



## 101ST GENERAL ASSEMBLY

### State of Illinois

2019 and 2020

SB3318

Introduced 2/14/2020, by Sen. Julie A. Morrison

#### SYNOPSIS AS INTRODUCED:

5 ILCS 420/1-102.5 new	
5 ILCS 420/1-104.3 new	
5 ILCS 420/1-104.4 new	
5 ILCS 420/1-104.5 new	
5 ILCS 420/1-105.2 new	
5 ILCS 420/1-105.3 new	
5 ILCS 420/1-105.5 new	
5 ILCS 420/1-105.6 new	
5 ILCS 420/1-105.7 new	
5 ILCS 420/1-110	from Ch. 127, par. 601-110
5 ILCS 420/1-112.5 new	
5 ILCS 420/1-113.6 new	
5 ILCS 420/1-113.7 new	
5 ILCS 420/4A-102	from Ch. 127, par. 604A-102
5 ILCS 420/4A-103	from Ch. 127, par. 604A-103
5 ILCS 420/4A-108	
5 ILCS 420/4A-104 rep.	

Amends the Illinois Governmental Ethics Act. Creates a uniform statement of economic interest form that must be completed by all persons who are required to file that form under the Act. Changes the nature of the required disclosures that must be made. Requires the Secretary of State to adjust specified amounts that prompt disclosure under the Act for purposes of inflation, and requires the Secretary to make conforming changes to the statement of economic interest form. Requires candidates filing for Supreme Court Judge, appellate court judge, circuit court judge, or judicial retention to file his or her statement of economic interests in written or printed form. Repeals a provision that established a separate form for persons required to file a statement of economic interests with county clerks. Defines terms. Adds applicability clause. Makes conforming changes.

LRB101 20232 RJF 69772 b

1 AN ACT concerning ethics.

2 **Be it enacted by the People of the State of Illinois,**  
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended  
5 by changing Sections 1-110, 4A-102, 4A-103, and 4A-108 and by  
6 adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5, 1-105.2,  
7 1-105.3, 1-105.5, 1-105.6, 1-105.7, 1-112.5, 1-113.6, and  
8 1-113.7 as follows:

9 (5 ILCS 420/1-102.5 new)

10 Sec. 1-102.5. Asset. "Asset" means, for the purposes of  
11 Sections 4A-102 and 4A-103, an item that is owned and has  
12 monetary value. For the purposes of Sections 4A-102 and 4A-103,  
13 assets include, but are not limited to: stocks, bonds, sector  
14 mutual funds, sector exchange traded funds, commodity futures,  
15 investment real estate, and partnership interests. For the  
16 purposes of Sections 4A-102 and 4A-103, assets do not include:  
17 personal residences; personal vehicles; savings or checking  
18 accounts; bonds, notes, or securities issued by any branch of  
19 federal, state, or local government; Medicare benefits;  
20 inheritances or bequests; diversified funds; annuities;  
21 pensions (including government pensions); retirement accounts;  
22 college savings plans that are qualified tuition plans;  
23 qualified tax-advantaged savings programs that allow

1 individuals to save for disability-related expenses; or  
2 tangible personal property.

3 (5 ILCS 420/1-104.3 new)

4 Sec. 1-104.3. Creditor. "Creditor" means, for the purposes  
5 of Sections 4A-102 and 4A-103, an individual, organization, or  
6 other business entity to whom money or its equivalent is owed,  
7 no matter whether that obligation is secured or unsecured,  
8 except that if a filer makes a loan to members of his or her  
9 family, or a political committee registered with the Illinois  
10 State Board of Election, or a political committee, principal  
11 campaign committee, or authorized committee registered with  
12 the Federal Election Commission, then that filer does not, by  
13 making such a loan, become a creditor of that individual or  
14 entity for the purposes of Sections 4A-102 and 4A-103 of this  
15 Act.

16 (5 ILCS 420/1-104.4 new)

17 Sec. 1-104.4. Debt. "Debt" means, for the purposes of  
18 Sections 4A-102 and 4A-103, any money or monetary obligation  
19 owed at any time during the preceding calendar year to an  
20 individual, company, or other organization, other than a loan  
21 that is from a financial institution, government agency, or  
22 business entity and that is granted on terms made available to  
23 the general public. For the purposes of Sections 4A-102 and  
24 4A-103, "debt" includes, but is not limited to: personal loans

1 from friends or business associates, business loans made  
2 outside the lender's regular course of business, and loans made  
3 at below market rates. For the purposes of Sections 4A-102 and  
4 4A-103, "debt" does not include: (i) debts to or from financial  
5 institutions or government entities, such as mortgages,  
6 student loans, credit card debts, or loans secured by  
7 automobiles, household furniture, or appliances, as long as  
8 those loans were made on terms available to the general public  
9 and do not exceed the purchase price of the items securing  
10 them; or (ii) debts to or from a political committee registered  
11 with the Illinois State Board of Elections or political  
12 committees, principal campaign committees, or authorized  
13 committees registered with the Federal Election Commission.

