| 1 2 | | TITLE 44: GOVERNMENT CONTRACTS, GRANTMAKING, PROCUREMENT AND PROPERTY MANAGEMENT | | | | |
|--------|--|--|--|--|--|--|
| 3 | | SUBTITLE B: SUPPLEMENTAL PROCUREMENT RULES | | | | |
| 4 | | CHAPTER XII: CAPITAL DEVELOPMENT BOARD | | | | |
| 5 | | | | | | |
| 6 | | PART 1000 | | | | |
| 7 | | SELECTION OF ARCHITECTS/ENGINEERS (A/E) | | | | |
| 8 | | | | | | |
| 9 | Section | | | | | |
| 10 | 1000.100 | Definitions | | | | |
| 11 | 1000.110 | Purpose | | | | |
| 12 | 1000.120 | Selection Procedures | | | | |
| 13 | 1000.130 | Selection Committee | | | | |
| 14 | 1000.140 | Evaluation Procedures | | | | |
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| 18 | 1000.180 | Public Notice | | | | |
| 19 | 1000.190 | Submittal Requirements | | | | |
| 20 | 1000.200 | Small Projects (Repealed) | | | | |
| 21 | 1000.210 | Emergency Projects (Repealed) | | | | |
| 22 | | | | | | |
| 23 | AUTHORI | ΓY: Implementing the Capital Development Board Act [20 ILCS 3105] and | | | | |
| 24 | authorized by Sections 9.06 and 16 of that Act, Article 30 and Section 1-15.25 of the Illinois | | | | | |
| 25 | Procurement Code [30 ILCS 500/Art. 30 and 1-15.25] and Sections 20, 25, 30 and 35 of the | | | | | |
| 26 | Architectural, Engineering, and Land Surveying Qualifications Based Selection Act [30 ILCS | | | | | |
| 27 | 535/20]. | | | | | |
| 28 | | | | | | |
| 29 | | Adopted at 2 Ill. Reg. 30, p. 140, effective July 27, 1978; amended at 4 Ill. Reg. 9, p. | | | | |
| 30 | 233, effective February 14, 1980; amended at 5 Ill. Reg. 1890, effective February 17, 1981; | | | | | |
| 31 | amended and codified at 8 Ill. Reg. 20332, effective October 1, 1984; amended at 9 Ill. Reg. | | | | | |
| 32 | 17338, effective October 29, 1985; amended at 12 Ill. Reg. 17815, effective October 25, 1988; | | | | | |
| 33 | Part repealed and new Part adopted at 22 Ill. Reg. 1176, effective January 1, 1998; amended at | | | | | |
| 34 | _ | 11618, effective July 24, 2000; amended at 25 Ill. Reg. 11774, effective August 10, | | | | |
| 35 | | ded at 26 Ill. Reg. 2610, effective February 8, 2002; amended at 37 Ill. Reg. 7122, | | | | |
| 36 | | ay 9, 2013; recodified Title of the Part at 39 Ill. Reg. 5903; amended at 49 Ill. Reg. | | | | |
| 37 | , effe | ective | | | | |
| 38 | G 40. | | | | | |
| 39 | Section 100 | 00.100 Definitions | | | | |
| 40 | | HARd hard the Analytic translation of the Land of the Control of t | | | | |
| 41 | | "Act" means the Architectural, Engineering, and Land Surveying Qualifications | | | | |
| 42 | | Based Selection Act [30 ILCS 535]. | | | | |
| 43 | | | | | | |

