

#### 99TH GENERAL ASSEMBLY

## State of Illinois

## 2015 and 2016

#### SB2985

Introduced 2/18/2016, by Sen. Iris Y. Martinez

#### SYNOPSIS AS INTRODUCED:

225 ILCS 135/10 225 ILCS 135/20 225 ILCS 135/95

Amends the Genetic Counselor Licensing Act. Removes language prohibiting a genetic counselor from providing genetic counseling without a referral. Makes related changes.

LRB099 15645 MLM 39938 b

A BILL FOR

1

AN ACT concerning regulation.

# 2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

Section 5. The Genetic Counselor Licensing Act is amended
by changing Sections 10, 20, and 95 as follows:

6 (225 ILCS 135/10)

7 (Section scheduled to be repealed on January 1, 2025)

8 Sec. 10. Definitions. As used in this Act:

9 "ABGC" means the American Board of Genetic Counseling.

10 "ABMG" means the American Board of Medical Genetics.

"Active candidate status" is awarded to applicants who have received approval from the ABGC or ABMG to sit for their respective certification examinations.

14 "Address of record" means the designated address recorded 15 by the Department in the applicant's or licensee's application 16 file or license file as maintained by the Department's 17 licensure maintenance unit. It is the duty of the applicant or 18 licensee to inform the Department of any change of address, and 19 those changes must be made either through the Department's 20 website or by contacting the Department.

21 "Department" means the Department of Financial and22 Professional Regulation.

23

"Genetic anomaly" means a variation in an individual's DNA

that has been shown to confer a genetically influenced disease or predisposition to a genetically influenced disease or makes a person a carrier of such variation. A "carrier" of a genetic anomaly means a person who may or may not have a predisposition or risk of incurring a genetically influenced condition and who is at risk of having offspring with a genetically influenced condition.

8 "Genetic counseling" means the provision of services, 9 which may include the ordering of genetic tests, pursuant to a 10 referral, to individuals, couples, groups, families, and 11 organizations by one or more appropriately trained individuals 12 to address the physical and psychological issues associated with the occurrence or risk of occurrence or recurrence of a 13 14 genetic disorder, birth defect, disease, or potentially 15 inherited or genetically influenced condition in an individual 16 or a family. "Genetic counseling" consists of the following:

17 (A) Estimating the likelihood of occurrence or
18 recurrence of a birth defect or of any potentially
19 inherited or genetically influenced condition. This
20 assessment may involve:

(i) obtaining and analyzing a complete health
history of the person and his or her family;

(ii) reviewing pertinent medical records;

24 (iii) evaluating the risks from exposure to
25 possible mutagens or teratogens;

(iv) recommending genetic testing or other

SB2985

23

26

- 3 - LRB099 15645 MLM 39938 b

SB2985

1

2

evaluations to diagnose a condition or determine the carrier status of one or more family members;

3 Helping the individual, family, health (B) care provider, or health care professional (i) appreciate the 4 5 medical, psychological and social implications of a disorder, including its features, variability, 6 usual course and management options, (ii) learn how genetic 7 factors contribute to the disorder and affect the chance 8 9 for recurrence of the condition in other family members, 10 and (iii) understand available options for coping with, 11 preventing, or reducing the chance of occurrence or 12 recurrence of a condition.

13 (C) Facilitating an individual's or family's (i) 14 exploration of the perception of risk and burden associated 15 with the disorder and (ii) adjustment and adaptation to the 16 condition or their genetic risk by addressing needs for 17 psychological, social, and medical support.

18 "Genetic counselor" means a person licensed under this Act 19 to engage in the practice of genetic counseling.