14 (5 ILCS 420/1-104.5 new)

15 Sec. 1-104.5. Diversified funds. "Diversified funds" means  
16 investment products, such as mutual funds, exchange traded  
17 funds, or unit investment trusts, that invest in a wide variety  
18 of securities. "Diversified funds" does not include sector  
19 funds.

20 (5 ILCS 420/1-105.2 new)

21 Sec. 1-105.2. Economic relationship. "Economic  
22 relationship" means, for the purposes of Sections 4A-102 and  
23 4A-103, any joint or shared ownership interests in businesses  
24 and creditor-debtor relationships with third parties, other

1 than commercial lending institutions, where: (a) the filer is  
2 entitled to receive (i) more than 7.5% of the total  
3 distributable income, or (ii) an amount in excess of the salary  
4 of the Governor; or (b) the filer together with his or her  
5 spouse or minor children is entitled to receive (i) more than  
6 15%, in the aggregate, of the total distributable income, or  
7 (ii) an amount in excess of 2 times the salary of the Governor.

8 (5 ILCS 420/1-105.3 new)

9 Sec. 1-105.3. Family. "Family" means, for the purposes of  
10 Sections 4A-102 and 4A-103, a filer's spouse, children,  
11 step-children, parents, step-parents, siblings, step-siblings,  
12 half-siblings, sons-in-law, daughters-in-law, grandfathers,  
13 grandmothers, grandsons, and granddaughters, as well as the  
14 father, mother, grandfather, and grandmother of the filer's  
15 spouse, and any person living with the filer.

16 (5 ILCS 420/1-105.5 new)

17 Sec. 1-105.5. Filer. "Filer" means, for the purposes of  
18 Section 4A-102 and 4A-103, a person required to file a  
19 statement of economic interests pursuant to this Act.

20 (5 ILCS 420/1-105.6 new)

21 Sec. 1-105.6. Income. "Income" means, for the purposes of  
22 Sections 4A-102 and 4A-103, pension income and any income from  
23 whatever source derived, required to be reported on the filer's

1 federal income tax return, including, but not limited to:  
2 compensation received for services rendered or to be rendered  
3 (as required to be reported on any Internal Revenue Service  
4 forms, including, but not limited to, Forms W-2, 1099, or K-1);  
5 earnings or capital gains from the sale of assets; profit;  
6 interest or dividend income from all assets; revenue from  
7 leases and rentals, royalties, prizes, awards, or barter;  
8 forgiveness of debt; and earnings derived from annuities or  
9 trusts other than testamentary trusts. "Income" does not  
10 include compensation earned for service in the position that  
11 necessitates the filing of the statement of economic interests  
12 or income from the sale of a personal residence or personal  
13 vehicle.

14 (5 ILCS 420/1-105.7 new)

15 Sec. 1-105.7. Investment real estate. "Investment real  
16 estate" means any real property, other than a filer's personal  
17 residences, purchased to produce a profit, whether from income  
18 or resale. Investment real estate may be described by the city  
19 and state where the real estate is located.

20 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

21 Sec. 1-110. "Lobbyist" means an individual who is required  
22 to be registered to engage in lobbying activities pursuant to  
23 any statute, regulation, or ordinance adopted by a unit of  
24 government in the State of Illinois ~~any person required to be~~

1 ~~registered under "An Act concerning lobbying and providing a~~  
2 ~~penalty for violation thereof", approved July 10, 1957, as~~  
3 ~~amended.~~

4 (Source: Laws 1967, p. 3401.)

5 (5 ILCS 420/1-112.5 new)

6 Sec. 1-112.5. Personal residence. "Personal residence"  
7 means, for the purposes of Sections 4A-102 and 4A-103, a  
8 filer's primary home residence and any residential real  
9 property held by the filer and used by the filer for  
10 residential rather than commercial or income generating  
11 purposes.

12 (5 ILCS 420/1-113.6 new)

13 Sec. 1-113.6. Sector funds. "Sector funds" means mutual  
14 funds or exchange traded funds invested in a particular  
15 industry or business.

16 (5 ILCS 420/1-113.7 new)

17 Sec. 1-113.7. Spouse. "Spouse" means a party to a marriage,  
18 a party to a civil union, or a registered domestic partner.