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| 14 | "A/E" means an individual or firm in the business of providing architectural, |
|----------|---|
| 15 | engineering or land surveying services as authorized by the Illinois Department of |
| 16 | Financial and Professional Regulation.architectural or engineering firm that is in |
| 17 | the business of offering the practice of furnishing architectural or engineering |
| 18 | services for building projects, that is registered with the Department of |
| 19 | Professional Regulation and licensed to practice architecture, structural |
| 50 | engineering or professional engineering in the State of Illinois, or that is properly |
| 51 | authorized under the Professional Service Corporation Act and by the Department |
| 52 53 | of Professional Regulation to practice architecture, structural engineering or |
| | professional engineering in the State of Illinois. For purposes of this Part, this |
| 54 | includes licensed individuals transacting business as sole proprietorships, which |
| 55 | are not required to be registered with the Department of Professional Regulation. |
| 56 | |
| 57 | "Board" means the seven-member seven member Board of the Capital |
| 58 | Development Board. |
| 59 | |
| 50 | "CDB" means Capital Development Board, the agency. |
| 51 | |
| 52 | "Chairperson" means the person delegated by the Executive Director to lead A/E |
| 53 | selection committees. |
| 54 | |
| 55 | "Executive Director" means the individual appointed by the Board to serve as the |
| 56 | chief executive officer of CDB. (20 ILCS 3105/8) |
| 57 | |
| 58 | "MBE/WBE/PBE/VBE" means a minority-owned business/women-owned |
| 59 10 | business/business owned by a person with a disability/qualified veteran-owned |
| 70 | small business or qualified service-disabled veteran-owned small businesses as |
| 71 | those terms are defined in Section 2 of the Business Enterprise for Minorities, |
| 12 | Women, and Persons with Disabilities Act [30 ILCS 575] and Section 45-57 of |
| 73 | Illinois Procurement Code [30 ILCS 500]. |
| 74 | |
| 75 76 | "Statement of <u>qualifications</u> Qualifications" means the information supplied by the |
| 76 | A/Es that cites their specific experience and expertise that may qualify the A/E to |
| 17 10 | provide the services requested. |
| 78 79 | "Total resident cost" makes the complete cost of all components of construction |
| | "Total project cost" means the complete cost of all components of construction |
| 30 | project, including the cost for the A/E; all construction work; contingency |
| 31 | amounts; and furniture, fixtures, and equipment, if applicable. |
| 32 33 | "Hear agangy" means the agangy or unit of government for which the |
| | "User agency" means the agency or unit of government for which the |
| 34 35 | architectural/engineering firm is being selected. |
| 35 36 | (Source: Amended at 40 III Pag affective |
| 50 | (Source: Amended at 49 Ill. Reg, effective) |

| 87 | | | | | |
|-----|---|----------|---------------------|---|--|
| 88 | Section 1 | 1000. | 120 Se | lection Procedures | |
| 89 | | | | | |
| 90 | <u>a</u> ` |) | CDB s | shall select three A/Es qualified to provide the professional services for a | |
| 91 | _ | _ | | c project, unless fewer than three qualified firms submit a statement of | |
| 92 | | | | cations. These A/Es shall be ranked in order of qualifications. CDB's | |
| 93 | | | | gs shall be presented to the Board for approval. The Board may approve or | |
| 94 | | | | he rankings as presented. Board approval of these A/Es shall be final and | |
| 95 | | | bindin | • • | |
| 96 | | | | o· | |
| 97 | <u>b</u> |) | In the | event that fewer than three A/Es submit statements of qualifications for a | |
| 98 | <u>-</u> | <u> </u> | | c project, if CDB determines that one or both are qualified to perform the | |
| 99 | | | - | es, CDB may proceed with the selection process. | |
| 100 | | | 501 1101 | ss, edd may proceed with the selection process. | |
| 101 | (9 | Source | e. Ame | ended at 49 Ill. Reg, effective) | |
| 102 | (, | Joure | C. 111110 | , encerve | |
| 103 | Section 1 | 1000.1 | 130 Se | lection Committee | |
| 104 | 20001011 | | | | |
| 105 | The Chai | rpers | on selec | etion committee chairman shall appoint a committee to recommend to the | |
| 106 | | - | | and the Board a list of A/Es qualified to perform the required services. This | |
| 107 | | | | tablished for each selection or may be established for a series of selections. | |
| 108 | | | | ay be composed of standing members and rotating members from CDB | |
| 109 | staff. In addition to the CDB staff members, the Chairperson may request representatives from | | | | |
| 110 | | | | embers of the public to be members of the committee.a representative from | |
| 111 | | | | be requested to be a member of the committee. | |
| 112 | | .80110 | <i>y</i> | | |
| 113 | (5 | Source | e: Ame | ended at 49 Ill. Reg, effective) | |
| 114 | <u> </u> | | | , | |
| 115 | Section 1 | 1000.1 | 140 Ev | valuation Procedures | |
| 116 | | | | | |
| 117 | a) |) | In mak | ging its recommendations, the selection committee will may consider, | |
| 118 | | , | | g others: | |
| 119 | | | ٠ | , | |
| 120 | | | 1) | The A/E's qualifications. | |
| 121 | | | , | 1 | |
| 122 | | | 2) | The ability of professional personnel submitted by the A/E. | |
| 123 | | | , | | |
| 124 | | | 3) | The A/E's past record and experience. | |
| 125 | | | , | 1 | |
| 126 | | | 4) | The prior performance of the A/E on CDB professional services | |
| 127 | | | , | agreements. | |
| 128 | | | | | |
| 129 | | | 5) | The willingness of the firm to meet time requirements. | |

