**Section 130.730 Renewal of Registration of Investment Fund Shares Under Section 7(G) of the Act**

a) An issuer, controlling person or registered dealer shall file an application for renewal of registration of part or all of the investment fund shares which remain unsold by filing with the Securities Department no later than 10 business days prior to the date upon which the registration under Section 7(A) of the Act or renewal under Section 7(G) of the Act would expire on Form 7G or Form U-1 as provided in Appendix B executed by an officer of the issuer, controlling person or registered dealer and paying the fee set forth in Section 130.110. The application shall be accompanied by a copy of the prospectus and Statement of Additional Information in their most current form on file with the SEC.

b) Any application for renewal of registration of investment fund shares filed with or fee paid to the Securities Department within nine business days or less but prior to the date upon which the registration would expire shall pay an additional fee set forth in Section 130.110.

c) Any application for renewal of registration of investment fund shares filed with or fee paid to the Securities Department on or after the date upon which the registration would expire shall pay an additional fee set forth in Section 130.110 until the application is filed and the renewal fee and all such additional fees are paid.

d) The renewal of the registration under subsection (a), (b) or (c) shall take effect on the date that the prior registration or renewal expired.

e) No application for renewal of the registration of investment fund shares shall be deemed to be filed or take effect if the application, renewal fee or additional fee shall have been filed with or paid to the Securities Department more than one year after the most recent expiration of the registration or renewal of the registration.

f) Prior to the renewal of any registration or renewal, the issuer, controlling person or registered dealer shall have filed with the Securities Department the name of at least one registered dealer which will be offering or selling the investment fund shares or have filed an application on Form U4 or renewal on Form 8C(1) for registration for at least one salesperson that the Securities Department will grant registration of or renewal of registration of concurrently with the renewal of the registration or renewal of the investment fund shares and paid to the Securities Department the fee set forth in Section 130.110.

(Source: Amended at 36 Ill. Reg. 2852, effective February 8, 2012)