19 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

20 Sec. 4A-102. The statement of economic interests required  
21 by this Article shall include the economic interests of the  
22 person making the statement as provided in this Section.

1       (a) The interest (if constructively controlled by the  
2 person making the statement) of a spouse or any other party,  
3 shall be considered to be the same as the interest of the  
4 person making the statement. Campaign receipts shall not be  
5 included in this statement. The following interests shall be  
6 listed by all persons required to file:

7           (1) each asset that has a value of more than \$5,000 as  
8 of the end of the preceding calendar year and is: (i) held  
9 in the filer's name, (ii) held jointly by the filer with  
10 his or her spouse, or (iii) held jointly by the filer with  
11 his or her minor child or children;

12           (2) excluding the income from the position that  
13 requires the filing of a statement of economic interests  
14 under this Act, each source of income in excess of \$1,200  
15 during the preceding calendar year (as required to be  
16 reported on the filer's federal income tax return covering  
17 the preceding calendar year) and, if the sale or transfer  
18 of an asset produced more than \$5,000 in capital gains  
19 during the preceding calendar year, the transaction date on  
20 which that asset was sold or transferred;

21           (3) each creditor of a debt in excess of \$5,000 that,  
22 during the preceding calendar year, was: (i) owed by the  
23 filer, (ii) owed jointly by the filer with his or her  
24 spouse or (iii) owed jointly by the filer with his or her  
25 minor child or children;

26           (4) each debtor of a debt in excess of \$5,000 that,



1 during the preceding calendar year, was: (i) owed to the  
2 filer, (ii) owed jointly to the filer with his or her  
3 spouse, or (iii) owed jointly to the filer with his or her  
4 minor child or children;

5 (5) the name of each unit of government of which the  
6 filer was an employee, contractor, or office holder during  
7 the preceding calendar year other than the unit or units of  
8 government in relation to which the person is required to  
9 file and the title of the position or nature of the  
10 contractual services;

11 (6) each person known to the filer to be registered as  
12 a lobbyist with any unit of government in the State of  
13 Illinois: (i) with whom the filer maintains an economic  
14 relationship, or (ii) who is a member of the filer's  
15 family; and

16 (7) each source and type of gift or gifts, or  
17 honorarium or honoraria, valued singly or in the aggregate  
18 in excess of \$500 that was received during the preceding  
19 calendar year, excluding any gift or gifts from a member of  
20 the filer's family that was not known to the filer to be  
21 registered as a lobbyist with any unit of government in the  
22 State of Illinois.

23 For the purposes of this Section, the unit of local  
24 government in relation to which a person is required to file  
25 under item (e) of Section 4A-101.5 shall be the unit of local  
26 government that contributes to the pension fund of which such

1 person is a member of the board.

2 (b) Beginning December 1, 2025, and for every 5 years  
3 thereafter, the Secretary of State shall adjust the amounts  
4 specified under this Section that prompt disclosure under this  
5 Act for purposes of inflation as determined by the Consumer  
6 Price Index for All Urban Consumers as issued by the United  
7 States Department of Labor and rounded to the nearest \$100. The  
8 Secretary shall publish this information on the official  
9 website of the Secretary of State, and make changes to the  
10 statement of economic interest form to be completed for the  
11 following year.

12 ~~The interest (if constructively controlled by the person making~~  
13 ~~the statement) of a spouse or any other party, shall be~~  
14 ~~considered to be the same as the interest of the person making~~  
15 ~~the statement. Campaign receipts shall not be included in this~~  
16 ~~statement.~~

17 ~~(a) The following interests shall be listed by all~~  
18 ~~persons required to file:~~

19 ~~(1) The name, address and type of practice of any~~  
20 ~~professional organization or individual professional~~  
21 ~~practice in which the person making the statement was~~  
22 ~~an officer, director, associate, partner or~~  
23 ~~proprietor, or served in any advisory capacity, from~~  
24 ~~which income in excess of \$1200 was derived during the~~  
25 ~~preceding calendar year;~~

26 ~~(2) The nature of professional services (other~~

1 ~~than services rendered to the unit or units of~~  
2 ~~government in relation to which the person is required~~  
3 ~~to file) and the nature of the entity to which they~~  
4 ~~were rendered if fees exceeding \$5,000 were received~~  
5 ~~during the preceding calendar year from the entity for~~  
6 ~~professional services rendered by the person making~~  
7 ~~the statement.~~

8 ~~(3) The identity (including the address or legal~~  
9 ~~description of real estate) of any capital asset from~~  
10 ~~which a capital gain of \$5,000 or more was realized in~~  
11 ~~the preceding calendar year.~~

