8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

1 AN ACT concerning aging.

## Be it enacted by the People of the State of Illinois, represented in the General Assembly:

Section 5. The Illinois Act on the Aging is amended by changing Section 4.04 as follows:

6 (20 ILCS 105/4.04) (from Ch. 23, par. 6104.04)

Sec. 4.04. Long Term Care Ombudsman Program. The purpose of the Long Term Care Ombudsman Program is to ensure that older persons with disabilities receive and services. This is accomplished by providing advocacy services for residents of long term care facilities and participants receiving home care and community-based care. Managed care is increasingly becoming the vehicle for delivering health and long-term services and supports to seniors and persons with disabilities, including dual eligible participants. additional ombudsman authority will allow advocacy services to be provided to Illinois participants for the first time and will produce a cost savings for the State of Illinois by supporting the rebalancing efforts of the Patient Protection and Affordable Care Act.

(a) Long Term Care Ombudsman Program. The Department shall establish a Long Term Care Ombudsman Program, through the Office of State Long Term Care Ombudsman ("the Office"), in

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

accordance with the provisions of the Older Americans Act of 1965, as now or hereafter amended. The Long Term Care Ombudsman Program is authorized, subject to sufficient appropriations, to advocate on behalf of older persons and persons with disabilities residing in their own homes or community-based settings, relating to matters which may adversely affect the

health, safety, welfare, or rights of such individuals.

- (b) Definitions. As used in this Section, unless the context requires otherwise:
  - (1) "Access" has the same meaning as in Section 1 104 of the Nursing Home Care Act, as now or hereafter amended; that is, it means the right to:
    - (i) Enter any long term care facility or assisted living or shared housing establishment or supportive living facility;
    - (ii) Communicate privately and without restriction with any resident, regardless of age, who consents to the communication;
    - (iii) Seek consent to communicate privately and
      without restriction with any participant or resident,
      regardless of age;
    - (iv) Inspect the clinical and other records of a participant or resident, regardless of age, with the express written consent of the participant or resident;
      - (v) Observe all areas of the long term care

facility or supportive living facilities, assisted living or shared housing establishment except the living area of any resident who protests the observation; and

- (vi) Subject to permission of the participant or resident requesting services or his or her representative, enter a home or community-based setting.
- (2) "Long Term Care Facility" means (i) any facility as defined by Section 1-113 of the Nursing Home Care Act, as now or hereafter amended; and (ii) any skilled nursing facility or a nursing facility which meets the requirements of Section 1819(a), (b), (c), and (d) or Section 1919(a), (b), (c), and (d) of the Social Security Act, as now or hereafter amended (42 U.S.C. 1395i-3(a), (b), (c), and (d) and 42 U.S.C. 1396r(a), (b), (c), and (d)); and any facility as defined by Section 1-113 of the MR/DD Community Care Act, as now or hereafter amended.
- (2.5) "Assisted living establishment" and "shared housing establishment" have the meanings given those terms in Section 10 of the Assisted Living and Shared Housing Act.
- (2.7) "Supportive living facility" means a facility established under Section 5-5.01a of the Illinois Public Aid Code.
  - (2.8) "Community-based setting" means any place of

abode other than an individual's private home.

- (3) "State Long Term Care Ombudsman" means any person employed by the Department to fulfill the requirements of the Office of State Long Term Care Ombudsman as required under the Older Americans Act of 1965, as now or hereafter amended, and Departmental policy.
- (3.1) "Ombudsman" means any designated representative of the State Long Term Care Ombudsman Program; provided that the representative, whether he is paid for or volunteers his ombudsman services, shall be qualified and designated by the Office to perform the duties of an ombudsman as specified by the Department in rules and in accordance with the provisions of the Older Americans Act of 1965, as now or hereafter amended.
- (4) "Participant" means an older person aged 60 or over or an adult with a disability aged 18 through 59 who is or persons with disabilities who are eligible for services under any of the following:
  - (i) A medical assistance waiver administered by the State.
  - (ii) A managed care organization providing care coordination and other services to seniors and persons with disabilities.
- (5) "Resident" means an older <u>person aged 60 or over or</u> an adult with a disability aged 18 through 59 individual who resides in a long-term care facility.

