



Sen. Chapin Rose

**Filed: 3/14/2023**

10300SB1030sam001

LRB103 28388 DTM 58569 a

1 AMENDMENT TO SENATE BILL 1030

2 AMENDMENT NO. \_\_\_\_\_. Amend Senate Bill 1030 by replacing  
3 everything after the enacting clause with the following:

4 "Section 5. The Illinois Governmental Ethics Act is  
5 amended by changing Sections 4A-102 and 4A-103 as follows:

6 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

7 Sec. 4A-102. The statement of economic interests required  
8 by this Article shall include the economic interests of the  
9 person making the statement as provided in this Section.

10 (a) The interest (if constructively controlled by the  
11 person making the statement) of a spouse or any other party,  
12 shall be considered to be the same as the interest of the  
13 person making the statement. Campaign receipts shall not be  
14 included in this statement. The following interests shall be  
15 listed by all persons required to file:

16 (1) each asset that has a value of more than \$10,000 as

1 of the end of the preceding calendar year and is: (i) held  
2 in the filer's name, (ii) held jointly by the filer with  
3 his or her spouse, or (iii) held jointly by the filer with  
4 his or her minor child or children. For a beneficial  
5 interest in a trust, the value is based on the total value  
6 of the assets either subject to the beneficial interest,  
7 or from which income is to be derived for the benefit of  
8 the beneficial interest, regardless of whether any  
9 distributions have been made for the benefit of the  
10 beneficial interest;

11 (2) excluding the income from the position that  
12 requires the filing of a statement of economic interests  
13 under this Act, each source of income in excess of \$7,500  
14 during the preceding calendar year (as required to be  
15 reported on the filer's federal income tax return covering  
16 the preceding calendar year) for the filer and his or her  
17 spouse and, if the sale or transfer of an asset produced  
18 more than \$7,500 in capital gains during the preceding  
19 calendar year, the transaction date on which that asset  
20 was sold or transferred;

21 (3) each creditor of a debt in excess of \$10,000 that,  
22 during the preceding calendar year, was: (i) owed by the  
23 filer, (ii) owed jointly by the filer with his or her  
24 spouse or (iii) owed jointly by the filer with his or her  
25 minor child or children;

26 (4) the name of each unit of government of which the

1 filer or his or her spouse was an employee, contractor, or  
2 office holder during the preceding calendar year other  
3 than the unit or units of government in relation to which  
4 the person is required to file and the title of the  
5 position or nature of the contractual services;

6 (5) each person known to the filer to be registered as  
7 a lobbyist with any unit of government in the State of  
8 Illinois: (i) with whom the filer maintains an economic  
9 relationship, or (ii) who is a member of the filer's  
10 family;

11 (6) each source and type of gift or gifts, or  
12 honorarium or honoraria, valued singly or in the aggregate  
13 in excess of \$500 that was received during the preceding  
14 calendar year, excluding any gift or gifts from a member  
15 of the filer's family that was not known to the filer to be  
16 registered as a lobbyist with any unit of government in  
17 the State of Illinois; ~~and~~

18 (7) the name of any spouse or immediate family member  
19 living with such person employed by a public utility in  
20 this State and the name of the public utility that employs  
21 such person; ~~and~~.

22 (8) the name of each spouse, sibling, child, or parent  
23 who is an employee, contractor, or office holder in the  
24 same unit of local government as the filer of this  
25 statement and the title of the individual's position or  
26 the nature of the contractual services the individual

1           provided to the unit of local government.

2           For the purposes of this Section, the unit of local  
3 government in relation to which a person is required to file  
4 under item (e) of Section 4A-101.5 shall be the unit of local  
5 government that contributes to the pension fund of which such  
6 person is a member of the board.