12 ~~(4) The name of any unit of government which has~~  
13 ~~employed the person making the statement during the~~  
14 ~~preceding calendar year other than the unit or units of~~  
15 ~~government in relation to which the person is required~~  
16 ~~to file.~~

17 ~~(5) The name of any entity from which a gift or~~  
18 ~~gifts, or honorarium or honoraria, valued singly or in~~  
19 ~~the aggregate in excess of \$500, was received during~~  
20 ~~the preceding calendar year.~~

21 ~~(b) The following interests shall also be listed by~~  
22 ~~persons listed in items (a) through (f), item (l), item~~  
23 ~~(n), and item (p) of Section 4A-101:~~

24 ~~(1) The name and instrument of ownership in any~~  
25 ~~entity doing business in the State of Illinois, in~~  
26 ~~which an ownership interest held by the person at the~~

1 ~~date of filing is in excess of \$5,000 fair market value~~  
2 ~~or from which dividends of in excess of \$1,200 were~~  
3 ~~derived during the preceding calendar year. (In the~~  
4 ~~case of real estate, location thereof shall be listed~~  
5 ~~by street address, or if none, then by legal~~  
6 ~~description). No time or demand deposit in a financial~~  
7 ~~institution, nor any debt instrument need be listed;~~

8 ~~(2) Except for professional service entities, the~~  
9 ~~name of any entity and any position held therein from~~  
10 ~~which income of in excess of \$1,200 was derived during~~  
11 ~~the preceding calendar year, if the entity does~~  
12 ~~business in the State of Illinois. No time or demand~~  
13 ~~deposit in a financial institution, nor any debt~~  
14 ~~instrument need be listed.~~

15 ~~(3) The identity of any compensated lobbyist with~~  
16 ~~whom the person making the statement maintains a close~~  
17 ~~economic association, including the name of the~~  
18 ~~lobbyist and specifying the legislative matter or~~  
19 ~~matters which are the object of the lobbying activity,~~  
20 ~~and describing the general type of economic activity of~~  
21 ~~the client or principal on whose behalf that person is~~  
22 ~~lobbying.~~

23 ~~(c) The following interests shall also be listed by~~  
24 ~~persons listed in items (a) through (c) and item (e) of~~  
25 ~~Section 4A-101.5:~~

26 ~~(1) The name and instrument of ownership in any~~

1 ~~entity doing business with a unit of local government~~  
2 ~~in relation to which the person is required to file if~~  
3 ~~the ownership interest of the person filing is greater~~  
4 ~~than \$5,000 fair market value as of the date of filing~~  
5 ~~or if dividends in excess of \$1,200 were received from~~  
6 ~~the entity during the preceding calendar year. (In the~~  
7 ~~case of real estate, location thereof shall be listed~~  
8 ~~by street address, or if none, then by legal~~  
9 ~~description). No time or demand deposit in a financial~~  
10 ~~institution, nor any debt instrument need be listed.~~

11 ~~(2) Except for professional service entities, the~~  
12 ~~name of any entity and any position held therein from~~  
13 ~~which income in excess of \$1,200 was derived during the~~  
14 ~~preceding calendar year if the entity does business~~  
15 ~~with a unit of local government in relation to which~~  
16 ~~the person is required to file. No time or demand~~  
17 ~~deposit in a financial institution, nor any debt~~  
18 ~~instrument need be listed.~~

19 ~~(3) The name of any entity and the nature of the~~  
20 ~~governmental action requested by any entity which has~~  
21 ~~applied to a unit of local government in relation to~~  
22 ~~which the person must file for any license, franchise~~  
23 ~~or permit for annexation, zoning or rezoning of real~~  
24 ~~estate during the preceding calendar year if the~~  
25 ~~ownership interest of the person filing is in excess of~~  
26 ~~\$5,000 fair market value at the time of filing or if~~

1 ~~income or dividends in excess of \$1,200 were received~~  
2 ~~by the person filing from the entity during the~~  
3 ~~preceding calendar year.~~

4 ~~For the purposes of this Section, the unit of local~~  
5 ~~government in relation to which a person required to file under~~  
6 ~~item (c) of Section 4A-101.5 shall be the unit of local~~  
7 ~~government that contributes to the pension fund of which such~~  
8 ~~person is a member of the board.~~

9 (Source: P.A. 101-221, eff. 8-9-19.)

10 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

11 Sec. 4A-103. The statement of economic interests required  
12 by this Article to be filed with the Secretary of State or  
13 county clerk shall be ~~filled in by typewriting or hand~~  
14 ~~printing, shall be~~ verified, dated, and signed by the person  
15 making the statement and shall contain substantially the  
16 following:

17 STATEMENT OF ECONOMIC INTERESTS

18 INSTRUCTIONS:

19 You may find the following documents helpful to you in  
20 completing this form:

21 (1) federal income tax returns, including any related  
22 schedules, attachments, and forms; and

23 (2) investment and brokerage statements.