2

3

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

- 5 -

(c) Ombudsman; rules. The Office of State Long Term Care Ombudsman shall be composed of at least one full-time ombudsman and shall include a system of designated regional long term care ombudsman programs. Each regional program shall be designated by the State Long Term Care Ombudsman as a subdivision of the Office and any representative of a regional program shall be treated as a representative of the Office.

The Department, in consultation with the Office, shall promulgate administrative rules in accordance wit.h provisions of the Older Americans Act of 1965, as now or hereafter amended, to establish the responsibilities of the Department and the Office of State Long Term Care Ombudsman and the designated regional Ombudsman programs. The administrative rules shall include the responsibility of the Office and designated regional programs to investigate and resolve complaints made by or on behalf of residents of long term care facilities, supportive living facilities, and assisted living and shared housing establishments, and participants residing in their own homes or community-based settings, including the option to serve residents and participants under the age of 60, relating to actions, inaction, or decisions of providers, or their representatives, of such facilities and establishments, of public agencies, or of social services agencies, which may adversely affect the health, safety, welfare, or rights of such residents and participants. The Office and designated regional programs may represent all residents and participants, but are

2

3

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

not required by this Act to represent persons under 60 years of age, except to the extent required by federal law. When necessary and appropriate, representatives of the Office shall refer complaints to the appropriate regulatory State agency. The Department, in consultation with the Office, cooperate with the Department of Human Services and other State agencies in providing information and training to designated regional long term care ombudsman programs about appropriate assessment and treatment (including information about appropriate supportive services, treatment options, and assessment of rehabilitation potential) of the participants they serve.

The State Long Term Care Ombudsman and all other ombudsmen, as defined in paragraph (3.1) of subsection (b) must submit to background checks under the Health Care Worker Background Check Act and receive training, as prescribed by the Illinois Department on Aging, before visiting facilities, private homes, or community-based settings. The training must include information specific to assisted living establishments, supportive living facilities, shared housing establishments, private homes, and community-based settings and to the rights of residents and participants guaranteed under the corresponding Acts and administrative rules.

- (c-5) Consumer Choice Information Reports. The Office shall:
- 26 (1) In collaboration with the Attorney General, create

a Consumer Choice Information Report form to be completed by all licensed long term care facilities to aid Illinoisans and their families in making informed choices about long term care. The Office shall create a Consumer Choice Information Report for each type of licensed long term care facility. The Office shall collaborate with the Attorney General and the Department of Human Services to create a Consumer Choice Information Report form for facilities licensed under the MR/DD Community Care Act.

- (2) Develop a database of Consumer Choice Information Reports completed by licensed long term care facilities that includes information in the following consumer categories:
  - (A) Medical Care, Services, and Treatment.
  - (B) Special Services and Amenities.
  - (C) Staffing.
  - (D) Facility Statistics and Resident Demographics.
  - (E) Ownership and Administration.
    - (F) Safety and Security.
  - (G) Meals and Nutrition.
- (H) Rooms, Furnishings, and Equipment.
  - (I) Family, Volunteer, and Visitation Provisions.
  - (3) Make this information accessible to the public, including on the Internet by means of a hyperlink labeled "Resident's Right to Know" on the Office's World Wide Web home page. Information about facilities licensed under the

2

3

4

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

MR/DD Community Care Act shall be made accessible to the public by the Department of Human Services, including on the Internet by means of a hyperlink labeled "Resident's and Families' Right to Know" on the Department of Human Services' "For Customers" website.

