

100TH GENERAL ASSEMBLY State of Illinois 2017 and 2018 HB0281

by Rep. Mary E. Flowers

SYNOPSIS AS INTRODUCED:

20 ILCS 535/5 20 ILCS 535/7 new 225 ILCS 60/22

from Ch. 111, par. 4400-22

Amends the Administration of Psychotropic Medications to Children Act. Provides that the Department of Children and Family Services shall adopt rules requiring the Department to distribute treatment guidelines on an annual basis to all persons licensed under the Medical Practice Act of 1987 to practice medicine in all of its branches. Provides that the Department shall prepare and submit an annual report to the General Assembly with specified information concerning the administration of psychotropic medication to persons for whom it is legally responsible. Amends the Medical Practice Act of 1987. Provides that the Department of Financial and Professional Regulation may revoke, suspend, place on probation, reprimand, refuse to issue or renew, or take any other disciplinary or non-disciplinary action as the Department may deem proper with regard to the license or permit of any person issued under the Act upon repeated acts clearly excessive prescribing, furnishing, or administering psychotropic medications to a minor without a good faith prior examination of the patient and medical reason.

LRB100 00019 SMS 10020 b

FISCAL NOTE ACT MAY APPLY 1 AN ACT concerning State government.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Administration of Psychotropic Medications to Children Act is amended by changing Section 5 and by adding
- 6 Section 7 as follows:
- 7 (20 ILCS 535/5)
- 8 Sec. 5. Administration of psychotropic medications. On or
- 9 before October 1, 2011, the Department of Children and Family
- 10 Services shall promulgate final rules, amending its current
- 11 rules establishing and maintaining standards and procedures to
- 12 govern the administration of psychotropic medications. Such
- amendments to its rules shall include, but are not limited to,
- 14 the following:
- 15 (a) The role of the Department in the administration of
- 16 psychotropic medications to youth for whom it is legally
- 17 responsible and who are in facilities operated by the Illinois
- 18 Department of Corrections or the Illinois Department of
- 19 Juvenile Justice.
- 20 (b) Provisions regarding the administration of
- 21 psychotropic medications for youth for whom the Department is
- legally responsible and who are in residential facilities,
- 23 group homes, transitional living programs, or foster homes

- where the youth is under the age of 18 or where the youth is 18
- 2 or older and has provided the Department with appropriate
- 3 consent.
- 4 (b-5) Provisions requiring the Department to distribute
- 5 treatment guidelines on an annual basis to all persons licensed
- 6 under the Medical Practice Act of 1987 to practice medicine in
- 7 all of its branches.
- 8 (c) Provisions regarding the administration of
- 9 psychotropic medications for youth for whom the Department is
- 10 legally responsible and who are in psychiatric hospitals.
- 11 (d) Provisions concerning the emergency use of
- 12 psychotropic medications, including appropriate and timely
- 13 reporting.
- 14 (e) Provisions prohibiting the administration of
- 15 psychotropic medications to persons for whom the Department is
- legally responsible as punishment for bad behavior, for the
- 17 convenience of staff or caregivers, or as a substitute for
- adequate mental health care or other services.
- 19 (f) The creation of a committee to develop, post on a
- 20 website, and periodically review materials listing which
- 21 psychotropic medications are approved for use with youth for
- 22 whom the Department has legal responsibility. The materials
- 23 shall include guidelines for the use of psychotropic
- 24 medications and may include the acceptable range of dosages,
- contraindications, and time limits, if any, and such other
- 26 topics necessary to ensure the safe and appropriate use of

