |
| | CODES: COMMUNITY-ORIENTED DIGITAL ENGAGAEMENT | 45.162 | AKB-279457-21 | \$ - | \$ 31,842 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - EDWARDSVILLE
For the Year ended June 30, 2022

| Federal Grantor / Pass-Through | ProgramTitle | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|---|--|----------------------|-------------------|---------------|
| Subtotal Direct Programs | | | | \$ - | \$ 113,983 |
| | | Total National Endowment for the Humanities | | \$ - | \$ 139,349 |
| U.S. Department of Agriculture | | | | | |
| Pass-Through Programs From: | | | | | |
| ILLINOIS STATE BOARD OF EDUCATION (ISBE) | ECC FOOD PROGRAM - ISBE | 10.558 | N/A | \$ - | \$ 34,335 |
| The University of Tennessee Knoxville, Department of Nutrition, College of Education, Health & Human Sciences | GET FRUVED PROJECT | 10.310 | 2014-67001-2185 | \$ - | \$ 433 |
| St. Clair Soil & Water Conservation District | GEOMARC - LOCAL GOVERNMENT PROJECTS | 99.999 | N/A | \$ - | \$ 8,755 |
| University of Illinois Chicago | A DEFENSIBLE NEXT GEN APPROACH TO LAND U | 10.312 | N/A | \$ - | \$ 14,503 |
| University of Illinois Urbana-Champaign | A DEFENSIBLE, NEXT GENERATION APPROACH T | 10.312 | 095980-18612 | \$ - | \$ 16,049 |
| | | Total U.S. Department of Agriculture | | \$ - | \$ 74,075 |
| U.S. Department of Defense | | | | | |
| National Geospatial-Intelligence Agency | 1:50K TOPOGRAPHIC MAP PRODUCTION | 99.999 | N/A | \$ - | \$ 33,394 |
| Subtotal Direct Programs | | | | \$ - | \$ 33,394 |
| Pass-Through Programs From: | | | | | |
| BioMADE | ALOHA LIFE-SCIENCE ACADEMY: KNOWLEDGE AD | 12.800 | E-PC01-A-04-0111 | \$ - | \$ 30,424 |
| O'Fallon Township High School District 203 | O'FALLON MATH TEACHERS PROFESSIONAL DEVE | 12.556 | N/A | \$ - | \$ 34,911 |
| Subtotal Pass Through Programs | | | | \$ - | \$ 65,335 |
| | | Total U.S. Department of Defense | | \$ - | \$ 98,729 |
| U.S. Department of Education | | | | | |
| Direct Programs: | | | | | |
| Education Stabilization Fund: | | | | | |
| | COVID-19 - HIGHER EDUCATION EMERGENCY RELIEF FUND - INSTITUTIONAL PORTION* | 84.425F | P425F200234 | \$ - | \$ 2,927,512 |
| | COVID-19 - HIGHER EDUCATION EMERGENCY RELIEF FUND - STUDENT PORTION* | 84.425E | P425E201639 | \$ - | \$ 13,454,225 |
| Subtotal Education Stabilization Fund | | | | \$ - | \$ 16,381,737 |
| | FY20 CCAMPIS | 84.335 | P335A180220-20B | \$ - | \$ 125,154 |
| | PASSPORT TO SUCCESS: SOUTH AMERICAN TRAD | 84.153 | N/A | \$ - | \$ 17,744 |
| Subtotal Direct Programs | | | | \$ - | \$ 16,524,635 |
| Pass-Through Programs From: | | | | | |
| Education Stabilization Fund: | | | | | |
| ILLINOIS BOARD OF HIGHER EDUCATION | COVID-19 - GOVERNOR'S EMERGENCY EDUCATION RELIEF FUND | 84.425C | N/A | \$ - | \$ 342,492 |
| Southern Illinois University Carbondale | COVID-19 - ILLINOIS TUTORING PROJECT - YEAR 1 | 84.425D | N/A | \$ - | \$ 5,546 |
| ILLINOIS COMMUNITY COLLEGE BOARD | COVID-19 - GOVERNOR'S EMERGENCY EDUCATION RELIEF FUND - LIBRARY AND CLASSROOM | 84.425C | N/A | \$ - | \$ (24,711) |
| Subtotal Education Stabilization Fund | | | | \$ - | \$ 323,327 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - EDWARDSVILLE
For the Year ended June 30, 2022