| 130 | | |
|-----|----|--|
| 131 | | 6) The location of the project relative to the firm's place of business. |
| 132 | | |
| 133 | | 7) The results of preliminary evaluations performed by CDB staff. |
| 134 | | |
| 135 | | 8) The current work load of the A/Es and their prior selections by CDB. |
| 136 | | |
| 137 | | 9) References. |
| 138 | | |
| 139 | | 10) Interviews conducted with the A/Es. |
| 140 | | |
| 141 | | 11) Minority, women, persons with disabilities or veteran-and/or female |
| 142 | | ownership of the A/E and the consultants. |
| 143 | | |
| 144 | b) | For each project with an estimated total project cost of \$1,000,000 basic services |
| 145 | | fee of \$75,000 or more, the CDB Diversity Contracting Unit Fair Employment |
| 146 | | Practices department will set MBE/WBE/PBE/VBE participation goals with |
| 147 | | approval of the Commission on Equity and Inclusion. Goals will be established in |
| 148 | | conformance with procedures set by the Commission on Equity and Inclusion. for |
| 149 | | minority and female owned business enterprises. The goals for each project will |
| 150 | | be described in the CDB Professional Services Bulletin. The goals will be based |
| 151 | | on the availability of minority and female owned business enterprises in the area |
| 152 | | capable of doing the work. CDB may waive goals for a particular project if it |
| 153 | | determines that no minority and female owned business enterprises would be |
| 154 | | available to perform the type of work involved in the area of the project or if the |
| 155 | | work involved does not lend itself to the use of consultants. No A/E that fails to |
| 156 | | meet the goal shall be considered unless it provides sufficient evidence to CDB |
| 157 | | within 7 days after submitting its proposal that, after making a good faith effort, it |
| 158 | | was unable to find sufficient minority or female owned business enterprises |
| 159 | | willing and able to perform the work. |
| 160 | | |
| 161 | e) | An A/E attempting to demonstrate that it made a good faith effort to meet minority |
| 162 | | and female business enterprise goals shall submit: |
| 163 | | |
| 164 | | 1) All information indicating why the specified goal cannot be met; |
| 165 | | |
| 166 | | 2) A list of all minority and female business enterprises that were contacted; |
| 167 | | |
| 168 | | 3) Copies of all solicitation letters to minority and female business |
| 169 | | enterprises. Letters shall contain, at a minimum: |
| 170 | | |
| 171 | | A) Project title and location; |
| 172 | | |

| 173 | | | | B) | Classification of work items for which consultants are sought; |
|-----|------------------|----------------|---------------|---------------------|--|
| 174 | | | | | |
| 175 | | | | C) | Date, time and place responses are due; |
| 176 | | | | | |
| 177 | | | | D) | Returnable acknowledgement of the solicitation; |
| 178 | | | | | |
| 179 | | | 4) | | nce, such as a log, of telephone contact, including time and date of |
| 180 | | | | call, to | elephone number, and name of person spoken to; |
| 181 | | | | | |
| 182 | | | 5) | • | ther evidence of good faith effort or other relevant information in |
| 183 | | | | suppor | rt of the request. |
| 184 | | | | | |
| 185 | d) |) | In dete | rminin | g if a firm has submitted sufficient evidence that it made a good |
| 186 | | | | | find sufficient minority or female owned business enterprises |
| 187 | | | willing | g and al | ole to perform the work, CDB shall consider: |
| 188 | | | | | |
| 189 | | | 1) | The nu | umber of minority and female owned business enterprises contacted |
| 190 | | | | (includ | ling any log of communications provided to CDB); |
| 191 | | | | | |
| 192 | | | 2) | Wheth | her the work to be allocated to minority and female owned business |
| 193 | | | | enterp | rises was selected in order to increase the likelihood of achieving |
| 194 | | | | the spe | ecified goal; |
| 195 | | | | | |
| 196 | | | 3) | Wheth | er the firm negotiated in good faith with minority and female owned |
| 197 | | | | busine | ess enterprises without imposing conditions that are not imposed on |
| 198 | | | | other l | business enterprises or denying benefits that are offered to other |
| 199 | | | | | ess enterprises; |
| 200 | | | | | |
| 201 | | | 4) | Wheth | er the firm engaged CDB's Fair Employment Practices Division to |
| 202 | | | | provid | e referrals. |
| 203 | | | | | |
| 204 | <u>c</u> € |)) | In no c | case sha | all the committee, prior to selecting an A/E for negotiation, seek |
| 205 | | | formal | or info | rmal submission of verbal or written estimates of costs or proposals |
| 206 | | | in tern | ns of do | llars, hours required, percentage of construction cost, or any other |
| 207 | | | measu | re of co | mpensation. |
| 208 | | | | | |
| 209 | (S | Source | e: Ame | ended a | t 49 Ill. Reg, effective) |
| 210 | | | | | |
| 211 | Section 1 | 1000.1 | 150 Pr | elimina | ary Evaluations |
| 212 | | | | | |
| 213 | CDB may | y mak | e appo | intmen | ts for the performance of appoint staff members to perform a |

