



## 101ST GENERAL ASSEMBLY

### State of Illinois

2019 and 2020

HB3221

by Rep. Justin Slaughter

#### SYNOPSIS AS INTRODUCED:

730 ILCS 5/5-2-4

from Ch. 38, par. 1005-2-4

Amends the Unified Code of Corrections. Provides that before the expiration of the conditional release of a person found not guilty by reason of insanity, the Department of Human Services shall conduct an assessment of the person's need for continuing treatment prior to the termination of his or her conditional release and shall arrange for mental health services for the person after the person's conditional release. Provides that the court may order an extension of the person's conditional release for a term as determined by the court based on the assessment (rather than 5 years).

LRB101 10603 RLC 55709 b

1 AN ACT concerning criminal law.

2 **Be it enacted by the People of the State of Illinois,**  
3 **represented in the General Assembly:**

4 Section 5. The Unified Code of Corrections is amended by  
5 changing Section 5-2-4 as follows:

6 (730 ILCS 5/5-2-4) (from Ch. 38, par. 1005-2-4)

7 Sec. 5-2-4. Proceedings after acquittal by reason of  
8 insanity.

9 (a) After a finding or verdict of not guilty by reason of  
10 insanity under Sections 104-25, 115-3, or 115-4 of the Code of  
11 Criminal Procedure of 1963, the defendant shall be ordered to  
12 the Department of Human Services for an evaluation as to  
13 whether he is in need of mental health services. The order  
14 shall specify whether the evaluation shall be conducted on an  
15 inpatient or outpatient basis. If the evaluation is to be  
16 conducted on an inpatient basis, the defendant shall be placed  
17 in a secure setting. With the court order for evaluation shall  
18 be sent a copy of the arrest report, criminal charges, arrest  
19 record, jail record, any report prepared under Section 115-6 of  
20 the Code of Criminal Procedure of 1963, and any statement  
21 prepared under Section 6 of the Rights of Crime Victims and  
22 Witnesses Act. The clerk of the circuit court shall transmit  
23 this information to the Department within 5 days. If the court

1 orders that the evaluation be done on an inpatient basis, the  
2 Department shall evaluate the defendant to determine to which  
3 secure facility the defendant shall be transported and, within  
4 20 days of the transmittal by the clerk of the circuit court of  
5 the placement court order, notify the sheriff of the designated  
6 facility. Upon receipt of that notice, the sheriff shall  
7 promptly transport the defendant to the designated facility.  
8 During the period of time required to determine the appropriate  
9 placement, the defendant shall remain in jail. If, within 20  
10 days of the transmittal by the clerk of the circuit court of  
11 the placement court order, the Department fails to notify the  
12 sheriff of the identity of the facility to which the defendant  
13 shall be transported, the sheriff shall contact a designated  
14 person within the Department to inquire about when a placement  
15 will become available at the designated facility and bed  
16 availability at other facilities. If, within 20 days of the  
17 transmittal by the clerk of the circuit court of the placement  
18 court order, the Department fails to notify the sheriff of the  
19 identity of the facility to which the defendant shall be  
20 transported, the sheriff shall notify the Department of its  
21 intent to transfer the defendant to the nearest secure mental  
22 health facility operated by the Department and inquire as to  
23 the status of the placement evaluation and availability for  
24 admission to the facility operated by the Department by  
25 contacting a designated person within the Department. The  
26 Department shall respond to the sheriff within 2 business days

1 of the notice and inquiry by the sheriff seeking the transfer  
2 and the Department shall provide the sheriff with the status of  
3 the placement evaluation, information on bed and placement  
4 availability, and an estimated date of admission for the  
5 defendant and any changes to that estimated date of admission.  
6 If the Department notifies the sheriff during the 2 business  
7 day period of a facility operated by the Department with  
8 placement availability, the sheriff shall promptly transport  
9 the defendant to that facility. Individualized placement  
10 evaluations by the Department of Human Services determine the  
11 most appropriate setting for forensic treatment based upon a  
12 number of factors including mental health diagnosis, proximity  
13 to surviving victims, security need, age, gender, and proximity  
14 to family.