"Genetic testing" and "genetic test" mean a test or analysis of human genes, gene products, DNA, RNA, chromosomes, proteins, or metabolites that detects genotypes, mutations, chromosomal changes, abnormalities, or deficiencies, including carrier status, that (i) are linked to physical or mental disorders or impairments, (ii) indicate a susceptibility to illness, disease, impairment, or other disorders, whether - 4 - LRB099 15645 MLM 39938 b

physical or mental, or (iii) demonstrate genetic or chromosomal 1 2 damage due to environmental factors. "Genetic testing" and "genetic tests" do not include routine physical measurements; 3 chemical, blood and urine analyses that are widely accepted and 4 5 in use in clinical practice; tests for use of drugs; tests for the presence of the human immunodeficiency virus; analyses of 6 metabolites that do not detect genotypes, 7 proteins or 8 mutations, chromosomal changes, abnormalities, or 9 deficiencies; or analyses of proteins or metabolites that are 10 directly related to a manifested disease, disorder, or 11 pathological condition that could reasonably be detected by a 12 health care professional with appropriate training and 13 expertise in the field of medicine involved.

14 "Person" means an individual, association, partnership, or 15 corporation.

16 "Qualified supervisor" means any person who is a licensed 17 genetic counselor, as defined by rule, or a physician licensed to practice medicine in all its branches. A qualified 18 19 supervisor may be provided at the applicant's place of work, or 20 may be contracted by the applicant to provide supervision. The qualified supervisor shall file written documentation with the 21 22 Department of employment, discharge, or supervisory control of 23 a genetic counselor at the time of employment, discharge, or assumption of supervision of a genetic counselor. 24

25 "Referral" means a written or telecommunicated
26 authorization for genetic counseling services from a physician

SB2985

1

2

SB2985

# licensed to practice medicine in all its branches, a licensed advanced practice nurse, or a licensed physician assistant.

3 "Secretary" means the Secretary of Financial and4 Professional Regulation.

5 "Supervision" means review of aspects of genetic 6 counseling and case management in a bimonthly meeting with the 7 person under supervision.

8 (Source: P.A. 98-813, eff. 1-1-15; 99-173, eff. 7-29-15.)

9 (225 ILCS 135/20)

10 (Section scheduled to be repealed on January 1, 2025)

11 Sec. 20. Restrictions and limitations.

12 (a) Except as provided in Section 15, no person shall, 13 without a valid license as a genetic counselor issued by the 14 Department (i) in any manner hold himself or herself out to the public as a genetic counselor under this Act; (ii) use in 15 16 connection with his or her name or place of business the title "genetic counselor", "licensed genetic counselor", "gene 17 counselor", "genetic consultant", or "genetic associate" or 18 any words, letters, abbreviations, or insignia indicating or 19 implying a person has met the qualifications for or has the 20 21 license issued under this Act; or (iii) offer to render or 22 render to individuals, corporations, or the public genetic counseling services if the words "genetic counselor" or 23 24 "licensed genetic counselor" are used to describe the person 25 offering to render or rendering them, or "genetic counseling"

1 is used to describe the services rendered or offered to be 2 rendered.

(b) (Blank). No licensed genetic counselor may provide 3 genetic counseling to individuals, couples, groups, or 4 5 families without a referral from a physician licensed to practice medicine in all its branches, a licensed advanced 6 7 practice nurse, or a licensed physician assistant. The 8 physician, advanced practice nurse, or physician assistant shall maintain supervision of the patient and be provided 9 10 timely written reports on the services, including genetic 11 testing results, provided by the licensed genetic counselor. 12 Genetic testing shall be ordered by a physician licensed to practice medicine in all its branches or a genetic counselor 13 pursuant to a referral that gives the specific authority to 14 order genetic tests. Genetic test results and reports shall be 15 16 provided to the referring physician, advanced practice nurse, 17 or physician assistant. General seminars or talks to groups or organizations on genetic counseling that do not include 18 individual, couple, or family specific counseling may be 19 conducted without a referral. In clinical settings, genetic 20 counselors who serve as a liaison between family members of a 21 22 patient and a genetic research project, may, with the consent of the patient, provide information to family members for 23 the purpose of gathering additional information, as it relates to 24 the patient, without a referral. In non-clinical settings where 25 26 no patient is being treated, genetic counselors who serve as a

SB2985

1 liaison between a genetic research project and participants in 2 that genetic research project may provide information to the 3 participants, without a referral.

(c) No association or partnership shall practice genetic 4 5 counseling unless every member, partner, and employee of the association or partnership who practices genetic counseling or 6 who renders genetic counseling services holds a valid license 7 issued under this Act. No license shall be issued to a 8 9 corporation, the stated purpose of which includes or which 10 practices or which holds itself out as available to practice 11 genetic counseling, unless it is organized under the 12 Professional Service Corporation Act.