CDB may <u>make appointments for the performance of appoint staff members to perform</u> a preliminary evaluation (prescreening). <u>The prescreening provides to provide</u> a preliminary ranking of the A/Es for the committee's consideration. This prescreening shall consider, among

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215

| 216 | others, the relevant project experience of the prospective A/Es and the expertise and experience |
|-----|---|
| 217 | of the firm and its consultants' staff to be assigned to the project if the firm is selected. |
| 218 | |
| 219 | (Source: Amended at 49 Ill. Reg, effective) |
| 220 | · · · · · · · · · · · · · · · · · · · |
| 221 | Section 1000.160 Interviews |
| 222 | |
| 223 | CDB requires the selection committee to conduct interviews when the estimated value of the |
| 224 | basic services fee exceeds \$500,000 \frac{300,000}{300,000}\$. The Chairperson, with approval of the Executive |
| 225 | Director may choose to conduct interviews for smaller projects under special circumstances. |
| 226 | Unless fewer than three qualified firms submit a statement of qualifications In all cases, a |
| 227 | minimum of three firms will be interviewed. For projects that are narrow in scope or low in |
| 228 | complexity, the The Executive Director in consultation with the Board may exempt any contract |
| 229 | from requiring interviews. |
| 230 | |
| 231 | (Source: Amended at 49 Ill. Reg, effective) |
| 232 | · · · · · · · · · · · · · · · · · · · |
| 233 | Section 1000.170 Delegation of Evaluations |
| 234 | |
| 235 | CDB may delegate the evaluation of prospective A/Es to a user agency, including, but not |
| 236 | limited to, a university, college, or community college.the user agency (school district, college, |
| 237 | university, Illinois Community College Board or unit of local government). The user agency |
| 238 | shall be required to comply with the Architectural, Engineering, and Land Surveying |
| 239 | Qualification Based Selection Act [30 ILCS 535] or the Local Government Professional Service |
| 240 | Selection Act [50 ILCS 510], as may be applicable. Recommendations pursuant to 30 ILCS 535 |
| 241 | for contracts of \$25,000 or more shall state the three selected firms ranked in order of |
| 242 | qualifications. Recommendations pursuant to 50 ILCS 510 for contracts of \$25,000 or more |
| 243 | shall state the three selected firms ranked in order of qualifications unless the selection is stated |
| 244 | to be an exception under 50 ILCS 510/5. CDB or the user agency may request that a member of |
| 245 | CDB'sits staff be a voting or nonvoting member of the user agency's evaluation committee. The |
| 246 | user agency shall transmit its recommendations to CDB for review and approval of the Board. |
| 247 | CDB will provide a form for submitting the recommendations. Transmittal to CDB shall include |
| 248 | a letter with a certification statement requiring an authorized signature verifying that the |
| 249 | selections were made in accordance with the Architectural, Engineering, and Land Surveying |
| 250 | Qualifications Based Selection Act [30 ILCS 535], and the Local Government Professional |
| 251 | Services Selection Act [50 ILCS 510], and the Business Enterprise for Minorities, Women, and |
| 252 | Persons with Disabilities Act [30 ILCS 575], as applicable. CDB may request the user agency |
| 253 | make other recommendations if the firm(s) recommended are not acceptable to CDB. |
| 254 | <u>-</u> |
| 255 | (Source: Amended at 49 Ill. Reg, effective) |
| 256 | - - |
| 257 | Section 1000.180 Public Notice |

