

104TH GENERAL ASSEMBLY State of Illinois 2025 and 2026 HB1385

Introduced 1/28/2025, by Rep. Ryan Spain and Tony M. McCombie

SYNOPSIS AS INTRODUCED:

5 ILCS 430/5-45

Amends the State Officials and Employees Ethics Act. Provides that an officer of the executive branch, a member of the General Assembly, a person whose appointment to office is subject to the advice and consent of the Senate, or a person who is the head of a department, commission, board, division, bureau, authority, or other administrative unit within the government of the State who takes office after the effective date of the amendatory Act may not engage in activities at the State level that require registration under the Lobbyist Registration Act until 3 years after leaving office. Currently, officers of the executive branch and members of the General Assembly may not engage in activities at the State level that require registration under the Lobbyist Registration Act during the term of which he or she was elected or appointed until 6 months after leaving office. Effective immediately.

LRB104 05787 BDA 15817 b

1 AN ACT concerning government.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The State Officials and Employees Ethics Act is amended by changing Section 5-45 as follows:
- 6 (5 ILCS 430/5-45)

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- 7 Sec. 5-45. Procurement; revolving door prohibition.
- 8 (a) No former officer, member, or State employee, or 9 spouse or immediate family member living with such person, shall, within a period of one year immediately after 10 termination of State employment, knowingly accept employment 11 or receive compensation or fees for services from a person or 12 13 entity if the officer, member, or State employee, during the 14 year immediately preceding termination of State employment, participated personally and substantially in the award or 15 16 fiscal administration of State contracts, or the issuance of State contract change orders, with a cumulative value of 17 \$25,000 or more to the person or entity, or its parent or 18 19 subsidiary.
 - (a-5) No officer, member, or spouse or immediate family member living with such person shall, during the officer or member's term in office or within a period of 2 years immediately leaving office, hold an ownership interest, other

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than a passive interest in a publicly traded company, in any gaming license under the Illinois Gambling Act, the Video Gaming Act, the Illinois Horse Racing Act of 1975, or the Sports Wagering Act. Any member of the General Assembly or spouse or immediate family member living with such person who has an ownership interest, other than a passive interest in a publicly traded company, in any gaming license under the Illinois Gambling Act, the Illinois Horse Racing Act of 1975, the Video Gaming Act, or the Sports Wagering Act at the time of the effective date of this amendatory Act of the 101st General Assembly shall divest himself or herself of such ownership within one year after the effective date of this amendatory Act of the 101st General Assembly. No State employee who works for the Illinois Gaming Board or Illinois Racing Board or spouse or immediate family member living with such person shall, during State employment or within a period of 2 years immediately after termination of State employment, hold an ownership interest, other than a passive interest in a publicly traded company, in any gaming license under the Illinois Gambling Act, the Video Gaming Act, the Illinois Horse Racing Act of 1975, or the Sports Wagering Act.

(a-10) This subsection (a-10) applies on and after June 25, 2021. No officer, member, or spouse or immediate family member living with such person, shall, during the officer or member's term in office or within a period of 2 years immediately after leaving office, hold an ownership interest,

other than a passive interest in a publicly traded company, in any cannabis business establishment which is licensed under the Cannabis Regulation and Tax Act. Any member of the General Assembly or spouse or immediate family member living with such person who has an ownership interest, other than a passive interest in a publicly traded company, in any cannabis business establishment which is licensed under the Cannabis Regulation and Tax Act at the time of the effective date of this amendatory Act of the 101st General Assembly shall divest himself or herself of such ownership within one year after the effective date of this amendatory Act of the 101st General Assembly.

No State employee who works for any State agency that regulates cannabis business establishment license holders who participated personally and substantially in the award of licenses under the Cannabis Regulation and Tax Act or a spouse or immediate family member living with such person shall, during State employment or within a period of 2 years immediately after termination of State employment, hold an ownership interest, other than a passive interest in a publicly traded company, in any cannabis license under the Cannabis Regulation and Tax Act.