1        To complete this form, you do not need to disclose specific  
 2 amounts or values or report interests relating either to  
 3 political committees registered with the Illinois State Board  
 4 of Elections or to political committees, principal campaign  
 5 committees, or authorized committees registered with the  
 6 Federal Election Commission.

7        The information you disclose will be available to the  
 8 public.

9        You must answer all 6 questions. Certain questions will ask  
 10 you to report any applicable assets or debts held in your name;  
 11 held jointly with your spouse; or held jointly by you with your  
 12 minor child. If you have any concerns about whether an interest  
 13 should be reported, please consult your department's ethics  
 14 officer, if applicable.

15        Please ensure that the information you provide is complete  
 16 and accurate. If you need more space than the form allows,  
 17 please attach additional pages for your response. If you are  
 18 subject to the State Officials and Employees Ethics Act, your  
 19 ethics officer must review your statement of economic interests  
 20 before you file it. Failure to complete the statement in good  
 21 faith and within the prescribed deadline may subject you to  
 22 finances, imprisonment, or both.

23 BASIC INFORMATION:

24 Name: .....

25 Job title: .....

1 Office, department, or agency that requires you to file this

2 form:.....

3 Other offices, departments, or agencies that require you to

4 file a Statement of Economic Interests form:.....

5 Full mailing address:.....

6 Preferred e-mail address (optional) .....

7 QUESTIONS:

8 1. If you have any single asset that was worth more than

9 \$5,000 as of the end of the preceding calendar year and is held

10 in your name, held jointly by you with your spouse, or held

11 jointly by you with your minor child, list such assets below.

12 In the case of investment real estate, list the city and state

13 where the investment real estate is located. If you do not have

14 any such assets, list "none" below.

15 .....

16 .....

17 .....

18 .....

19 .....

20 2. Excluding the position for which you are required to

21 file this form, list the source of any income in excess of

22 \$1,200 required to be reported during the preceding calendar

23 year. If you sold an asset that produced more than \$5,000 in

24 capital gains in the preceding calendar year, list the name of

25 the asset and the transaction date on which the sale or



1 transfer took place. If you had no such sources of income or  
2 assets, list "none" below.

<u>Source of Income / Name of</u>	<u>Date Sold (if applicable)</u>
<u>Asset</u>	
.....	.....
.....	.....
.....	.....

3  
4  
5  
6  
7  
8 3. Excluding debts incurred on terms available to the  
9 general public, such as mortgages, student loans, and credit  
10 card debts, if you owed any single debt in the preceding  
11 calendar year exceeding \$5,000, list the creditor of the debt  
12 below. If you had no such debts, list "none" below.

13 List the creditor for all applicable debts owed by you,  
14 owed jointly by you with your spouse, or owed jointly by you  
15 with your minor child. In addition to the types of debts listed  
16 above, you do not need to report any debts to or from financial  
17 institutions or government agencies, such as debts secured by  
18 automobiles, household furniture or appliances, as long as the  
19 debt was made on terms available to the general public, debts  
20 to members of your family, or debts to or from a political  
21 committee registered with the Illinois State Board of Elections  
22 or any political committee, principal campaign committee, or  
23 authorized committee registered with the Federal Election  
24 Commission.

25 .....

1 .....  
 2 .....  
 3 .....

4 4. Excluding debts owed to you by members of your family or  
 5 by a political committee, if there is any entity or person who  
 6 owed any debt to you in the preceding calendar year exceeding  
 7 \$5,000, list the debtor below. If no such debts were owed to  
 8 you, list "none" below.

9 List the debtor for all applicable debts owed to you, owed  
 10 jointly to you with your spouse, or owed jointly to you with  
 11 your minor child. You do not need to report loans made to  
 12 members of your family or to a political committee registered  
 13 with the Illinois State Board of Elections or any political  
 14 committee, principal campaign committee, or authorized  
 15 committee registered with the Federal Election Commission.

16 .....  
 17 .....  
 18 .....

19 5. List the name of each unit of government of which the  
 20 filer was an employee, contractor, or office holder during the  
 21 preceding calendar year other than the unit or units of  
 22 government in relation to which the person is required to file  
 23 and the title of the position or nature of the contractual  
 24 services.

25 Name of Unit of Government                      Title or Nature of Services

1     .....     .....  
 2     .....     .....  
 3     .....     .....