258

| 259 | a) | When the services of an A/E are required and the estimated value of the contract |
|------------|------------------|--|
| 260 | | exceeds \$25,000, CDB shall publish the list of projects requiring A/E services. In |
| 261 | | addition, CDB may publish a list of projects whose contract values do not exceed |
| 262 | | \$25,000. |
| 263 | | |
| 264 | a b) | Unless it is a small contract under Section 45 of the Act or contract for emergency |
| 265 | _ / | services under Section 50 of the Act, This public notice by CDB for A/E services |
| 266 | | shall include an abstract of the services required for each project and the required |
| 267 | | expertise of the A/E to be considered. This public notice shall also include the |
| 268 | | statement of qualifications form to be completed for each project as well as the |
| 269 | | date and time by which the statement of qualifications must be submitted to |
| 270 | | CDBsubmittal of the statement of qualifications will be accepted. |
| 271 | | <u>obb</u> such that of the statement of quantications will be accepted. |
| 272 | <u>b</u> e) | Notice shall be posted on CDB's website (http://cdb.illinois.gov)Internet Site |
| 273 | <u>u</u> c) | (www.edb.state.il.us) and may be published in the official State newspaper or |
| 274 | | otherwise made available in print. |
| 275 | | otherwise made available in print. |
| 276 | (Source | e: Amended at 49 Ill. Reg, effective) |
| 277 | (Sourc | c. Amended at 47 m. Reg, effective) |
| 278 | Section 1000 | 190 Submittal Requirements |
| 278 279 | Section 1000. | 190 Submittal Requirements |
| 280 | a) | All A/Es submitting a statement of qualificationstatements of qualifications for a |
| 281 | a) | specific project shall be prequalified with CDB prior to the date and time that the |
| 282 | | submittals are due. Failure to be prequalified will result in rejection of the |
| | | 1 1 |
| 283 | | submittalsubmittal(s). |
| 284 285 | b) | If the A/E will be subcontracting part of the services to professional consultants |
| | b) | If the A/E will be subcontracting part of the services to <u>professional</u> consultants, |
| 286 | | those <u>professional</u> consultants that will be performing architectural, engineering |
| 287 | | or land surveying professional services shall also be prequalified with CDB prior |
| 288 | | to the date and time that the submittals are due. Failure of the professional |
| 289 | | consultants to be prequalified shall result in rejection of the A/E's submittals. |
| 290 | - \ | |
| 291 | c) | The A/E shall clearly indicate the anticipated percentage of the services that will |
| 292 | | be performed by each listed <u>professional</u> consultant. Failure to list these |
| 293 | | percentages may result in rejection of the submittal. |
| 294 | 1\ | |
| 295 | d) | The submittal shall include the names of persons who will perform the services, |
| 296 | | including their project assignment or duties, as well as a resume of their |
| 297 | | experience and expertise that qualifies them to perform the assignment. This |
| 298 | | includes the listed <u>professional</u> consultant's designated staff. |
| 299 | / a | A 1 1 40 HI D |
| 300 | (Sourc | e: Amended at 49 Ill. Reg, effective) |
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| 302 | Section 1000.200 Small Projects (Repealed) |
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| 303 | |
| 304 | For contracts whose estimated value is less than \$25,000, CDB may select any prequalified A/E |
| 305 | in accordance with Section 45 of the Architectural, Engineering, and Land Surveying |
| 306 | Qualification Based Selection Act [30 ILCS 535]. |
| 307 | |
| 308 | (Source: Repealed at 49 Ill. Reg, effective) |
| 309 | |
| 310 | Section 1000.210 Emergency Projects (Repealed) |
| 311 | |
| 312 | CDB may immediately select an A/E when it is in the best interest of the State or in emergencies |
| 313 | to protect public health or safety in accordance with Section 50 of the Architectural, Engineering |
| 314 | and Land Surveying Qualification Based Selection Act [30 ILCS 535/50]. |
| 315 | |
| 316 | (Source: Repealed at 49 Ill. Reg, effective) |
| | |