- psychotropic medications.
 - (g) Provisions regarding the appointment, qualifications, and training of employees of the Department who are authorized to consent to the administration of psychotropic medications to youth for whom the Department has legal responsibility, including the scope of the authority of such persons.
 - (h) Provisions regarding training and materials for parents, foster parents, and relative caretakers concerning the rules governing the use of psychotropic medications with youth for whom the Department has legal responsibility.
 - (i) With respect to any youth under the age of 18 for whom the Department has legal responsibility and who does not assent to the administration of recommended psychotropic medication, provisions providing standards and procedures for reviewing the youth's concerns. With respect to any youth over the age of 18 for whom the Department has legal responsibility and who does not consent to the administration of recommended psychotropic medication, provisions providing standards and procedures for reviewing the youth's concerns upon the youth's request and with the youth's consent. Standards and procedures developed under this subsection shall not be inconsistent with the Mental Health and Developmental Disabilities Code.
 - (j) Provisions ensuring that, subject to all relevant confidentiality laws, service plans for youth for whom the Department has legal responsibility include the following information:

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1	(1) Identification by name and dosage of the												
2	psychotropic medication known by the Department to have												
3	been administered to the youth since the last service plan.												
4	(2) The benefits of the psychotropic medication.												
5	(3) The negative side effects of the psychotropic												
6	medication.												
7	(Source: P.A. 97-245, eff. 8-4-11.)												
8	(20 ILCS 535/7 new)												
9	Sec. 7. Annual reports on prescribing patterns.												
10	(a) No later than December 31, 2018, and December 31 of												
11	each year thereafter, the Department shall prepare and submit												
12	an annual report, covering the previous fiscal year, to the												
13	General Assembly concerning the administration of psychotropic												
14	medication to persons for whom it is legally responsible. This												
15	report shall include, but is not limited to, pharmacy claims												
16	data for youth the Department is legally responsible for each												
17	of the following:												
18	(1) Who are or have been on 3 or more psychotropic												
19	medications for 60 days or more.												
20	(2) Who are 5 years of age or younger and have beer												
21	prescribed one or more psychotropic medications.												
22	(3) Who are or have been on 2 or more antipsychotic												
23	medications for 60 days or more.												

Prior to the release of this data, personal identifiers,

such as name, date of birth, address, and Social Security

1	number, shall be removed and a unique identifier shall be
2	submitted.
3	(b) For each person who falls into one of the categories
4	described in subsection (a), the Department shall submit the
5	following information to the General Assembly:
6	(1) a list of the psychotropic medications prescribed;
7	(2) the start and stop dates, if any, for each
8	psychotropic medication prescribed;
9	(3) the prescriber's name and contact information;
10	(4) the person's year of birth;
11	(5) the diagnoses received on non-pharmacy claims,
12	including all associated dates of claim and service and the
13	associated CPT code for the claim or service;
14	(6) the unit and quantity of the medication and the
15	number of days' supply of the medication; and
16	(7) the person's weight.
17	(c) The Department shall contract for consulting services
18	from, if available, a psychiatrist who has expertise and
19	specializes in pediatric care for the purpose of reviewing the
20	data provided to the General Assembly in subsection (a).
21	(d) Using information gathered from subsection (a), the
22	Department shall analyze prescribing patterns by population
23	for youth for whom it is legally responsible and who are in
24	facilities operated by the Department of Corrections or the
25	Donartment of Juvenile Justice

1	Section	10.	The	Medical	Practice	Act	of	1987	is	amended	bу
2	changing Sec	ction	n 22	as follo	ws:						

3 (225 ILCS 60/22) (from Ch. 111, par. 4400-22)

4 (Section scheduled to be repealed on December 31, 2017)

5 Sec. 22. Disciplinary action.

- (A) The Department may revoke, suspend, place on probation, reprimand, refuse to issue or renew, or take any other disciplinary or non-disciplinary action as the Department may deem proper with regard to the license or permit of any person issued under this Act, including imposing fines not to exceed \$10,000 for each violation, upon any of the following grounds:
- 12 (1) Performance of an elective abortion in any place, 13 locale, facility, or institution other than:
 - (a) a facility licensed pursuant to the Ambulatory Surgical Treatment Center Act;
 - (b) an institution licensed under the Hospital Licensing Act;
 - (c) an ambulatory surgical treatment center or hospitalization or care facility maintained by the State or any agency thereof, where such department or agency has authority under law to establish and enforce standards for the ambulatory surgical treatment centers, hospitalization, or care facilities under its management and control;