4         6. If you maintain an economic relationship with a lobbyist  
 5 or if a member of your family is known to you to be a lobbyist  
 6 registered with any unit of government in the State of  
 7 Illinois, list the name of the lobbyist below and identify the  
 8 nature of your relationship with the lobbyist. If you do not  
 9 have an economic relationship with a lobbyist or a family  
 10 member known to you to be a lobbyist registered with any unit  
 11 of government in the State of Illinois, list "none" below.

12 <u>Name of Lobbyist</u>	<u>Relationship to Filer</u>
13 <u>.....</u>	<u>.....</u>
14 <u>.....</u>	<u>.....</u>
15 <u>.....</u>	<u>.....</u>

16         7. List the name of each person, organization, or entity  
 17 that was the source of a gift or gifts, or honorarium or  
 18 honoraria, valued singly or in the aggregate in excess of \$500  
 19 received during the preceding calendar year, excluding any gift  
 20 or gifts from a member of your family that was not known to be a  
 21 lobbyist registered with any unit of government in the State of  
 22 Illinois. If you had no such gifts, list "none" below.

23     .....  
 24     .....  
 25     .....

1 VERIFICATION:

2 "I declare that this statement of economic interests  
3 (including any attachments) has been examined by me and to the  
4 best of my knowledge and belief is a true, correct and complete  
5 statement of my economic interests as required by the Illinois  
6 Governmental Ethics Act. I understand that the penalty for  
7 willfully filing a false or incomplete statement is a fine not  
8 to exceed \$2,500 or imprisonment in a penal institution other  
9 than the penitentiary not to exceed one year, or both fine and  
10 imprisonment."

11 Printed Name of Filer .....

12 Date .....

13 Signature.....

14 If this statement of economic interests requires ethics officer  
15 review prior to filing, the applicable ethics officer must  
16 complete the following:

17 CERTIFICATION OF ETHICS OFFICER REVIEW:

18 "In accordance with law, as Ethics Officer, I reviewed this  
19 statement of economic interests prior to its filing."

20 Printed Name of Ethics Officer .....

21 Date .....

22 Signature.....

1 Preferred e-mail address (optional) .....

2 ~~STATEMENT OF ECONOMIC INTEREST~~

3 ~~(TYPE OR HAND PRINT)~~

4 .....

5 ~~(name)~~

6 .....

7 ~~(each office or position of employment for which this statement~~  
8 ~~is filed)~~

9 .....

10 ~~(full mailing address)~~

11 ~~GENERAL DIRECTIONS:~~

12 ~~The interest (if constructively controlled by the person~~  
13 ~~making the statement) of a spouse or any other party, shall be~~  
14 ~~considered to be the same as the interest of the person making~~  
15 ~~the statement.~~

16 ~~Campaign receipts shall not be included in this statement.~~

17 ~~If additional space is needed, please attach supplemental~~  
18 ~~listing.~~

19 ~~1. List the name and instrument of ownership in any entity~~  
20 ~~doing business in the State of Illinois, in which the ownership~~  
21 ~~interest held by the person at the date of filing is in excess~~  
22 ~~of \$5,000 fair market value or from which dividends in excess~~  
23 ~~of \$1,200 were derived during the preceding calendar year. (In~~  
24 ~~the case of real estate, location thereof shall be listed by~~  
25 ~~street address, or if none, then by legal description.) No time~~  
26 ~~or demand deposit in a financial institution, nor any debt~~

1 ~~instrument need be listed.~~

<del>Business Entity</del>	<del>Instrument of Ownership</del>
.....	.....
.....	.....
.....	.....
.....	.....

7 ~~2. List the name, address and type of practice of any~~  
8 ~~professional organization in which the person making the~~  
9 ~~statement was an officer, director, associate, partner or~~  
10 ~~proprietor or served in any advisory capacity, from which~~  
11 ~~income in excess of \$1,200 was derived during the preceding~~  
12 ~~calendar year.~~

<del>Name</del>	<del>Address</del>	<del>Type of Practice</del>
.....	.....	.....
.....	.....	.....
.....	.....	.....

17 ~~3. List the nature of professional services rendered (other~~  
18 ~~than to the State of Illinois) to each entity from which income~~  
19 ~~exceeding \$5,000 was received for professional services~~  
20 ~~rendered during the preceding calendar year by the person~~  
21 ~~making the statement.~~

.....
.....