- (4) Have the authority, with the Attorney General, to verify that information provided by a facility is accurate.
- (5) Request a new report from any licensed facility whenever it deems necessary.
- (6) Include in the Office's Consumer Choice Information Report for each type of licensed long term care facility additional information on each licensed long term the State of Illinois, including facility in information regarding each facility's compliance with the relevant State and federal statutes, rules, and standards; customer satisfaction surveys; and information generated from quality measures developed by the Centers for Medicare and Medicaid Services.
- (d) Access and visitation rights.
- (1) In accordance with subparagraphs (A) and (E) of paragraph (3) of subsection (c) of Section 1819 and subparagraphs (A) and (E) of paragraph (3) of subsection (c) of Section 1919 of the Social Security Act, as now or hereafter amended (42 U.S.C. 1395i-3 (c) (3) (A) and (E) and 42 U.S.C. 1396r (c) (3) (A) and (E)), and Section 712 of the Older Americans Act of 1965, as now or hereafter amended

- (42 U.S.C. 3058f), a long term care facility, supportive living facility, assisted living establishment, and shared housing establishment must:
  - (i) permit immediate access to any resident, regardless of age, by a designated ombudsman; and
  - (ii) permit representatives of the Office, with the permission of the resident's legal representative or legal guardian, to examine a resident's clinical and other records, regardless of the age of the resident, and if a resident is unable to consent to such review, and has no legal guardian, permit representatives of the Office appropriate access, as defined by the Department, in consultation with the Office, in administrative rules, to the resident's records; and -
  - (iii) permit a representative of the Program to communicate privately and without restriction with any participant who consents to the communication regardless of the consent of, or withholding of consent by, a legal quardian or an agent named in a power of attorney executed by the participant.
  - (2) Each long term care facility, supportive living facility, assisted living establishment, and shared housing establishment shall display, in multiple, conspicuous public places within the facility accessible to both visitors and residents and in an easily readable format, the address and phone number of the Office of the

1 Long Term Care Ombudsman, in a manner prescribed by the
2 Office.

- (e) Immunity. An ombudsman or any representative of the Office participating in the good faith performance of his or her official duties shall have immunity from any liability (civil, criminal or otherwise) in any proceedings (civil, criminal or otherwise) brought as a consequence of the performance of his official duties.
  - (f) Business offenses.
    - (1) No person shall:
    - (i) Intentionally prevent, interfere with, or attempt to impede in any way any representative of the Office in the performance of his official duties under this Act and the Older Americans Act of 1965; or
    - (ii) Intentionally retaliate, discriminate against, or effect reprisals against any long term care facility resident or employee for contacting or providing information to any representative of the Office.
  - (2) A violation of this Section is a business offense, punishable by a fine not to exceed \$501.
  - (3) The <u>State Long Term Care Ombudsman</u> <del>Director of Aging, in consultation with the Office,</del> shall notify the State's Attorney of the county in which the long term care facility, supportive living facility, or assisted living or shared housing establishment is located, or the Attorney

1 General, of any violations of this Section.

- (g) Confidentiality of records and identities. The Department shall establish procedures for the disclosure by the State Ombudsman or the regional ombudsmen entities of files maintained by the program. The procedures shall provide that the files and records may be disclosed only at the discretion of the State Long Term Care Ombudsman or the person designated by the State Ombudsman to disclose the files and records, and the procedures shall prohibit the disclosure of the identity of any complainant, resident, participant, witness, or employee of a long term care provider unless:
  - (1) the complainant, resident, participant, witness, or employee of a long term care provider or his or her legal representative consents to the disclosure and the consent is in writing;
  - (2) the complainant, resident, participant, witness, or employee of a long term care provider gives consent orally; and the consent is documented contemporaneously in writing in accordance with such requirements as the Department shall establish; or
  - (3) the disclosure is required by court order.
- (h) Legal representation. The Attorney General shall provide legal representation to any representative of the Office against whom suit or other legal action is brought in connection with the performance of the representative's official duties, in accordance with the State Employee

Indemnification Act. 1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

- (i) Treatment by prayer and spiritual means. Nothing in this Act shall be construed to authorize or require the medical supervision, regulation or control of remedial care or treatment of any resident in a long term care facility operated exclusively by and for members or adherents of any church or religious denomination the tenets and practices of which include reliance solely upon spiritual means through prayer for healing.
- (j) The Long Term Care Ombudsman Fund is created as a special fund in the State treasury to receive moneys for the express purposes of this Section. All interest earned on moneys in the fund shall be credited to the fund. Moneys contained in the fund shall be used to support the purposes of this Section.
- (k) Each Regional Ombudsman may, in accordance with rules promulgated by the Office, establish a multi-disciplinary team to act in an advisory role for the purpose of providing professional knowledge and expertise in handling complex abuse, neglect, and advocacy issues involving participants. Each multi-disciplinary team may consist of one or more volunteer representatives from any combination of at least 7 members from the following professions: banking or finance; disability care; health care; pharmacology; law; enforcement; emergency responder; mental health care; clergy; coroner or medical examiner; substance abuse; domestic violence; sexual assault; or other related fields. To support