7           (b) Beginning December 1, 2025, and for every 5 years  
8 thereafter, the Secretary of State shall adjust the amounts  
9 specified under this Section that prompt disclosure under this  
10 Act for purposes of inflation as determined by the Consumer  
11 Price Index for All Urban Consumers as issued by the United  
12 States Department of Labor and rounded to the nearest \$100.  
13 The Secretary shall publish this information on the official  
14 website of the Secretary of State, and make changes to the  
15 statement of economic interests form to be completed for the  
16 following year.

17           (c) The Secretary of State shall develop and make publicly  
18 available on his or her website written guidance relating to  
19 the completion and filing of the statement of economic  
20 interests upon which a filer may reasonably and in good faith  
21 rely.

22           (Source: P.A. 101-221, eff. 8-9-19; 102-662, eff. 9-15-21;  
23 102-664, eff. 1-1-22; 102-813, eff. 5-13-22.)

24           (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

25           Sec. 4A-103. The statement of economic interests required

1 by this Article to be filed with the Secretary of State or  
2 county clerk shall be verified, dated, and signed by the  
3 person making the statement and shall contain substantially  
4 the following:

5 STATEMENT OF ECONOMIC INTERESTS

6 INSTRUCTIONS:

7 You may find the following documents helpful to you in  
8 completing this form:

- 9 (1) federal income tax returns, including any related  
10 schedules, attachments, and forms; and  
11 (2) investment and brokerage statements.

12 To complete this form, you do not need to disclose  
13 specific amounts or values or report interests relating either  
14 to political committees registered with the Illinois State  
15 Board of Elections or to political committees, principal  
16 campaign committees, or authorized committees registered with  
17 the Federal Election Commission.

18 The information you disclose will be available to the  
19 public.

20 You must answer all 6 questions. Certain questions will  
21 ask you to report any applicable assets or debts held in, or  
22 payable to, your name; held jointly by, or payable to, you with  
23 your spouse; or held jointly by, or payable to, you with your  
24 minor child. If you have any concerns about whether an

1 interest should be reported, please consult your department's  
2 ethics officer, if applicable.

3 Please ensure that the information you provide is complete  
4 and accurate. If you need more space than the form allows,  
5 please attach additional pages for your response. If you are  
6 subject to the State Officials and Employees Ethics Act, your  
7 ethics officer must review your statement of economic  
8 interests before you file it. Failure to complete the  
9 statement in good faith and within the prescribed deadline may  
10 subject you to fines, imprisonment, or both.

11 BASIC INFORMATION:

12 Name:.....

13 Job title: .....

14 Office, department, or agency that requires you to file this  
15 form:.....

16 Other offices, departments, or agencies that require you to  
17 file a Statement of Economic Interests form: .....

18 Full mailing address:.....

19 Preferred e-mail address (optional): .....

20 QUESTIONS:

21 1. If you have any single asset that was worth more than  
22 \$10,000 as of the end of the preceding calendar year and is  
23 held in, or payable to, your name, held jointly by, or payable  
24 to, you with your spouse, or held jointly by, or payable to,

1 you with your minor child, list such assets below. In the case  
 2 of investment real estate, list the city and state where the  
 3 investment real estate is located. If you do not have any such  
 4 assets, list "none" below.

5 .....  
 6 .....  
 7 .....  
 8 .....  
 9 .....

10 2. Excluding the position for which you are required to  
 11 file this form, list the source of any income in excess of  
 12 \$7,500 required to be reported during the preceding calendar  
 13 year. If you sold an asset that produced more than \$7,500 in  
 14 capital gains in the preceding calendar year, list the name of  
 15 the asset and the transaction date on which the sale or  
 16 transfer took place. If you had no such sources of income or  
 17 assets, list "none" below.

18 Source of Income / Name of	Date Sold (if applicable)
19 Asset	
20 .....	.....
21 .....	.....
22 .....	.....

23 3. Excluding debts incurred on terms available to the  
 24 general public, such as mortgages, student loans, and credit  
 25 card debts, if you owed any single debt in the preceding

1 calendar year exceeding \$10,000, list the creditor of the debt  
2 below. If you had no such debts, list "none" below.

