



## 101ST GENERAL ASSEMBLY

### State of Illinois

2019 and 2020

HB3998

Introduced 1/8/2020, by Rep. Margo McDermed

#### SYNOPSIS AS INTRODUCED:

5 ILCS 420/4A-102  
5 ILCS 420/4A-103

from Ch. 127, par. 604A-102  
from Ch. 127, par. 604A-103

Amends the Illinois Governmental Ethics Act. Requires members of the General Assembly to include on a statement of economic interests the identity of any client or entity with whom the person making the statement, or his or her spouse, maintains an economic association and from which he or she, or his or her spouse, has derived income other than the salary received as a member of the General Assembly during the preceding calendar year, including the total dollar amount of such income received.

LRB101 13787 RJF 63551 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**  
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended  
5 by changing Sections 4A-102 and 4A-103 as follows:

6 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

7 Sec. 4A-102. The statement of economic interests required  
8 by this Article shall include the economic interests of the  
9 person making the statement as provided in this Section. The  
10 interest (if constructively controlled by the person making the  
11 statement) of ~~a spouse or~~ any other party, shall be considered  
12 to be the same as the interest of the person making the  
13 statement. Campaign receipts shall not be included in this  
14 statement.

15 (a) The following interests shall be listed by all  
16 persons required to file:

17 (1) The name, address and type of practice of any  
18 professional organization or individual professional  
19 practice in which the person making the statement was  
20 an officer, director, associate, partner or  
21 proprietor, or served in any advisory capacity, from  
22 which income in excess of \$1200 was derived during the  
23 preceding calendar year;

1           (2) The nature of professional services (other  
2 than services rendered to the unit or units of  
3 government in relation to which the person is required  
4 to file) and the nature of the entity to which they  
5 were rendered if fees exceeding \$5,000 were received  
6 during the preceding calendar year from the entity for  
7 professional services rendered by the person making  
8 the statement.

9           (3) The identity (including the address or legal  
10 description of real estate) of any capital asset from  
11 which a capital gain of \$5,000 or more was realized in  
12 the preceding calendar year.

13           (4) The name of any unit of government which has  
14 employed the person making the statement during the  
15 preceding calendar year other than the unit or units of  
16 government in relation to which the person is required  
17 to file.

18           (5) The name of any entity from which a gift or  
19 gifts, or honorarium or honoraria, valued singly or in  
20 the aggregate in excess of \$500, was received during  
21 the preceding calendar year.

22           (b) The following interests shall also be listed by  
23 persons listed in items (a) through (f), item (l), item  
24 (n), and item (p) of Section 4A-101:

25           (1) The name and instrument of ownership in any  
26 entity doing business in the State of Illinois, in

1           which an ownership interest held by the person at the  
2           date of filing is in excess of \$5,000 fair market value  
3           or from which dividends of in excess of \$1,200 were  
4           derived during the preceding calendar year. (In the  
5           case of real estate, location thereof shall be listed  
6           by street address, or if none, then by legal  
7           description). No time or demand deposit in a financial  
8           institution, nor any debt instrument need be listed;

9           (2) Except for professional service entities, the  
10          name of any entity and any position held therein from  
11          which income of in excess of \$1,200 was derived during  
12          the preceding calendar year, if the entity does  
13          business in the State of Illinois. No time or demand  
14          deposit in a financial institution, nor any debt  
15          instrument need be listed.

16          (3) The identity of any compensated lobbyist with  
17          whom the person making the statement maintains a close  
18          economic association, including the name of the  
19          lobbyist and specifying the legislative matter or  
20          matters which are the object of the lobbying activity,  
21          and describing the general type of economic activity of  
22          the client or principal on whose behalf that person is  
23          lobbying.

24          (c) The following interests shall also be listed by  
25          persons listed in items (a) through (c) and item (e) of  
26          Section 4A-101.5:

1           (1) The name and instrument of ownership in any  
2           entity doing business with a unit of local government  
3           in relation to which the person is required to file if  
4           the ownership interest of the person filing is greater  
5           than \$5,000 fair market value as of the date of filing  
6           or if dividends in excess of \$1,200 were received from  
7           the entity during the preceding calendar year. (In the  
8           case of real estate, location thereof shall be listed  
9           by street address, or if none, then by legal  
10          description). No time or demand deposit in a financial  
11          institution, nor any debt instrument need be listed.

12          (2) Except for professional service entities, the  
13          name of any entity and any position held therein from  
14          which income in excess of \$1,200 was derived during the  
15          preceding calendar year if the entity does business  
16          with a unit of local government in relation to which  
17          the person is required to file. No time or demand  
18          deposit in a financial institution, nor any debt  
19          instrument need be listed.