- 1 multi-disciplinary teams in this role, law enforcement
- 2 agencies and coroners or medical examiners shall supply records
- 3 as may be requested in particular cases. The Regional
- 4 Ombudsman, or his or her designee, of the area in which the
- 5 multi-disciplinary team is created shall be the facilitator of
- 6 <u>the multi-disciplinary team.</u>
- 7 (Source: P.A. 97-38, eff. 6-28-11; 98-380, eff. 8-16-13.)
- 8 Section 10. The Nursing Home Care Act is amended by
- 9 changing Section 2-110 as follows:
- 10 (210 ILCS 45/2-110) (from Ch. 111 1/2, par. 4152-110)
- 11 Sec. 2-110. (a) Any employee or agent of a public agency,
- any representative of a community legal services program or any
- other member of the general public shall be permitted access at
- 14 reasonable hours to any individual resident of any facility,
- but only if there is neither a commercial purpose nor effect to
- 16 such access and if the purpose is to do any of the following:
- 17 (1) Visit, talk with and make personal, social and
- legal services available to all residents;
- 19 (2) Inform residents of their rights and entitlements
- and their corresponding obligations, under federal and
- 21 State laws, by means of educational materials and
- 22 discussions in groups and with individual residents;
- 23 (3) Assist residents in asserting their legal rights
- 24 regarding claims for public assistance, medical assistance

and social security benefits, as well as in all other matters in which residents are aggrieved. Assistance may include counseling and litigation; or

- (4) Engage in other methods of asserting, advising and representing residents so as to extend to them full enjoyment of their rights.
- (a-5) If a resident of a licensed facility is an identified offender, any federal, State, or local law enforcement officer or county probation officer shall be permitted reasonable access to the individual resident to verify compliance with the requirements of the Sex Offender Registration Act, to verify compliance with the requirements of Public Act 94-163 and this amendatory Act of the 94th General Assembly, or to verify compliance with applicable terms of probation, parole, aftercare release, or mandatory supervised release.
- (b) All persons entering a facility under this Section shall promptly notify appropriate facility personnel of their presence. They shall, upon request, produce identification to establish their identity. No such person shall enter the immediate living area of any resident without first identifying himself and then receiving permission from the resident to enter. The rights of other residents present in the room shall be respected. A resident may terminate at any time a visit by a person having access to the resident's living area under this Section.
  - (c) This Section shall not limit the power of the

- 1 Department or other public agency, including, but not limited
- 2 to, the State Long Term Care Ombudsman Program, otherwise
- 3 permitted or required by <u>federal or State</u> law to enter and
- 4 inspect a facility or communicate privately and without
- 5 restriction with a resident who consents to the communication,
- 6 regardless of the consent of, or withholding of consent by, a
- 7 <u>legal guardian or an agent named in a power of attorney</u>
- 8 <u>executed by the resident.</u>
- 9 (d) Notwithstanding paragraph (a) of this Section, the
- 10 administrator of a facility may refuse access to the facility
- 11 to any person if the presence of that person in the facility
- would be injurious to the health and safety of a resident or
- would threaten the security of the property of a resident or
- 14 the facility, or if the person seeks access to the facility for
- 15 commercial purposes. Any person refused access to a facility
- 16 may within 10 days request a hearing under Section 3-703. In
- that proceeding, the burden of proof as to the right of the
- 18 facility to refuse access under this Section shall be on the
- 19 facility.
- 20 (Source: P.A. 98-558, eff. 1-1-14.)
- 21 Section 99. Effective date. This Act takes effect January
- 22 1, 2015.