24 ~~4. List the identity (including the address or legal~~  
25 ~~description of real estate) of any capital asset from which a~~  
26 ~~capital gain of \$5,000 or more was realized during the~~

1 ~~preceding calendar year.~~

2 ~~.....~~

3 ~~.....~~

4 ~~5. List the identity of any compensated lobbyist with whom~~  
5 ~~the person making the statement maintains a close economic~~  
6 ~~association, including the name of the lobbyist and specifying~~  
7 ~~the legislative matter or matters which are the object of the~~  
8 ~~lobbying activity, and describing the general type of economic~~  
9 ~~activity of the client or principal on whose behalf that person~~  
10 ~~is lobbying.~~

11	<del>Lobbyist</del>	<del>Legislative Matter</del>	<del>Client or Principal</del>
12	<del>.....</del>	<del>.....</del>	<del>.....</del>
13	<del>.....</del>	<del>.....</del>	<del>.....</del>

14 ~~6. List the name of any entity doing business in the State~~  
15 ~~of Illinois from which income in excess of \$1,200 was derived~~  
16 ~~during the preceding calendar year other than for professional~~  
17 ~~services and the title or description of any position held in~~  
18 ~~that entity. (In the case of real estate, location thereof~~  
19 ~~shall be listed by street address, or if none, then by legal~~  
20 ~~description). No time or demand deposit in a financial~~  
21 ~~institution nor any debt instrument need be listed.~~

22	<del>Entity</del>	<del>Position Held</del>
23	<del>.....</del>	<del>.....</del>
24	<del>.....</del>	<del>.....</del>
25	<del>.....</del>	<del>.....</del>

26 ~~7. List the name of any unit of government which employed~~

1 ~~the person making the statement during the preceding calendar~~  
2 ~~year other than the unit or units of government in relation to~~  
3 ~~which the person is required to file.~~

4 .....  
5 .....

6 ~~8. List the name of any entity from which a gift or gifts,~~  
7 ~~or honorarium or honoraria, valued singly or in the aggregate~~  
8 ~~in excess of \$500, was received during the preceding calendar~~  
9 ~~year.~~

10 .....

11 VERIFICATION:

12 ~~"I declare that this statement of economic interests~~  
13 ~~(including any accompanying schedules and statements) has been~~  
14 ~~examined by me and to the best of my knowledge and belief is a~~  
15 ~~true, correct and complete statement of my economic interests~~  
16 ~~as required by the Illinois Governmental Ethics Act. I~~  
17 ~~understand that the penalty for willfully filing a false or~~  
18 ~~incomplete statement shall be a fine not to exceed \$1,000 or~~  
19 ~~imprisonment in a penal institution other than the penitentiary~~  
20 ~~not to exceed one year, or both fine and imprisonment."~~

21 ..... ..

22 ~~(date of filing) (signature of person making the statement)~~

23 (Source: P.A. 95-173, eff. 1-1-08.)

24 (5 ILCS 420/4A-108)

25 Sec. 4A-108. Internet-based systems of filing.



1           (a) Notwithstanding any other provision of this Act or any  
2 other law, the Secretary of State and county clerks are  
3 authorized to institute an Internet-based system for the filing  
4 of statements of economic interests in their offices. With  
5 respect to county clerk systems, the determination to institute  
6 such a system shall be in the sole discretion of the county  
7 clerk and shall meet the requirements set out in this Section.  
8 With respect to a Secretary of State system, the determination  
9 to institute such a system shall be in the sole discretion of  
10 the Secretary of State and shall meet the requirements set out  
11 in this Section and those Sections of the State Officials and  
12 Employees Ethics Act requiring ethics officer review prior to  
13 filing. The system shall be capable of allowing an ethics  
14 officer to approve a statement of economic interests and shall  
15 include a means to amend a statement of economic interests.  
16 When this Section does not modify or remove the requirements  
17 set forth elsewhere in this Article, those requirements shall  
18 apply to any system of Internet-based filing authorized by this  
19 Section. When this Section does modify or remove the  
20 requirements set forth elsewhere in this Article, the  
21 provisions of this Section shall apply to any system of  
22 Internet-based filing authorized by this Section.

23           (b) In any system of Internet-based filing of statements of  
24 economic interests instituted by the Secretary of State or a  
25 county clerk:

26           (1) Any filing of an Internet-based statement of

1 economic interests shall be the equivalent of the filing of  
2 a verified, written statement of economic interests as  
3 required by Section 4A-101 or 4A-101.5 and the equivalent  
4 of the filing of a verified, dated, and signed statement of  
5 economic interests as required by Section 4A-103 ~~4A-104~~.

6 (2) The Secretary of State and county clerks who  
7 institute a system of Internet-based filing of statements  
8 of economic interests shall establish a password-protected  
9 website to receive the filings of such statements. A  
10 website established under this Section shall set forth and  
11 provide a means of responding to the items set forth in  
12 Section 4A-103 ~~4A-102~~ that are required of a person who  
13 files a statement of economic interests with that officer.  
14 A website established under this Section shall set forth  
15 and provide a means of generating a printable receipt page  
16 acknowledging filing.