20          (3) The name of any entity and the nature of the  
21          governmental action requested by any entity which has  
22          applied to a unit of local government in relation to  
23          which the person must file for any license, franchise  
24          or permit for annexation, zoning or rezoning of real  
25          estate during the preceding calendar year if the  
26          ownership interest of the person filing is in excess of



1 (TYPE OR HAND PRINT)  
 2 .....  
 3 (name)  
 4 .....  
 5 (each office or position of employment for which this statement  
 6 is filed)  
 7 .....  
 8 (full mailing address)

9 GENERAL DIRECTIONS:

10 The interest (if constructively controlled by the person  
 11 making the statement) of ~~a spouse or~~ any other party, shall be  
 12 considered to be the same as the interest of the person making  
 13 the statement.

14 Campaign receipts shall not be included in this statement.

15 If additional space is needed, please attach supplemental  
 16 listing.

17 1. List the name and instrument of ownership in any entity  
 18 doing business in the State of Illinois, in which the ownership  
 19 interest held by the person at the date of filing is in excess  
 20 of \$5,000 fair market value or from which dividends in excess  
 21 of \$1,200 were derived during the preceding calendar year. (In  
 22 the case of real estate, location thereof shall be listed by  
 23 street address, or if none, then by legal description.) No time  
 24 or demand deposit in a financial institution, nor any debt  
 25 instrument need be listed.

26 Business Entity

Instrument of Ownership

1 .....  
 2 .....  
 3 .....  
 4 .....

5       2. List the name, address and type of practice of any  
 6 professional organization in which the person making the  
 7 statement was an officer, director, associate, partner or  
 8 proprietor or served in any advisory capacity, from which  
 9 income in excess of \$1,200 was derived during the preceding  
 10 calendar year.

11 Name	Address	Type of Practice
12 .....	.....	.....
13 .....	.....	.....
14 .....	.....	.....

15       3. List the nature of professional services rendered (other  
 16 than to the State of Illinois) to each entity from which income  
 17 exceeding \$5,000 was received for professional services  
 18 rendered during the preceding calendar year by the person  
 19 making the statement.

20 .....  
 21 .....

22       4. List the identity (including the address or legal  
 23 description of real estate) of any capital asset from which a  
 24 capital gain of \$5,000 or more was realized during the  
 25 preceding calendar year.

26 .....



1 .....

2 5. List the identity of any compensated lobbyist with whom  
3 the person making the statement maintains a close economic  
4 association, including the name of the lobbyist and specifying  
5 the legislative matter or matters which are the object of the  
6 lobbying activity, and describing the general type of economic  
7 activity of the client or principal on whose behalf that person  
8 is lobbying.

9	Lobbyist	Legislative Matter	Client or Principal
10	.....	.....	.....
11	.....	.....	.....

12 6. List the name of any entity doing business in the State  
13 of Illinois from which income in excess of \$1,200 was derived  
14 during the preceding calendar year other than for professional  
15 services and the title or description of any position held in  
16 that entity. (In the case of real estate, location thereof  
17 shall be listed by street address, or if none, then by legal  
18 description). No time or demand deposit in a financial  
19 institution nor any debt instrument need be listed.

20	Entity	Position Held
21	.....	.....
22	.....	.....
23	.....	.....

24 7. List the name of any unit of government which employed  
25 the person making the statement during the preceding calendar  
26 year other than the unit or units of government in relation to

1 which the person is required to file.

2 .....

3 .....

4 8. List the name of any entity from which a gift or gifts,  
5 or honorarium or honoraria, valued singly or in the aggregate  
6 in excess of \$500, was received during the preceding calendar  
7 year.

8 .....

9 9. For members of the General Assembly only, list the  
10 identity of any client or entity with whom the person making  
11 the statement, or his or her spouse, maintains an economic  
12 association and from which he or she, or his or her spouse, has  
13 derived income other than the salary received as a member of  
14 the General Assembly during the preceding calendar year,  
15 including the total dollar amount of such income received.

16 .....

17 VERIFICATION:

18 "I declare that this statement of economic interests  
19 (including any accompanying schedules and statements) has been  
20 examined by me and to the best of my knowledge and belief is a  
21 true, correct and complete statement of my economic interests  
22 as required by the Illinois Governmental Ethics Act. I  
23 understand that the penalty for willfully filing a false or  
24 incomplete statement shall be a fine not to exceed \$1,000 or  
25 imprisonment in a penal institution other than the penitentiary  
26 not to exceed one year, or both fine and imprisonment."

1 ..... ..

2 (date of filing) (signature of person making the statement)

3 (Source: P.A. 95-173, eff. 1-1-08.)