**Section 7000.200 State Agency Responsibilities**

a) The specific requirements and responsibilities of State agencies and non-federal entities are set forth in Section 50 of the Act.

b) State agencies making State-issued awards to non-federal entities must adopt rules reflecting UR subparts B through F unless different provisions are required by law or an exception is granted by GATU in accordance with Section 7000.60.

1) In applying UR subparts D through F to State-issued awards, the following terms in Column A from the UR are interchangeable with the terms in Column B.

|  |  |  |
| --- | --- | --- |
| Column ATerms from 2 CFR 200Subparts B Through F |  | Column BApplication to State-IssuedAwards |
|  |  |  |
| Federal awarding agency |  | State awarding agency |
| Non-federal entity |  | Awardee |
| Assistance Listing |  | Catalog of State Financial Assistance or CSFA |
| Federal financial assistance |  | State-issued award |
| Federal pass-through financial assistance |  | Federal pass-through funds |
| Federal statutes or regulations |  | State statutes or regulations |

2) The following UR sections apply to State-issued awards, with the terminology equivalencies listed in subsection (b)(1):

A) Subpart B – General Provisions

B) Subpart C – Pre-Federal Award Requirements and Contents of Federal Awards

C) Subpart D – Post-Federal Award Requirements

D) Subpart E – Cost Principles

E) Subpart F – Audit Requirements

F) Appendices (applicable sections)

i) Appendix I Notice of Funding Opportunity

(Except section E)

ii) Appendix II Contract Provisions for Non-Federal

Entity Contracts Under Federal Awards

iii) Appendix III Indirect (F&A) Costs Identification

and Assignment, and Rate Determination for Institutions of Higher Education

iv) Appendix IV Indirect (F&A) Costs Identification

and Assignment, and Rate Determination for Nonprofit Organizations

v) Appendix V State/Local Government-wide

Central Service Cost Allocation Plans

vi) Appendix VI Public Assistance Cost Allocation

Plans

vii) Appendix VII States and Local Government and

Indian Tribe Indirect Cost Proposals

viii) Appendix VIII Nonprofit Organizations Exempted

From Subpart E – Cost Principles of Part 200

ix) Appendix IX Hospital Cost Principles

x) Appendix X Data Collection Form (Form SF-

SAC)

xi) Appendix XI Compliance Supplement

xii) Appendix XII Award Term and Condition for

 Recipient Integrity and Performance Matters

c) *Each State* awarding *agency shall appoint a Chief Accountability Officer to serve as a liaison to GATU and be responsible for the State agency's implementation of, and compliance with, the* UR and this Part.

d) *In order to effectively measure the performance of its awardees, each State* awarding *agency shall:*

1) *Require its awardees to submit a Periodic Performance Report (PPR) in the format prescribed by GATU* and available through the GATA Resource Library at www.grants.illinois.gov*. The frequency of PPR reporting shall be specified in the executed Grant Agreement and no less frequent than annually nor more frequent than quarterly except in unusual circumstances as stated in the Uniform Grant Agreement. Best practice would be* quarterly reporting*.*

2) *Utilize the PPR to require its awardees to relate financial data to performance accomplishments of the award and, when applicable, require awardees to provide cost information to demonstrate cost-effective practices. The awardee's performance should be measured in a way that will help the State agency to improve program outcomes, share lessons learned, and spread the adoption of promising practices; and*

3) *Provide awardees with clear performance goals, indicators, and milestones through the Grant Agreement and shall establish performance reporting frequency and content to not only allow the State agency to understand the awardee's progress, but also to facilitate identification of promising practices among awardees and build the evidence upon which the State agency's program and performance decisions are made.*

e) *Each* State awarding *agency shall enhance its processes to monitor and address noncompliance with reporting requirements and with program performance standards. When applicable, the process may include a corrective action plan. The monitoring process shall include a plan for tracking and documenting performance-based contracting decisions.* [30 ILCS 708/45(g)]

f) State awarding agencies are required to follow internal control compliance requirements in OMB Circular No. A-123, Management’s Responsibility for Enterprise Risk Management and Internal Control, incorporated by reference in Section 7000.40(c).

g) *GOMB shall provide such advice and technical assistance to the State agencies as is necessary or indicated in order to ensure compliance with the Act.* [30 ILCS 708/50]

h) Each State agency shall submit any exception requests to GATU for approval in accordance with Section 7000.60.

(Source: Amended at 47 Ill. Reg. 7893, effective May 26, 2023)