(d)	ambulator	y s	urgical	treatment	cente	ers,
hospitali	zation or	care	facilitie	es maintaine	d by	the
Federal G	overnment:	or				

- (e) ambulatory surgical treatment centers, hospitalization or care facilities maintained by any university or college established under the laws of this State and supported principally by public funds raised by taxation.
- (2) Performance of an abortion procedure in a wilful and wanton manner on a woman who was not pregnant at the time the abortion procedure was performed.
- (3) A plea of guilty or nolo contendere, finding of guilt, jury verdict, or entry of judgment or sentencing, including, but not limited to, convictions, preceding sentences of supervision, conditional discharge, or first offender probation, under the laws of any jurisdiction of the United States of any crime that is a felony.
 - (4) Gross negligence in practice under this Act.
- (5) Engaging in dishonorable, unethical or unprofessional conduct of a character likely to deceive, defraud or harm the public.
- (6) Obtaining any fee by fraud, deceit, or misrepresentation.
- (7) Habitual or excessive use or abuse of drugs defined in law as controlled substances, of alcohol, or of any other substances which results in the inability to practice

- with reasonable judgment, skill or safety.
 - (8) Practicing under a false or, except as provided by law, an assumed name.
 - (9) Fraud or misrepresentation in applying for, or procuring, a license under this Act or in connection with applying for renewal of a license under this Act.
 - (10) Making a false or misleading statement regarding their skill or the efficacy or value of the medicine, treatment, or remedy prescribed by them at their direction in the treatment of any disease or other condition of the body or mind.
 - (11) Allowing another person or organization to use their license, procured under this Act, to practice.
 - (12) Adverse action taken by another state or jurisdiction against a license or other authorization to practice as a medical doctor, doctor of osteopathy, doctor of osteopathic medicine or doctor of chiropractic, a certified copy of the record of the action taken by the other state or jurisdiction being prima facie evidence thereof. This includes any adverse action taken by a State or federal agency that prohibits a medical doctor, doctor of osteopathy, doctor of osteopathic medicine, or doctor of chiropractic from providing services to the agency's participants.
 - (13) Violation of any provision of this Act or of the Medical Practice Act prior to the repeal of that Act, or

- violation of the rules, or a final administrative action of the Secretary, after consideration of the recommendation of the Disciplinary Board.
 - (14) Violation of the prohibition against fee splitting in Section 22.2 of this Act.
 - (15) A finding by the Disciplinary Board that the registrant after having his or her license placed on probationary status or subjected to conditions or restrictions violated the terms of the probation or failed to comply with such terms or conditions.
 - (16) Abandonment of a patient.
 - (17) Prescribing, selling, administering, distributing, giving or self-administering any drug classified as a controlled substance (designated product) or narcotic for other than medically accepted therapeutic purposes.
 - (18) Promotion of the sale of drugs, devices, appliances or goods provided for a patient in such manner as to exploit the patient for financial gain of the physician.
 - (19) Offering, undertaking or agreeing to cure or treat disease by a secret method, procedure, treatment or medicine, or the treating, operating or prescribing for any human condition by a method, means or procedure which the licensee refuses to divulge upon demand of the Department.
 - (20) Immoral conduct in the commission of any act

including, but not limited to, commission of an act of sexual misconduct related to the licensee's practice.

- (21) Wilfully making or filing false records or reports in his or her practice as a physician, including, but not limited to, false records to support claims against the medical assistance program of the Department of Healthcare and Family Services (formerly Department of Public Aid) under the Illinois Public Aid Code.
- (22) Wilful omission to file or record, or wilfully impeding the filing or recording, or inducing another person to omit to file or record, medical reports as required by law, or wilfully failing to report an instance of suspected abuse or neglect as required by law.
- (23) Being named as a perpetrator in an indicated report by the Department of Children and Family Services under the Abused and Neglected Child Reporting Act, and upon proof by clear and convincing evidence that the licensee has caused a child to be an abused child or neglected child as defined in the Abused and Neglected Child Reporting Act.
- (24) Solicitation of professional patronage by any corporation, agents or persons, or profiting from those representing themselves to be agents of the licensee.
- (25) Gross and wilful and continued overcharging for professional services, including filing false statements for collection of fees for which services are not rendered,

including, but not limited to, filing such false statements for collection of monies for services not rendered from the medical assistance program of the Department of Healthcare and Family Services (formerly Department of Public Aid) under the Illinois Public Aid Code.