| Federal Grantor / Pass-Through | ProgramTitle | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|---|------------------------------|----------------------|-------------------|---------------|
| Regional Office of Education #47 | IHE PARTNERSHIP 17/18 | 84.323A | NA | \$ - | \$ 31 |
| Regional Office of Education #47 | IHE PARTNERSHIP | 84.323A | N/A | \$ - | \$ 54 |
| Regional Office of Education #47 | FY20 IHE PARTNERSHIP | 84.323A | N/A | \$ - | \$ 51 |
| Illinois Community College Board | FY2021 SIPDC - ADULT EDUCATION LEADERSHI | 84.002 | N/A | \$ - | \$ 23 |
| Illinois Community College Board | INDEX OF NEED FY21 | 84.002 | F-SIUE-AEL21005 | \$ - | \$ (97) |
| Illinois Community College Board | INDEX OF NEED FY22 | 84.002 | N/A | \$ - | \$ 29,970 |
| Illinois Community College Board | ADULT EDUCATION FEDERAL/WORKPLACE DEVELO | 84.002 | N/A | \$ - | \$ 455,018 |
| Illinois State University | ADULT EDUCATION STATEWIDE OUTREACH AND T | 84.002 | A22-0053-S001 | \$ - | \$ 9,540 |
| Southern Illinois University Carbondale | ILLINOIS SCIENCE ASSESSMENT PARTNERSHIP | 84.369 | SIUC 21-03 | \$ - | \$ (104) |
| Southern Illinois University Carbondale | ILLINOIS SCIENCE ASSESSMENT PARTNERSHIP | 84.369 | N/A | \$ - | \$ 34,088 |
| | Subtotal Pass-Through Programs | | | \$ - | \$ 528,574 |
| | Total U.S. Department of Education | | | \$ - | \$ 17,376,536 |
| U.S. Department of Health & Human Services | | | | | |
| Direct Programs: | | | | | |
| | SIUE NURSE ANESTHETIST TRAINEESHIP (NAT) | 93.124 | 5 A22HP30984-06-00 | \$ - | \$ 38,857 |
| | ADVANCED NURSING EDUCATION WORKFORCE | 93.247 | 5 T94HP33212-03-00 | \$ - | \$ 779,491 |
| | REGISTERED NURSE IN PRIMARY CARE COVID | 93.359 | 4 T1PHP39124-01-01 | \$ - | \$ 1,592 |
| | BEHAVIORAL HEALTH WORKFORCE EDUCATION AN | 93.732 | M01HP41990-01-00 | \$ - | \$ 446,671 |
| | Subtotal Direct Programs | | | \$ - | \$ 1,266,611 |
| Pass-Through Programs From: | | | | | |
| Illinois Department of Public Health | ASTHMA TRIGGER ASSESSMENT PROGRAM | 93.070 | 93203003G | \$ - | \$ 2,690 |
| Illinois Department of Public Health | ASTHMA TRIGGER ASSESSMENT PROGRAM (ATAP) | 93.070 | 23203001J | \$ - | \$ 48,725 |
| SOUTHERN ILLINOIS UNIVERSITY CARBONDALE | LIVE FREE-TOBACCO FREE-SIUC/IDPH | 93.283 | 23086-7603Z | \$ - | \$ 2,745 |
| Illinois Department of Human Services | COMMUNITY RECOVERY SUPPORT SPECIALIST TR | 93.958 | 45CAB04319 | \$ - | \$ 761,044 |
| Southern Illinois University School of Medicine | COVID-19 - COVID MOBILE TESTING SERVICES | 93.323 | N/A | \$ - | \$ 4,257 |
| Illinois Pharmacy Association | IMPROVING THE HEALTH OF AMERICANS THROUGH | 93.426 | N/A | \$ - | \$ 3,313 |
| SIHF Healthcare | SELF-MONITORING BLOOD PRESSURE PROGRAM | 93.426 | N/A | \$ - | \$ 12,689 |
| | Subtotal Pass-Through Programs | | | \$ - | \$ 835,463 |
| | Total U.S. Department of Health & Human Services | | | \$ - | \$ 2,102,074 |
| U.S. Department of Interior | | | | | |
| Pass-Through Programs From: | | | | | |
| Appalachian State University | PREDICTING THE PERSISTENCE OF SALAMANDER | 15.808 | A-18-0134-S001 | \$ - | \$ 10,311 |
| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | SUBSIDENCE SERVICE AGREEMENT FY20-FY22 | 15.252 | N/A | \$ - | \$ 42 |
| | Total U.S. Department of Interior | | | \$ - | \$ 10,353 |
| U.S. Department of Justice | | | | | |
| Direct Programs: | | | | | |
| | REDUCE SEXUAL ASSAULT, DOMESTIC AND DATING VIOLENCE ON CAMPUS | 16.525 | 2016-WA-AX-0032 | \$ - | \$ 5,233 |
| | MEGSI - BYRNE GRANT FUNDS | 16.738 | N/A | \$ - | \$ 20,939 |
| | Subtotal Direct Programs | | | \$ - | \$ 26,172 |
| Pass-Through Programs From | | | | | |
| Southern Illinois University School of Medicine | TRAUMA RECOVERY CENTER - FY22 | 16.575 | N/A | \$ - | \$ 32,392 |
| | Subtotal Pass-Through Programs | | | \$ - | \$ 32,392 |
| | Total U.S. Department of Justice | | | \$ - | \$ 58,564 |
| U.S. Department of Labor | | | | | |
| Pass-Through Programs From: | | | | | |
| MADISON COUNTY (ILLINOIS) | DCEO DIGITAL BADGING PARTNERSHIP | 17.277 | 2020-EREG-001 | \$ - | \$ 13,528 |
| Southern Illinois University Carbondale | APPRENTICESHIP EXPANSION GRANT WRITING | 17.285 | N/A | \$ - | \$ 8,848 |
| Illinois Student University | WIOA PROFESSIONAL DEVELOPMENT FY23 | 17.258 | N/A | \$ - | \$ 1,682 |
| | Total U.S. Department of Labor | | | \$ - | \$ 24,058 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - EDWARDSVILLE
For the Year ended June 30, 2022