17 (3) The times for the filing of statements of economic  
18 interests set forth in Section 4A-105 shall be followed in  
19 any system of Internet-based filing of statements of  
20 economic interests; provided that a candidate for elective  
21 office who is required to file a statement of economic  
22 interests in relation to his or her candidacy pursuant to  
23 Section 4A-105(a) shall receive a written or printed  
24 receipt for his or her filing.

25 A candidate filing for Governor, Lieutenant Governor,  
26 Attorney General, Secretary of State, Treasurer,

1 Comptroller, State Senate, ~~or~~ State House of  
2 Representatives, Supreme Court Judge, appellate court  
3 judge, circuit court judge, or judicial retention shall not  
4 use the Internet to file his or her statement of economic  
5 interests, but shall file his or her statement of economic  
6 interests in a written or printed form and shall receive a  
7 written or printed receipt for his or her filing. Annually,  
8 the duly appointed ethics officer for each legislative  
9 caucus shall certify to the Secretary of State whether his  
10 or her caucus members will file their statements of  
11 economic interests electronically or in a written or  
12 printed format for that year. If the ethics officer for a  
13 caucus certifies that the statements of economic interests  
14 shall be written or printed, then members of the General  
15 Assembly of that caucus shall not use the Internet to file  
16 his or her statement of economic interests, but shall file  
17 his or her statement of economic interests in a written or  
18 printed form and shall receive a written or printed receipt  
19 for his or her filing. If no certification is made by an  
20 ethics officer for a legislative caucus, or if a member of  
21 the General Assembly is not affiliated with a legislative  
22 caucus, then the affected member or members of the General  
23 Assembly may file their statements of economic interests  
24 using the Internet.

25 (4) In the first year of the implementation of a system  
26 of Internet-based filing of statements of economic

1 interests, each person required to file such a statement is  
2 to be notified in writing of his or her obligation to file  
3 his or her statement of economic interests by way of the  
4 Internet-based system. If access to the website ~~web-site~~  
5 requires a code or password, this information shall be  
6 included in the notice prescribed by this paragraph.

7 (5) When a person required to file a statement of  
8 economic interests has supplied the Secretary of State or a  
9 county clerk, as applicable, with an email address for the  
10 purpose of receiving notices under this Article by email, a  
11 notice sent by email to the supplied email address shall be  
12 the equivalent of a notice sent by first class mail, as set  
13 forth in Section 4A-106 or 4A-106.5. A person who has  
14 supplied such an email address shall notify the Secretary  
15 of State or county clerk, as applicable, when his or her  
16 email address changes or if he or she no longer wishes to  
17 receive notices by email.

18 (6) If any person who is required to file a statement  
19 of economic interests and who has chosen to receive notices  
20 by email fails to file his or her statement by May 10, then  
21 the Secretary of State or county clerk, as applicable,  
22 shall send an additional email notice on that date,  
23 informing the person that he or she has not filed and  
24 describing the penalties for late filing and failing to  
25 file. This notice shall be in addition to other notices  
26 provided for in this Article.

1           (7) The Secretary of State and each county clerk who  
2 institutes a system of Internet-based filing of statements  
3 of economic interests may also institute an Internet-based  
4 process for the filing of the list of names and addresses  
5 of persons required to file statements of economic  
6 interests by the chief administrative officers that must  
7 file such information with the Secretary of State or county  
8 clerk, as applicable, pursuant to Section 4A-106 or  
9 4A-106.5. Whenever the Secretary of State or a county clerk  
10 institutes such a system under this paragraph, every chief  
11 administrative officer must use the system to file this  
12 information.

13           (8) The Secretary of State and any county clerk who  
14 institutes a system of Internet-based filing of statements  
15 of economic interests shall post the contents of such  
16 statements filed with him or her available for inspection  
17 and copying on a publicly accessible website. Such postings  
18 shall not include the addresses or signatures of the  
19 filers.

20 (Source: P.A. 100-1041, eff. 1-1-19; 101-221, eff. 8-9-19;  
21 revised 9-12-19.)

22           (5 ILCS 420/4A-104 rep.)

23           Section 10. The Illinois Governmental Ethics Act is amended  
24 by repealing Section 4A-104.

1           Section 98. Applicability. The provisions of this  
2           amendatory Act of the 101st General Assembly concerning  
3           statements of economic interests shall apply to statements of  
4           economic interests filed in 2021 and for each year thereafter.  
5           Any statement of economic interests filed prior to 2021 shall  
6           apply the law in effect before the effective date of this  
7           amendatory Act of the 101st General Assembly.