- (26) A pattern of practice or other behavior which demonstrates incapacity or incompetence to practice under this Act.
- (27) Mental illness or disability which results in the inability to practice under this Act with reasonable judgment, skill or safety.
- (28) Physical illness, including, but not limited to, deterioration through the aging process, or loss of motor skill which results in a physician's inability to practice under this Act with reasonable judgment, skill or safety.
- (29) Cheating on or attempt to subvert the licensing examinations administered under this Act.
- (30) Wilfully or negligently violating the confidentiality between physician and patient except as required by law.
- (31) The use of any false, fraudulent, or deceptive statement in any document connected with practice under this Act.
- (32) Aiding and abetting an individual not licensed under this Act in the practice of a profession licensed under this Act.

- (33) Violating state or federal laws or regulations relating to controlled substances, legend drugs, or ephedra as defined in the Ephedra Prohibition Act.
- (34) Failure to report to the Department any adverse final action taken against them by another licensing jurisdiction (any other state or any territory of the United States or any foreign state or country), by any peer review body, by any health care institution, by any professional society or association related to practice under this Act, by any governmental agency, by any law enforcement agency, or by any court for acts or conduct similar to acts or conduct which would constitute grounds for action as defined in this Section.
- (35) Failure to report to the Department surrender of a license or authorization to practice as a medical doctor, a doctor of osteopathy, a doctor of osteopathic medicine, or doctor of chiropractic in another state or jurisdiction, or surrender of membership on any medical staff or in any medical or professional association or society, while under disciplinary investigation by any of those authorities or bodies, for acts or conduct similar to acts or conduct which would constitute grounds for action as defined in this Section.
- (36) Failure to report to the Department any adverse judgment, settlement, or award arising from a liability claim related to acts or conduct similar to acts or conduct

_	which	would	constitute	grounds	for	action	as	defined	in
)	this S	Section							

- (37) Failure to provide copies of medical records as required by law.
- (38) Failure to furnish the Department, its investigators or representatives, relevant information, legally requested by the Department after consultation with the Chief Medical Coordinator or the Deputy Medical Coordinator.
- (39) Violating the Health Care Worker Self-Referral Act.
- (40) Willful failure to provide notice when notice is required under the Parental Notice of Abortion Act of 1995.
- (41) Failure to establish and maintain records of patient care and treatment as required by this law.
- (42) Entering into an excessive number of written collaborative agreements with licensed advanced practice nurses resulting in an inability to adequately collaborate.
- (43) Repeated failure to adequately collaborate with a licensed advanced practice nurse.
- (44) Violating the Compassionate Use of Medical Cannabis Pilot Program Act.
- (45) Entering into an excessive number of written collaborative agreements with licensed prescribing psychologists resulting in an inability to adequately

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collaborate.

- (46) Repeated failure to adequately collaborate with a licensed prescribing psychologist.
- (47) Repeated acts of clearly excessive prescribing, furnishing, or administering psychotropic medications to a minor without a good faith prior examination of the patient and medical reason therefor.

Except for actions involving the ground numbered (26), all proceedings to suspend, revoke, place on probationary status, or take any other disciplinary action as the Department may deem proper, with regard to a license on any of the foregoing grounds, must be commenced within 5 years next after receipt by the Department of a complaint alleging the commission of or notice of the conviction order for any of the acts described herein. Except for the grounds numbered (8), (9), (26), and (29), no action shall be commenced more than 10 years after the date of the incident or act alleged to have violated this Section. For actions involving the ground numbered (26), a pattern of practice or other behavior includes all incidents alleged to be part of the pattern of practice or other behavior that occurred, or a report pursuant to Section 23 of this Act received, within the 10-year period preceding the filing of the complaint. In the event of the settlement of any claim or cause of action in favor of the claimant or the reduction to final judgment of any civil action in favor of the plaintiff, such claim, cause of action or civil action being grounded on the

allegation that a person licensed under this Act was negligent in providing care, the Department shall have an additional period of 2 years from the date of notification to the Department under Section 23 of this Act of such settlement or final judgment in which to investigate and commence formal disciplinary proceedings under Section 36 of this Act, except as otherwise provided by law. The time during which the holder of the license was outside the State of Illinois shall not be included within any period of time limiting the commencement of disciplinary action by the Department.