15 The Department shall provide the Court with a report of its  
16 evaluation within 30 days of the date of this order. The Court  
17 shall hold a hearing as provided under the Mental Health and  
18 Developmental Disabilities Code to determine if the individual  
19 is: (a) in need of mental health services on an inpatient  
20 basis; (b) in need of mental health services on an outpatient  
21 basis; (c) a person not in need of mental health services. The  
22 court shall afford the victim the opportunity to make a written  
23 or oral statement as guaranteed by Article I, Section 8.1 of  
24 the Illinois Constitution and Section 6 of the Rights of Crime  
25 Victims and Witnesses Act. The court shall allow a victim to  
26 make an oral statement if the victim is present in the

1 courtroom and requests to make an oral statement. An oral  
2 statement includes the victim or a representative of the victim  
3 reading the written statement. The court may allow persons  
4 impacted by the crime who are not victims under subsection (a)  
5 of Section 3 of the ~~this~~ Rights of Crime Victims and Witnesses  
6 Act to present an oral or written statement. A victim and any  
7 person making an oral statement shall not be put under oath or  
8 subject to cross-examination. The court shall consider any  
9 statement presented along with all other appropriate factors in  
10 determining the sentence of the defendant or disposition of the  
11 juvenile. All statements shall become part of the record of the  
12 court.

13 If the defendant is found to be in need of mental health  
14 services on an inpatient care basis, the Court shall order the  
15 defendant to the Department of Human Services. The defendant  
16 shall be placed in a secure setting. Such defendants placed in  
17 a secure setting shall not be permitted outside the facility's  
18 housing unit unless escorted or accompanied by personnel of the  
19 Department of Human Services or with the prior approval of the  
20 Court for unsupervised on-grounds privileges as provided  
21 herein. Any defendant placed in a secure setting pursuant to  
22 this Section, transported to court hearings or other necessary  
23 appointments off facility grounds by personnel of the  
24 Department of Human Services, shall be placed in security  
25 devices or otherwise secured during the period of  
26 transportation to assure secure transport of the defendant and

1 the safety of Department of Human Services personnel and  
2 others. These security measures shall not constitute restraint  
3 as defined in the Mental Health and Developmental Disabilities  
4 Code. If the defendant is found to be in need of mental health  
5 services, but not on an inpatient care basis, the Court shall  
6 conditionally release the defendant, under such conditions as  
7 set forth in this Section as will reasonably assure the  
8 defendant's satisfactory progress and participation in  
9 treatment or rehabilitation and the safety of the defendant,  
10 the victim, the victim's family members, and others. If the  
11 Court finds the person not in need of mental health services,  
12 then the Court shall order the defendant discharged from  
13 custody.

14 (a-1) Definitions. For the purposes of this Section:

15 (A) (Blank).

16 (B) "In need of mental health services on an inpatient  
17 basis" means: a defendant who has been found not guilty by  
18 reason of insanity but who, due to mental illness, is  
19 reasonably expected to inflict serious physical harm upon  
20 himself or another and who would benefit from inpatient  
21 care or is in need of inpatient care.

22 (C) "In need of mental health services on an outpatient  
23 basis" means: a defendant who has been found not guilty by  
24 reason of insanity who is not in need of mental health  
25 services on an inpatient basis, but is in need of  
26 outpatient care, drug and/or alcohol rehabilitation

1 programs, community adjustment programs, individual,  
2 group, or family therapy, or chemotherapy.