(b) No former officer of the executive branch or State employee of the executive branch with regulatory or licensing authority, or spouse or immediate family member living with such person, shall, within a period of one year immediately

after termination of State employment, knowingly accept employment or receive compensation or fees for services from a person or entity if the officer or State employee, during the year immediately preceding termination of State employment, participated personally and substantially in making a regulatory or licensing decision that directly applied to the person or entity, or its parent or subsidiary.

(b-5) For an officer of the executive branch taking office on January 1, 2022 through the effective date of this amendatory Act of the 104th General Assembly, the officer may not Beginning January 1, 2022, no former officer of the executive branch shall engage in activities at the State level that require registration under the Lobbyist Registration Act during the term of which he or she was elected or appointed until 6 months after leaving office. For an officer of the executive branch taking office after the effective date of this amendatory Act of the 104th General Assembly, the officer may not engage in activities at the State level that require registration under the Lobbyist Registration Act until 3 years after leaving office.

(b-6) Notwithstanding any other provisions in this Act, for a person whose appointment to office after the effective date of this amendatory Act of the 104th General Assembly is subject to the advice and consent of the Senate or a person who is the head of a department, commission, board, division, bureau, authority, or other administrative unit within the

government of this State who takes office after the effective

date of this amendatory Act of the 104th General Assembly, the

person may not engage in activities at the State level that

require registration under the Lobbyist Registration Act until

3 years after leaving office.

- in January of 2023 through the effective date of this amendatory Act of the 104th General Assembly, the member may not Beginning the second Wednesday in January of 2023, no former member shall engage in activities at the State level that require registration under the Lobbyist Registration Act in a General Assembly of which he or she was a member until 6 months after leaving office. For a member taking office after the effective date of this amendatory Act of the 104th General Assembly, the member may not engage in activities at the State level that require registration under the Lobbyist Registration Act until 3 years after leaving office.
- (c) Within 6 months after the effective date of this amendatory Act of the 96th General Assembly, each executive branch constitutional officer and legislative leader, the Auditor General, and the Joint Committee on Legislative Support Services shall adopt a policy delineating which State positions under his or her jurisdiction and control, by the nature of their duties, may have the authority to participate personally and substantially in the award or fiscal administration of State contracts or in regulatory or

- 1 licensing decisions. The Governor shall adopt such a policy
- for all State employees of the executive branch not under the
- 3 jurisdiction and control of any other executive branch
- 4 constitutional officer.
- 5 The policies required under subsection (c) of this Section
- 6 shall be filed with the appropriate ethics commission
- 7 established under this Act or, for the Auditor General, with
- 8 the Office of the Auditor General.
- 9 (d) Each Inspector General shall have the authority to
- 10 determine that additional State positions under his or her
- jurisdiction, not otherwise subject to the policies required
- by subsection (c) of this Section, are nonetheless subject to
- 13 the notification requirement of subsection (f) below due to
- 14 their involvement in the award or fiscal administration of
- 15 State contracts or in regulatory or licensing decisions.
- 16 (e) The Joint Committee on Legislative Support Services,
- 17 the Auditor General, and each of the executive branch
- 18 constitutional officers and legislative leaders subject to
- 19 subsection (c) of this Section shall provide written
- 20 notification to all employees in positions subject to the
- 21 policies required by subsection (c) or a determination made
- 22 under subsection (d): (1) upon hiring, promotion, or transfer
- into the relevant position; and (2) at the time the employee's
- duties are changed in such a way as to qualify that employee.
- 25 An employee receiving notification must certify in writing
- 26 that the person was advised of the prohibition and the

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requirement to notify the appropriate Inspector General in subsection (f).