The entry of an order or judgment by any circuit court establishing that any person holding a license under this Act is a person in need of mental treatment operates as a suspension of that license. That person may resume their practice only upon the entry of a Departmental order based upon a finding by the Disciplinary Board that they have been determined to be recovered from mental illness by the court and upon the Disciplinary Board's recommendation that they be permitted to resume their practice.

The Department may refuse to issue or take disciplinary action concerning the license of any person who fails to file a return, or to pay the tax, penalty or interest shown in a filed return, or to pay any final assessment of tax, penalty or interest, as required by any tax Act administered by the Illinois Department of Revenue, until such time as the requirements of any such tax Act are satisfied as determined by

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- 1 the Illinois Department of Revenue.
- 2 The Department, upon the recommendation of the
- 3 Disciplinary Board, shall adopt rules which set forth standards
- 4 to be used in determining:
- 5 (a) when a person will be deemed sufficiently 6 rehabilitated to warrant the public trust;
- 7 (b) what constitutes dishonorable, unethical or unprofessional conduct of a character likely to deceive, defraud, or harm the public;
 - (c) what constitutes immoral conduct in the commission of any act, including, but not limited to, commission of an act of sexual misconduct related to the licensee's practice; and
- 14 (d) what constitutes gross negligence in the practice of medicine.
 - However, no such rule shall be admissible into evidence in any civil action except for review of a licensing or other disciplinary action under this Act.

In enforcing this Section, the Disciplinary Board or the Licensing Board, upon a showing of a possible violation, may compel, in the case of the Disciplinary Board, any individual who is licensed to practice under this Act or holds a permit to practice under this Act, or, in the case of the Licensing Board, any individual who has applied for licensure or a permit pursuant to this Act, to submit to a mental or physical examination and evaluation, or both, which may include a

substance abuse or sexual offender evaluation, as required by 1 2 the Licensing Board or Disciplinary Board and at the expense of 3 the Department. The Disciplinary Board or Licensing Board shall specifically designate the examining physician licensed to 5 practice medicine in all of its branches or, if applicable, the 6 multidisciplinary team involved in providing the mental or 7 examination and evaluation, or both. The physical 8 multidisciplinary team shall be led by a physician licensed to 9 practice medicine in all of its branches and may consist of one 10 or more or a combination of physicians licensed to practice medicine in all of its branches, licensed chiropractic 11 12 physicians, licensed clinical psychologists, licensed clinical 13 social workers, licensed clinical professional counselors, and other professional and administrative staff. Any examining 14 15 physician or member of the multidisciplinary team may require 16 any person ordered to submit to an examination and evaluation 17 pursuant to this Section to submit to any additional supplemental testing deemed necessary to complete 18 19 examination or evaluation process, including, but not limited 20 to, blood testing, urinalysis, psychological testing, or 21 neuropsychological testing. The Disciplinary Board, the 22 Licensing Board, or the Department may order the examining 23 physician or any member of the multidisciplinary team to provide to the Department, the Disciplinary Board, or the 24 25 Licensing Board any and all records, including business 26 records, that relate to the examination and evaluation,