3 (D) "Conditional Release" means: the release from  
4 either the custody of the Department of Human Services or  
5 the custody of the Court of a person who has been found not  
6 guilty by reason of insanity under such conditions as the  
7 Court may impose which reasonably assure the defendant's  
8 satisfactory progress in treatment or habilitation and the  
9 safety of the defendant, the victim, the victim's family,  
10 and others. The Court shall consider such terms and  
11 conditions which may include, but need not be limited to,  
12 outpatient care, alcoholic and drug rehabilitation  
13 programs, community adjustment programs, individual,  
14 group, family, and chemotherapy, random testing to ensure  
15 the defendant's timely and continuous taking of any  
16 medicines prescribed to control or manage his or her  
17 conduct or mental state, and periodic checks with the legal  
18 authorities and/or the Department of Human Services. The  
19 Court may order as a condition of conditional release that  
20 the defendant not contact the victim of the offense that  
21 resulted in the finding or verdict of not guilty by reason  
22 of insanity or any other person. The Court may order the  
23 Department of Human Services to provide care to any person  
24 conditionally released under this Section. The Department  
25 may contract with any public or private agency in order to  
26 discharge any responsibilities imposed under this Section.

1           The Department shall monitor the provision of services to  
2           persons conditionally released under this Section and  
3           provide periodic reports to the Court concerning the  
4           services and the condition of the defendant. Whenever a  
5           person is conditionally released pursuant to this Section,  
6           the State's Attorney for the county in which the hearing is  
7           held shall designate in writing the name, telephone number,  
8           and address of a person employed by him or her who shall be  
9           notified in the event that either the reporting agency or  
10          the Department decides that the conditional release of the  
11          defendant should be revoked or modified pursuant to  
12          subsection (i) of this Section. Such conditional release  
13          shall be for a period of five years. Before the expiration  
14          of the conditional release of a person found not guilty by  
15          reason of insanity, the Department of Human Services shall  
16          conduct an assessment of the person's need for continuing  
17          treatment prior to the termination of his or her  
18          conditional release and shall arrange for mental health  
19          services for the person after the person's conditional  
20          release. However, the defendant, the person or facility  
21          rendering the treatment, therapy, program or outpatient  
22          care, the Department, or the State's Attorney may petition  
23          the Court for an extension of the conditional release  
24          period for an additional period as determined by the court  
25          based on the assessment ~~5 years~~. Upon receipt of such a  
26          petition, the Court shall hold a hearing consistent with



1 the provisions of paragraph (a), this paragraph (a-1), and  
2 paragraph (f) of this Section, shall determine whether the  
3 defendant should continue to be subject to the terms of  
4 conditional release, and shall enter an order either  
5 extending the defendant's period of conditional release  
6 for an additional ~~5-year~~ period as determined by the court  
7 based on the assessment or discharging the defendant.  
8 Additional ~~5-year~~ periods of conditional release may be  
9 ordered following a hearing as provided in this Section.  
10 However, in no event shall the defendant's period of  
11 conditional release continue beyond the maximum period of  
12 commitment ordered by the Court pursuant to paragraph (b)  
13 of this Section. These provisions for extension of  
14 conditional release shall only apply to defendants  
15 conditionally released on or after August 8, 2003. However,  
16 the extension provisions of Public Act 83-1449 apply only  
17 to defendants charged with a forcible felony.

18 (E) "Facility director" means the chief officer of a  
19 mental health or developmental disabilities facility or  
20 his or her designee or the supervisor of a program of  
21 treatment or habilitation or his or her designee.  
22 "Designee" may include a physician, clinical psychologist,  
23 social worker, nurse, or clinical professional counselor.

24 (b) If the Court finds the defendant in need of mental  
25 health services on an inpatient basis, the admission,  
26 detention, care, treatment or habilitation, treatment plans,