| Federal Grantor / Pass-Through | ProgramTitle | Assistance Listing Number | Sponsor Grant Number | Subaward Payments | Expenditures |
|---|---|------------------------------|-----------------------|-------------------|-----------------------|
| U.S. Department of the Treasury | | | | | |
| Direct Programs | | | | | |
| | US SECRET SERVICE CSIFCCTF SEIZURE FUND | 21.016 | N/A | \$ - | \$ 995 |
| | COVID-19 - HRSA PROVIDER RELIEF, PHASE IV | 21.027 | N/A | \$ - | \$ 500 |
| | COVID-19 - HRSA PROVIDER RELIEF FUND - PHAS IV DISS | 21.027 | N/A | \$ - | \$ 5,944 |
| | Subtotal Direct Programs | | | <u>\$ -</u> | <u>\$ 7,439</u> |
| Pass-Through Programs From: | | | | | |
| Illinois Department of Commerce and Economic Opportunity | COVID-19 - ILLINOIS CHILD CARE RESTORATION GRANT | 21.019 | N/A | \$ - | \$ 18,041 |
| Greater Egypt Regional Planning and Development Commission | COVID-19 - ILLINOIS COMMUNITY NAVIGATOR PROGRAM | 21.027 | N/A | \$ - | \$ 172,282 |
| Illinois Network for Child Care Resources and Referral Agencies | COVID-19 - ILLINOIS CHILD CARE RESTORATION GRANT 20 | 21.019 | N/A | \$ - | \$ 256,119 |
| Illinois Department of Public Health | COVID-19 - CONTACT TRACING GRANT | 21.019 | N/A | \$ - | \$ 4,795 |
| Illinois Department of Human Services | COVID-19 - ILLINOIS CHILD CARE WORKFORCE BONUS | 21.019 | N/A | \$ - | \$ 18,480 |
| ARTS MIDWEST | COVID-19 - AMERICAN RESCUE PLAN | 21.027 | 31402 | \$ - | \$ 8,300 |
| Illinois Department of Human Services | COVID-19 - HEAD START ILLINOIS CHILDCARE WORKFORCE | 21.019 | N/A | \$ - | \$ 79,521 |
| | Subtotal Pass-Through Programs | | | <u>\$ -</u> | <u>\$ 557,538</u> |
| | Total U.S. Department of the Treasury | | | <u>\$ -</u> | <u>\$ 564,977</u> |
| U.S. Environmental Protection Agency | | | | | |
| Direct Programs: | | | | | |
| | FROM THE GROUND UP - INCREASING WATER SECURITY THROUGH PUBLIC AWARENESS | 66.951 | 00E02459 | \$ - | \$ 25,613 |
| | Subtotal Direct Programs | | | <u>\$ -</u> | <u>\$ 25,613</u> |
| Pass-Through Programs From: | | | | | |
| Illinois Environmental Protection Agency | INTERAGENCY AGREEMENT BETWEEN IEPA AND E | 66.605 | FWN 19105 | \$ - | \$ 75,115 |
| Illinois Environmental Protection Agency | INTERAGENCY AGREEMENT BETWEEN IEPA AND E | 66.605 | FW22609 | \$ - | \$ 174,933 |
| Heartlands Conservancy | WATERSHED HYDROLOGY AND ONE-DIMENSIONAL | 66.460 | N/A | \$ - | \$ 21,411 |
| | Subtotal Pass-Through Programs | | | <u>\$ -</u> | <u>\$ 271,459</u> |
| | Total U.S. Environmental Protection Agency | | | <u>\$ -</u> | <u>\$ 297,072</u> |
| U.S. Small Business Administration | | | | | |
| Pass-Through Programs From: | | | | | |
| Illinois Department of Commerce and Economic Opportunity | SBDC - CARES SUPPLEMENTAL FUNDING | 59.037 | N/A | \$ - | \$ 55,688 |
| Illinois Department of Commerce and Economic Opportunity | CY2021 SMALL BUSINESS DEVELOPMENT CENTER | 59.037 | 20-565126 | \$ - | \$ 105,073 |
| Illinois Department of Commerce and Economic Opportunity | ILLINOIS SMALL BUSINESS DEVELOPMENT CENT | 59.037 | N/A | \$ - | \$ 80,428 |
| | Total U.S. Small Business Administration | | | <u>\$ -</u> | <u>\$ 241,189</u> |
| Institute for Museum and Library Services | | | | | |
| Direct Programs | | | | | |
| | DIVERSE LIBRARIANSHIP CAREER TRAINING AN | 45.313 | N/A | \$ - | \$ 57,112 |
| | UNIVERSITY MUSEUM COLLECTIONS INVENTORY | 45.301 | MA-249618-OMS-21 | \$ - | \$ 36,357 |
| | Total for Institute for Museum and Library Services | | | <u>\$ -</u> | <u>\$ 93,469</u> |
| U.S. Department of Energy | | | | | |
| Pass-Through Programs From | | | | | |
| Ohio State University | INTEGRATED FERMENTATION PROCESS WITH ENG | 81.135 | GR124864/SPC000005832 | \$ - | \$ 24,404 |
| | Total for U.S. Department of Energy | | | <u>\$ -</u> | <u>\$ 24,404</u> |
| United States Air Force Academy | | | | | |
| Direct Programs | | | | | |
| | AIR FORCE ACADEMY FELLOWSHIP | 99.999 | N/A | \$ - | \$ 166,319 |
| | United States Air Force Academy | | | <u>\$ -</u> | <u>\$ 166,319</u> |
| TOTAL FEDERAL AWARD EXPENDITURES | | | | <u>\$ 765,564</u> | <u>\$ 121,015,282</u> |