Any State employee in a position subject to the policies required by subsection (c) or to a determination under subsection (d), but who does not fall within the prohibition of subsection (h) below, who is offered non-State employment during State employment or within a period of one year immediately after termination of State employment shall, prior to accepting such non-State employment, notify the appropriate Inspector General. Within 10 calendar days after receiving notification from an employee in a position subject to the policies required by subsection (c), such Inspector General shall make a determination as to whether the State employee is restricted from accepting such employment by subsection (a) or (b). In making a determination, in addition to any other relevant information, an Inspector General shall effect of the prospective assess the employment relationship upon decisions referred to in subsections (a) and (b), based on the totality of the participation by the former officer, member, or State employee in those decisions. A determination by an Inspector General must be in writing, signed and dated by the Inspector General, and delivered to the subject of the determination within 10 calendar days or the person is deemed eligible for the employment opportunity. For purposes of this subsection, "appropriate Inspector General" means (i) for members and employees of the

legislative branch, the Legislative Inspector General; (ii) for the Auditor General and employees of the Office of the Auditor General, the Inspector General provided for in Section 30-5 of this Act; and (iii) for executive branch officers and employees, the Inspector General having jurisdiction over the officer or employee. Notice of any determination of an Inspector General and of any such appeal shall be given to the ultimate jurisdictional authority, the Attorney General, and the Executive Ethics Commission.

(g) An Inspector General's determination regarding restrictions under subsection (a) or (b) may be appealed to the appropriate Ethics Commission by the person subject to the decision or the Attorney General no later than the 10th calendar day after the date of the determination.

On appeal, the Ethics Commission or Auditor General shall seek, accept, and consider written public comments regarding a determination. In deciding whether to uphold an Inspector General's determination, the appropriate Ethics Commission or Auditor General shall assess, in addition to any other relevant information, the effect of the prospective employment or relationship upon the decisions referred to in subsections (a) and (b), based on the totality of the participation by the former officer, member, or State employee in those decisions. The Ethics Commission shall decide whether to uphold an Inspector General's determination within 10 calendar days or the person is deemed eligible for the employment opportunity.

- (h) The following officers, members, or State employees shall not, within a period of one year immediately after termination of office or State employment, knowingly accept employment or receive compensation or fees for services from a person or entity if the person or entity or its parent or subsidiary, during the year immediately preceding termination of State employment, was a party to a State contract or contracts with a cumulative value of \$25,000 or more involving the officer, member, or State employee's State agency, or was the subject of a regulatory or licensing decision involving the officer, member, or State employee's State agency, regardless of whether he or she participated personally and substantially in the award or fiscal administration of the State contract or contracts or the making of the regulatory or licensing decision in question:
 - (1) members or officers;
 - (2) members of a commission or board created by the Illinois Constitution;
 - (3) persons whose appointment to office is subject to the advice and consent of the Senate;
 - (4) the head of a department, commission, board, division, bureau, authority, or other administrative unit within the government of this State;
 - (5) chief procurement officers, State purchasing officers, and their designees whose duties are directly related to State procurement;

- 1 (6) chiefs of staff, deputy chiefs of staff, associate 2 chiefs of staff, assistant chiefs of staff, and deputy 3 governors, or any other position that holds an equivalent 4 level of managerial oversight;
 - (7) employees of the Illinois Racing Board; and
- 6 (8) employees of the Illinois Gaming Board.
- 7 (i) For the purposes of this Section, with respect to
 8 officers or employees of a regional transit board, as defined
 9 in this Act, the phrase "person or entity" does not include:
 10 (i) the United States government, (ii) the State, (iii)
 11 municipalities, as defined under Article VII, Section 1 of the
 12 Illinois Constitution, (iv) units of local government, as
 13 defined under Article VII, Section 1 of the Illinois
- 14 Constitution, or (v) school districts.
- 15 (Source: P.A. 101-31, eff. 6-28-19; 101-593, eff. 12-4-19;
- 16 102-664, eff. 1-1-22.)
- 17 Section 99. Effective date. This Act takes effect upon
- 18 becoming law.