1 review proceedings, including review of treatment and  
2 treatment plans, and discharge of the defendant after such  
3 order shall be under the Mental Health and Developmental  
4 Disabilities Code, except that the initial order for admission  
5 of a defendant acquitted of a felony by reason of insanity  
6 shall be for an indefinite period of time. Such period of  
7 commitment shall not exceed the maximum length of time that the  
8 defendant would have been required to serve, less credit for  
9 good behavior as provided in Section 5-4-1 of the Unified Code  
10 of Corrections, before becoming eligible for release had he  
11 been convicted of and received the maximum sentence for the  
12 most serious crime for which he has been acquitted by reason of  
13 insanity. The Court shall determine the maximum period of  
14 commitment by an appropriate order. During this period of time,  
15 the defendant shall not be permitted to be in the community in  
16 any manner, including, but not limited to, off-grounds  
17 privileges, with or without escort by personnel of the  
18 Department of Human Services, unsupervised on-grounds  
19 privileges, discharge or conditional or temporary release,  
20 except by a plan as provided in this Section. In no event shall  
21 a defendant's continued unauthorized absence be a basis for  
22 discharge. Not more than 30 days after admission and every 90  
23 days thereafter so long as the initial order remains in effect,  
24 the facility director shall file a treatment plan report in  
25 writing with the court and forward a copy of the treatment plan  
26 report to the clerk of the court, the State's Attorney, and the

1 defendant's attorney, if the defendant is represented by  
2 counsel, or to a person authorized by the defendant under the  
3 Mental Health and Developmental Disabilities Confidentiality  
4 Act to be sent a copy of the report. The report shall include  
5 an opinion as to whether the defendant is currently in need of  
6 mental health services on an inpatient basis or in need of  
7 mental health services on an outpatient basis. The report shall  
8 also summarize the basis for those findings and provide a  
9 current summary of the following items from the treatment plan:  
10 (1) an assessment of the defendant's treatment needs, (2) a  
11 description of the services recommended for treatment, (3) the  
12 goals of each type of element of service, (4) an anticipated  
13 timetable for the accomplishment of the goals, and (5) a  
14 designation of the qualified professional responsible for the  
15 implementation of the plan. The report may also include  
16 unsupervised on-grounds privileges, off-grounds privileges  
17 (with or without escort by personnel of the Department of Human  
18 Services), home visits and participation in work programs, but  
19 only where such privileges have been approved by specific court  
20 order, which order may include such conditions on the defendant  
21 as the Court may deem appropriate and necessary to reasonably  
22 assure the defendant's satisfactory progress in treatment and  
23 the safety of the defendant and others.

24 (c) Every defendant acquitted of a felony by reason of  
25 insanity and subsequently found to be in need of mental health  
26 services shall be represented by counsel in all proceedings

1 under this Section and under the Mental Health and  
2 Developmental Disabilities Code.

3 (1) The Court shall appoint as counsel the public  
4 defender or an attorney licensed by this State.

5 (2) Upon filing with the Court of a verified statement  
6 of legal services rendered by the private attorney  
7 appointed pursuant to paragraph (1) of this subsection, the  
8 Court shall determine a reasonable fee for such services.  
9 If the defendant is unable to pay the fee, the Court shall  
10 enter an order upon the State to pay the entire fee or such  
11 amount as the defendant is unable to pay from funds  
12 appropriated by the General Assembly for that purpose.

13 (d) When the facility director determines that:

14 (1) the defendant is no longer in need of mental health  
15 services on an inpatient basis; and

16 (2) the defendant may be conditionally released  
17 because he or she is still in need of mental health  
18 services or that the defendant may be discharged as not in  
19 need of any mental health services; or

20 (3) (blank);

21 the facility director shall give written notice to the Court,  
22 State's Attorney and defense attorney. Such notice shall set  
23 forth in detail the basis for the recommendation of the  
24 facility director, and specify clearly the recommendations, if  
25 any, of the facility director, concerning conditional release.  
26 Any recommendation for conditional release shall include an

1 evaluation of the defendant's need for psychotropic  
2 medication, what provisions should be made, if any, to ensure  
3 that the defendant will continue to receive psychotropic  
4 medication following discharge, and what provisions should be  
5 made to assure the safety of the defendant and others in the  
6 event the defendant is no longer receiving psychotropic  
7 medication. Within 30 days of the notification by the facility  
8 director, the Court shall set a hearing and make a finding as  
9 to whether the defendant is:

10 (i) (blank); or

11 (ii) in need of mental health services in the form of  
12 inpatient care; or

13 (iii) in need of mental health services but not subject  
14 to inpatient care; or

15 (iv) no longer in need of mental health services; or

16 (v) (blank).