*Signifies major programs

Southern Illinois University
A Component Unit of the State of Illinois
Notes to Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2022

NOTE 1 – Basis of Presentation

The accompanying schedule of expenditures of federal awards (the “Schedule”) includes the federal award activity of Southern Illinois University (the “University”) under programs of the federal government for the year ended June 30, 2022. The information in this Schedule is presented in accordance with the requirements of 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (Uniform Guidance). Because the Schedule presents only a selected portion of the operations of the University, it is not intended to and does not present the financial position, changes in net position, or cash flows of the University.

NOTE 2 – Summary of Significant Accounting Policies

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as to reimbursement. Negative amounts shown on the Schedule represent adjustments or credits made in the normal course of business to amounts reported as expenditures in prior years. Pass-through entity identifying numbers are presented where available. The University has not elected to use the 10% de minimis indirect cost rate as allowed under the Uniform Guidance.

NOTE 3 – Transfers

During the year ended June 30, 2022, the Edwardsville campus expended \$65,923 of the 2020-2021 Federal Work-Study (FWS) Program (84.033) and \$5,000 of the 2020-2021 Federal Supplemental Educational Opportunity Grant (FSEOG) Program (84.007) in 2021-2022. The Edwardsville campus also carried forward \$44,644 of the FWS Program and \$20,737 of the FSEOG Program to be spent in 2022-2023.