13 (d) Nothing in this Act shall be construed as permitting 14 persons licensed as genetic counselors to engage in any manner 15 in the practice of medicine in all its branches as defined by 16 law in this State.

(e) Nothing in this Act shall be construed to authorize a licensed genetic counselor to diagnose, test (unless authorized in a referral), or treat any genetic or other disease or condition.

(f) When, in the course of providing genetic counseling services to any person, a genetic counselor licensed under this Act finds any indication of a disease or condition that in his or her professional judgment requires professional service outside the scope of practice as defined in this Act, he or she shall refer that person to a physician licensed to practice

SB2985

#### SB2985 - 8 - LRB099 15645 MLM 39938 b

1 medicine in all of its branches.

2 (Source: P.A. 98-813, eff. 1-1-15; 99-173, eff. 7-29-15.)

3 (225 ILCS 135/95)

4 (Section scheduled to be repealed on January 1, 2025)

5 Sec. 95. Grounds for discipline.

6 (a) The Department may refuse to issue, renew, or may 7 revoke, suspend, place on probation, reprimand, or take other 8 disciplinary or non-disciplinary action as the Department 9 deems appropriate, including the issuance of fines not to 10 exceed \$10,000 for each violation, with regard to any license 11 for any one or more of the following:

12 (1) Material misstatement in furnishing information to13 the Department or to any other State agency.

14 (2) Violations or negligent or intentional disregard15 of this Act, or any of its rules.

16 (3) Conviction by plea of guilty or nolo contendere, finding of guilt, jury verdict, or entry of judgment or 17 18 sentencing, including, but not limited to, convictions, 19 preceding sentences of supervision, conditional discharge, first offender probation, under the laws of any 20 or 21 jurisdiction of the United States: (i) that is a felony or 22 (ii) that is a misdemeanor, an essential element of which 23 is dishonesty, or that is directly related to the practice 24 of genetic counseling.

25

(4) Making any misrepresentation for the purpose of

- SB2985
- obtaining a license, or violating any provision of this Act
   or its rules.

3 (5) Negligence in the rendering of genetic counseling
 4 services.

5 (6) Failure to provide genetic testing results and any 6 requested information to a referring physician licensed to 7 practice medicine in all its branches, advanced practice 8 nurse, or physician assistant.

9 (7) Aiding or assisting another person in violating any
10 provision of this Act or any rules.

(8) Failing to provide information within 60 days in
 response to a written request made by the Department.

13 (9) Engaging in dishonorable, unethical, or 14 unprofessional conduct of a character likely to deceive, 15 defraud, or harm the public and violating the rules of 16 professional conduct adopted by the Department.

17 (10) Failing to maintain the confidentiality of any
18 information received from a client, unless otherwise
19 authorized or required by law.

(10.5) Failure to maintain client records of services
 provided and provide copies to clients upon request.

22 (11) Exploiting a client for personal advantage,23 profit, or interest.

(12) Habitual or excessive use or addiction to alcohol,
 narcotics, stimulants, or any other chemical agent or drug
 which results in inability to practice with reasonable

1

skill, judgment, or safety.

(13) Discipline by another governmental agency or unit
of government, by any jurisdiction of the United States, or
by a foreign nation, if at least one of the grounds for the
discipline is the same or substantially equivalent to those
set forth in this Section.

7 (14) Directly or indirectly giving to or receiving from 8 any person, firm, corporation, partnership, or association 9 any fee, commission, rebate, or other form of compensation 10 for any professional service not actually rendered. 11 Nothing in this paragraph (14) affects any bona fide 12 independent contractor or employment arrangements among health care professionals, health facilities, health care 13 14 providers, or other entities, except as otherwise 15 prohibited by law. Any employment arrangements may include 16 provisions for compensation, health insurance, pension, or 17 other employment benefits for the provision of services within the scope of the licensee's practice under this Act. 18 19 Nothing in this paragraph (14) shall be construed to 20 require an employment arrangement to receive professional fees for services rendered. 21

(15) A finding by the Department that the licensee,
after having the license placed on probationary status has
violated the terms of probation.