17 A crime victim shall be allowed to present an oral and  
18 written statement. The court shall allow a victim to make an  
19 oral statement if the victim is present in the courtroom and  
20 requests to make an oral statement. An oral statement includes  
21 the victim or a representative of the victim reading the  
22 written statement. A victim and any person making an oral  
23 statement shall not be put under oath or subject to  
24 cross-examination. All statements shall become part of the  
25 record of the court.

26 Upon finding by the Court, the Court shall enter its

1 findings and such appropriate order as provided in subsections  
2 (a) and (a-1) of this Section.

3 (e) A defendant admitted pursuant to this Section, or any  
4 person on his behalf, may file a petition for treatment plan  
5 review or discharge or conditional release under the standards  
6 of this Section in the Court which rendered the verdict. Upon  
7 receipt of a petition for treatment plan review or discharge or  
8 conditional release, the Court shall set a hearing to be held  
9 within 120 days. Thereafter, no new petition may be filed for  
10 180 days without leave of the Court.

11 (f) The Court shall direct that notice of the time and  
12 place of the hearing be served upon the defendant, the facility  
13 director, the State's Attorney, and the defendant's attorney.  
14 If requested by either the State or the defense or if the Court  
15 feels it is appropriate, an impartial examination of the  
16 defendant by a psychiatrist or clinical psychologist as defined  
17 in Section 1-103 of the Mental Health and Developmental  
18 Disabilities Code who is not in the employ of the Department of  
19 Human Services shall be ordered, and the report considered at  
20 the time of the hearing.

21 (g) The findings of the Court shall be established by clear  
22 and convincing evidence. The burden of proof and the burden of  
23 going forth with the evidence rest with the defendant or any  
24 person on the defendant's behalf when a hearing is held to  
25 review a petition filed by or on behalf of the defendant. The  
26 evidence shall be presented in open Court with the right of

1 confrontation and cross-examination. Such evidence may  
2 include, but is not limited to:

3 (1) whether the defendant appreciates the harm caused  
4 by the defendant to others and the community by his or her  
5 prior conduct that resulted in the finding of not guilty by  
6 reason of insanity;

7 (2) Whether the person appreciates the criminality of  
8 conduct similar to the conduct for which he or she was  
9 originally charged in this matter;

10 (3) the current state of the defendant's illness;

11 (4) what, if any, medications the defendant is taking  
12 to control his or her mental illness;

13 (5) what, if any, adverse physical side effects the  
14 medication has on the defendant;

15 (6) the length of time it would take for the  
16 defendant's mental health to deteriorate if the defendant  
17 stopped taking prescribed medication;

18 (7) the defendant's history or potential for alcohol  
19 and drug abuse;

20 (8) the defendant's past criminal history;

21 (9) any specialized physical or medical needs of the  
22 defendant;

23 (10) any family participation or involvement expected  
24 upon release and what is the willingness and ability of the  
25 family to participate or be involved;

26 (11) the defendant's potential to be a danger to

1           himself, herself, or others;

2                   (11.5) a written or oral statement made by the victim;

3           and

4                   (12) any other factor or factors the Court deems  
5           appropriate.

6           (h) Before the court orders that the defendant be  
7           discharged or conditionally released, it shall order the  
8           facility director to establish a discharge plan that includes a  
9           plan for the defendant's shelter, support, and medication. If  
10          appropriate, the court shall order that the facility director  
11          establish a program to train the defendant in self-medication  
12          under standards established by the Department of Human  
13          Services. If the Court finds, consistent with the provisions of  
14          this Section, that the defendant is no longer in need of mental  
15          health services it shall order the facility director to  
16          discharge the defendant. If the Court finds, consistent with  
17          the provisions of this Section, that the defendant is in need  
18          of mental health services, and no longer in need of inpatient  
19          care, it shall order the facility director to release the  
20          defendant under such conditions as the Court deems appropriate  
21          and as provided by this Section. Such conditional release shall  
22          be imposed for a period of 5 years as provided in paragraph (D)  
23          of subsection (a-1) and shall be subject to later modification  
24          by the Court as provided by this Section. If the Court finds  
25          consistent with the provisions in this Section that the  
26          defendant is in need of mental health services on an inpatient



1 basis, it shall order the facility director not to discharge or  
2 release the defendant in accordance with paragraph (b) of this  
3 Section.