During the year ended June 30, 2022, the Carbondale campus expended \$217,499 of the 2020-2021 Federal Work-Study (FWS) Program (84.033) and carried forward \$133,602 of the FWS Program to be spent in 2022-2023.

| <u>CAMPUS</u> | <u>TRANSFER FROM</u> | <u>AMOUNT</u> | <u>TRANSFER TO</u> |
|---------------|---------------------------|---------------|---|
| Carbondale | Federal Work Study-84.033 | \$ 229,633 | Supplemental Educational Opportunity Grant-84.007 |
| Edwardsville | Federal Work Study-84.033 | \$ 112,850 | Supplemental Educational Opportunity Grant-84.007 |

Southern Illinois University
A Component Unit of the State of Illinois
Notes to Schedule of Expenditures of Federal Awards
(Continued)
For the Year Ended June 30, 2022

NOTE 4 – Federal Direct Student Loan Program

During the fiscal year ended June 30, 2022, the University processed the following amounts of non-cash federal awards in the form of loans under the Federal Direct Student Loan Program, CFDA #84.268, which includes Stafford Loans, Parents' Loans for Undergraduate Students, and Supplemental Loans for Students:

| | <u>CARBONDALE</u> | <u>EDWARDSVILLE</u> | <u>TOTAL</u> |
|--------------------------|-------------------|---------------------|----------------|
| Year ended June 30, 2022 | \$ 65,757,369 | \$ 66,045,132 | \$ 131,802,501 |

NOTE 5 – Noncash Assistance and Federal Insurance

During the fiscal year ended June 30, 2022, the University received no noncash assistance, except as disclosed in Note 4. Also, there was no federally funded insurance in effect during the year ended June 30, 2022.

NOTE 6 – Loan Balances

Loans outstanding at the beginning of the year and loans made during the year are included in the federal expenditures presented in the Schedule of Expenditures of Federal Awards. The balances of loans outstanding at June 30, 2022 consist of the following:

| <u>PROGRAM</u> | <u>CFDA</u> | <u>CARBONDALE</u> | <u>EDWARDSVILLE</u> | <u>TOTAL</u> |
|----------------------------|-------------|-------------------|---------------------|--------------|
| Perkins Loan | 84.038 | \$ 7,187,730 | \$ 468,861 | \$ 7,656,591 |
| Health Professionals Loan | 93.342 | 708,315 | 19,172 | 727,487 |
| Disadvantaged Student Loan | 93.342 | 19,992 | - | 19,992 |
| | | \$ 7,916,037 | \$ 488,033 | \$ 8,404,070 |

SOUTHERN ILLINOIS UNIVERSITY
A Component Unit of the State of Illinois
SCHEDULE OF FEDERAL AND NONFEDERAL FINANCIAL ACTIVITY
For the Year Ended June 30, 2022

The following schedules are used to determine the University's Single Audit costs in accordance with the Uniform Guidance (2 C.F.R. § 200.425).

A: Federal Financial Component

| | | |
|---|-----------|--------------------|
| Total federal expenditures ¹ | \$ | 264,882,141 |
| Total, Schedule A | <u>\$</u> | <u>264,882,141</u> |

B: Total Financial Component

| | | |
|---|-----------|----------------------|
| Total operating expenses ² | \$ | 1,208,808,315 |
| Total nonoperating expenses ² | | 19,139,450 |
| Federal loan balances: ^{1,4} | | |
| Perkins loan program | | 9,576,097 |
| Health professions loan program | | 767,127 |
| Disadvantaged student loan program | | 19,992 |
| Total value of new federal loans: ^{3,5} | | |
| Federal direct student loan program | | 131,802,501 |
| Health professions loan program | | - |
| Disadvantaged student loan program | | - |
| Other noncash federal award expenditures ³ | | - |
| Total, Schedule B | <u>\$</u> | <u>1,370,113,482</u> |

Schedule C: Computation of Nonfederal Expenses

| | | Percent |
|---------------------------|-------------------------|--------------|
| Total, Schedule B | \$ 1,370,113,482 | 100.0% |
| Total, Schedule A | 264,882,141 | 19.3% |
| Total nonfederal expenses | <u>\$ 1,105,231,341</u> | <u>80.7%</u> |

¹ Obtained from the Schedule of Expenditures of Federal Awards

² Obtained from the Statement of Revenues, Expenses, and Changes in Net Position

³ Obtained from the Notes to the Schedule of Expenditures of Federal Awards

⁴ Balance at the beginning of the fiscal year with continuing compliance requirements

⁵ Balance of loans issued during the fiscal year