3 List the creditor for all applicable debts owed by you,  
4 owed jointly by you with your spouse, or owed jointly by you  
5 with your minor child. In addition to the types of debts listed  
6 above, you do not need to report any debts to or from financial  
7 institutions or government agencies, such as debts secured by  
8 automobiles, household furniture or appliances, as long as the  
9 debt was made on terms available to the general public, debts  
10 to members of your family, or debts to or from a political  
11 committee registered with the Illinois State Board of  
12 Elections or any political committee, principal campaign  
13 committee, or authorized committee registered with the Federal  
14 Election Commission.

15 .....  
16 .....  
17 .....  
18 .....

19 4. List the name of each unit of government of which you or  
20 your spouse were an employee, contractor, or office holder  
21 during the preceding calendar year other than the unit or  
22 units of government in relation to which the person is  
23 required to file and the title of the position or nature of the  
24 contractual services.

25 Name of Unit of Government Title or Nature of Services



1 .....  
 2 .....  
 3 .....

4       5. If you maintain an economic relationship with a  
 5 lobbyist or if a member of your family is known to you to be a  
 6 lobbyist registered with any unit of government in the State  
 7 of Illinois, list the name of the lobbyist below and identify  
 8 the nature of your relationship with the lobbyist. If you do  
 9 not have an economic relationship with a lobbyist or a family  
 10 member known to you to be a lobbyist registered with any unit  
 11 of government in the State of Illinois, list "none" below.

12	Name of Lobbyist	Relationship to Filer
13	.....	.....
14	.....	.....
15	.....	.....

16       6. List the name of each person, organization, or entity  
 17 that was the source of a gift or gifts, or honorarium or  
 18 honoraria, valued singly or in the aggregate in excess of \$500  
 19 received during the preceding calendar year and the type of  
 20 gift or gifts, or honorarium or honoraria, excluding any gift  
 21 or gifts from a member of your family that was not known to be  
 22 a lobbyist registered with any unit of government in the State  
 23 of Illinois. If you had no such gifts, list "none" below.

24 .....  
 25 .....

1 .....

2 7. List the name of any spouse or immediate family member  
3 living with the person making this statement employed by a  
4 public utility in this State and the name of the public utility  
5 that employs the relative.

6 Name and Relation	Public Utility
7 .....	.....
8 .....	.....
9 .....	.....

10 8. List the name of each spouse, sibling, child, or parent  
11 who is an employee, contractor, or office holder in the same  
12 unit of local government as the filer of this statement and the  
13 title of the individual's position or the nature of the  
14 contractual services the individual provided to the unit of  
15 local government.

16 <u>Name and Relation</u>	Position or Nature of Service
17 <u>.....</u>	<u>.....</u>
18 <u>.....</u>	<u>.....</u>
19 <u>.....</u>	<u>.....</u>

20 VERIFICATION:  
21 "I declare that this statement of economic interests  
22 (including any attachments) has been examined by me and to the  
23 best of my knowledge and belief is a true, correct and complete

1 statement of my economic interests as required by the Illinois  
 2 Governmental Ethics Act. I understand that the penalty for  
 3 willfully filing a false or incomplete statement is a fine not  
 4 to exceed \$2,500 or imprisonment in a penal institution other  
 5 than the penitentiary not to exceed one year, or both fine and  
 6 imprisonment."

7 Printed Name of Filer: .....  
 8 Date:.....  
 9 Signature: .....

10 If this statement of economic interests requires ethics  
 11 officer review prior to filing, the applicable ethics officer  
 12 must complete the following:

13 CERTIFICATION OF ETHICS OFFICER REVIEW:

14 "In accordance with law, as Ethics Officer, I reviewed  
 15 this statement of economic interests prior to its filing."

16 Printed Name of Ethics Officer: .....  
 17 Date:.....  
 18 Signature: .....  
 19 Preferred e-mail address (optional): .....  
 20 (Source: P.A. 102-662, eff. 9-15-21; 102-664, eff. 1-1-22.)".