4 (i) If within the period of the defendant's conditional  
5 release the State's Attorney determines that the defendant has  
6 not fulfilled the conditions of his or her release, the State's  
7 Attorney may petition the Court to revoke or modify the  
8 conditional release of the defendant. Upon the filing of such  
9 petition the defendant may be remanded to the custody of the  
10 Department, or to any other mental health facility designated  
11 by the Department, pending the resolution of the petition.  
12 Nothing in this Section shall prevent the emergency admission  
13 of a defendant pursuant to Article VI of Chapter III of the  
14 Mental Health and Developmental Disabilities Code or the  
15 voluntary admission of the defendant pursuant to Article IV of  
16 Chapter III of the Mental Health and Developmental Disabilities  
17 Code. If the Court determines, after hearing evidence, that the  
18 defendant has not fulfilled the conditions of release, the  
19 Court shall order a hearing to be held consistent with the  
20 provisions of paragraph (f) and (g) of this Section. At such  
21 hearing, if the Court finds that the defendant is in need of  
22 mental health services on an inpatient basis, it shall enter an  
23 order remanding him or her to the Department of Human Services  
24 or other facility. If the defendant is remanded to the  
25 Department of Human Services, he or she shall be placed in a  
26 secure setting unless the Court determines that there are

1 compelling reasons that such placement is not necessary. If the  
2 Court finds that the defendant continues to be in need of  
3 mental health services but not on an inpatient basis, it may  
4 modify the conditions of the original release in order to  
5 reasonably assure the defendant's satisfactory progress in  
6 treatment and his or her safety and the safety of others in  
7 accordance with the standards established in paragraph (D) of  
8 subsection (a-1). Nothing in this Section shall limit a Court's  
9 contempt powers or any other powers of a Court.

10 (j) An order of admission under this Section does not  
11 affect the remedy of habeas corpus.

12 (k) In the event of a conflict between this Section and the  
13 Mental Health and Developmental Disabilities Code or the Mental  
14 Health and Developmental Disabilities Confidentiality Act, the  
15 provisions of this Section shall govern.

16 (l) Public Act 90-593 shall apply to all persons who have  
17 been found not guilty by reason of insanity and who are  
18 presently committed to the Department of Mental Health and  
19 Developmental Disabilities (now the Department of Human  
20 Services).

21 (m) The Clerk of the Court shall transmit a certified copy  
22 of the order of discharge or conditional release to the  
23 Department of Human Services, to the sheriff of the county from  
24 which the defendant was admitted, to the Illinois Department of  
25 State Police, to the proper law enforcement agency for the  
26 municipality where the offense took place, and to the sheriff

1 of the county into which the defendant is conditionally  
2 discharged. The Illinois Department of State Police shall  
3 maintain a centralized record of discharged or conditionally  
4 released defendants while they are under court supervision for  
5 access and use of appropriate law enforcement agencies.

6 (n) The provisions in this Section which allows a crime  
7 victim to make a written and oral statement do not apply if the  
8 defendant was under 18 years of age at the time the offense was  
9 committed.

10 (o) If any provision of this Section or its application to  
11 any person or circumstance is held invalid, the invalidity of  
12 that provision does not affect any other provision or  
13 application of this Section that can be given effect without  
14 the invalid provision or application.

15 (Source: P.A. 100-27, eff. 1-1-18; 100-424, eff. 1-1-18;  
16 100-863, eff. 8-14-18; 100-961, eff. 1-1-19; revised 10-3-18.)