(16) Failing to refer a client to other health care
 professionals when the licensee is unable or unwilling to

1

adequately support or serve the client.

2 (17) Willfully filing false reports relating to a
3 licensee's practice, including but not limited to false
4 records filed with federal or State agencies or
5 departments.

6 (18) Willfully failing to report an instance of
7 suspected child abuse or neglect as required by the Abused
8 and Neglected Child Reporting Act.

9 (19) Being named as a perpetrator in an indicated 10 report by the Department of Children and Family Services 11 pursuant to the Abused and Neglected Child Reporting Act, 12 and upon proof by clear and convincing evidence that the 13 licensee has caused a child to be an abused child or 14 neglected child as defined in the Abused and Neglected 15 Child Reporting Act.

16 (20)Physical or mental disability, including 17 deterioration through the aging process or loss of abilities and skills which results in the inability to 18 19 practice the profession with reasonable judgment, skill, 20 or safety.

(21) Solicitation of professional services by using
 false or misleading advertising.

(22) Failure to file a return, or to pay the tax,
penalty of interest shown in a filed return, or to pay any
final assessment of tax, penalty or interest, as required
by any tax Act administered by the Illinois Department of

1

2

Revenue or any successor agency or the Internal Revenue Service or any successor agency.

3 (23) Fraud or making any misrepresentation in applying
4 for or procuring a license under this Act or in connection
5 with applying for renewal of a license under this Act.

6 (24) Practicing or attempting to practice under a name 7 other than the full name as shown on the license or any 8 other legally authorized name.

9 (25) Gross overcharging for professional services, 10 including filing statements for collection of fees or 11 monies for which services are not rendered.

12 (26) <u>(Blank).</u> Providing genetic counseling services to 13 individuals, couples, groups, or families without a 14 referral from either a physician licensed to practice 15 medicine in all its branches, a licensed advanced practice 16 nurse, or a licensed physician assistant.

17 (27) Charging for professional services not rendered,
 18 including filing false statements for the collection of
 19 fees for which services are not rendered.

20 (28) Allowing one's license under this Act to be used
21 by an unlicensed person in violation of this Act.

22 (b) The Department shall deny, without hearing, any 23 application or renewal for a license under this Act to any 24 person who has defaulted on an educational loan guaranteed by 25 the Illinois State Assistance Commission; however, the 26 Department may issue a license or renewal if the person in 1

2

SB2985

default has established a satisfactory repayment record as determined by the Illinois Student Assistance Commission.

(c) The determination by a court that a licensee is subject 3 to involuntary admission or judicial admission as provided in 4 5 the Mental Health and Developmental Disabilities Code will result in an automatic suspension of his or her license. The 6 7 suspension will end upon a finding by a court that the licensee 8 is no longer subject to involuntary admission or judicial 9 admission, the issuance of an order so finding and discharging 10 the patient, and the determination of the Secretary that the 11 licensee be allowed to resume professional practice.

12 (d) The Department may refuse to issue or renew or may 13 suspend without hearing the license of any person who fails to 14 file a return, to pay the tax penalty or interest shown in a 15 filed return, or to pay any final assessment of the tax, 16 penalty, or interest as required by any Act regarding the 17 payment of taxes administered by the Illinois Department of Revenue until the requirements of the Act are satisfied in 18 accordance with subsection (q) of Section 2105-15 of the Civil 19 20 Administrative Code of Illinois.

(e) In cases where the Department of Healthcare and Family Services has previously determined that a licensee or a potential licensee is more than 30 days delinquent in the payment of child support and has subsequently certified the delinquency to the Department, the Department may refuse to issue or renew or may revoke or suspend that person's license or may take other disciplinary action against that person based solely upon the certification of delinquency made by the Department of Healthcare and Family Services in accordance with titem (5) of subsection (a) of Section 2105-15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois.

7 (f) All fines or costs imposed under this Section shall be 8 paid within 60 days after the effective date of the order 9 imposing the fine or costs or in accordance with the terms set 10 forth in the order imposing the fine.

11 (Source: P.A. 98-813, eff. 1-1-15; 99-173, eff. 7-29-15.)