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including any supplemental testing performed. The Disciplinary Board, the Licensing Board, or the Department may order the examining physician or any member of the multidisciplinary team present testimony concerning this examination evaluation of the licensee, permit holder, or applicant, including testimony concerning any supplemental testing or documents relating to the examination and evaluation. No information, report, record, or other documents in any way related to the examination and evaluation shall be excluded by reason of any common law or statutory privilege relating to communication between the licensee, permit holder, applicant and the examining physician or any member of the multidisciplinary team. No authorization is necessary from the licensee, permit holder, or applicant ordered to undergo an evaluation and examination for the examining physician or any member of the multidisciplinary team to provide information, reports, records, or other documents or to provide testimony regarding the examination and evaluation. individual to be examined may have, at his or her own expense, another physician of his or her choice present during all aspects of the examination. Failure of any individual to submit to mental or physical examination and evaluation, or both, when directed, shall result in an automatic suspension, without hearing, until such time as the individual submits to the examination. If the Disciplinary Board or Licensing Board finds a physician unable to practice following an examination and

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evaluation because of the reasons set forth in this Section, the Disciplinary Board or Licensing Board shall require such physician to submit to care, counseling, or treatment by physicians, or other health care professionals, approved or designated by the Disciplinary Board, as a condition for issued, continued, reinstated, or renewed licensure practice. Any physician, whose license was granted pursuant to Sections 9, 17, or 19 of this Act, or, continued, reinstated, renewed, disciplined or supervised, subject to such terms, conditions or restrictions who shall fail to comply with such terms, conditions or restrictions, or to complete a required program of care, counseling, or treatment, as determined by the Chief Medical Coordinator or Deputy Medical Coordinators, shall be referred to the Secretary for a determination as to whether the licensee shall have their license suspended immediately, pending a hearing by the Disciplinary Board. In instances in which the Secretary immediately suspends a license under this Section, a hearing upon such person's license must be convened by the Disciplinary Board within 15 days after such suspension and completed without appreciable delay. Disciplinary Board shall have the authority to review the subject physician's record of treatment and counseling regarding the impairment, to the extent permitted by applicable statutes and regulations safeguarding the confidentiality of medical records.

An individual licensed under this Act, affected under this

Section, shall be afforded an opportunity to demonstrate to the Disciplinary Board that they can resume practice in compliance with acceptable and prevailing standards under the provisions of their license.

The Department may promulgate rules for the imposition of fines in disciplinary cases, not to exceed \$10,000 for each violation of this Act. Fines may be imposed in conjunction with other forms of disciplinary action, but shall not be the exclusive disposition of any disciplinary action arising out of conduct resulting in death or injury to a patient. Any funds collected from such fines shall be deposited in the Medical Disciplinary Fund.

All fines imposed under this Section shall be paid within 60 days after the effective date of the order imposing the fine or in accordance with the terms set forth in the order imposing the fine.

(B) The Department shall revoke the license or permit issued under this Act to practice medicine or a chiropractic physician who has been convicted a second time of committing any felony under the Illinois Controlled Substances Act or the Methamphetamine Control and Community Protection Act, or who has been convicted a second time of committing a Class 1 felony under Sections 8A-3 and 8A-6 of the Illinois Public Aid Code. A person whose license or permit is revoked under this subsection B shall be prohibited from practicing medicine or treating human ailments without the use of drugs and without operative

- 1 surgery.
- 2 (C) The Department shall not revoke, suspend, place on probation, reprimand, refuse to issue or renew, or take any 3 other disciplinary or non-disciplinary action against the 4 5 license or permit issued under this Act to practice medicine to a physician based solely upon the recommendation of the 6 7 physician to an eligible patient regarding, or prescription 8 for, or treatment with, an investigational drug, biological 9 product, or device.
- Disciplinary Board 10 shall recommend to 11 Department civil penalties and any other appropriate 12 discipline in disciplinary cases when the Board finds that a 13 willfully performed abortion physician an with 14 knowledge that the person upon whom the abortion has been 15 performed is a minor or an incompetent person without notice as 16 required under the Parental Notice of Abortion Act of 1995. 17 Upon the Board's recommendation, the Department shall impose, 18 for the first violation, a civil penalty of \$1,000 and for a second or subsequent violation, a civil penalty of \$5,000. 19
- 20 (Source: P.A. 98-601, eff. 12-30-13; 98-668, eff. 6-25-14;
- 21 98-1140, eff. 12-30-14; 99-270, eff. 1-1-16.)