



## 102ND GENERAL ASSEMBLY

### State of Illinois

2021 and 2022

HB3751

Introduced 2/22/2021, by Rep. Mark Batinick

#### SYNOPSIS AS INTRODUCED:

5 ILCS 420/4A-102	from Ch. 127, par. 604A-102
5 ILCS 420/4A-103	from Ch. 127, par. 604A-103

Amends the Illinois Governmental Ethics Act. Provides for the listing of additional interests on the statement of economic interests for members of the General Assembly and candidates for nomination or election to the General Assembly. Makes conforming changes.

LRB102 12739 RJF 18078 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**  
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended  
5 by changing Sections 4A-102 and 4A-103 as follows:

6 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

7 Sec. 4A-102. The statement of economic interests required  
8 by this Article shall include the economic interests of the  
9 person making the statement as provided in this Section. The  
10 interest (if constructively controlled by the person making  
11 the statement) of a spouse or any other party, shall be  
12 considered to be the same as the interest of the person making  
13 the statement. Campaign receipts shall not be included in this  
14 statement.

15 (a) The following interests shall be listed by all  
16 persons required to file:

17 (1) The name, address and type of practice of any  
18 professional organization or individual professional  
19 practice in which the person making the statement was  
20 an officer, director, associate, partner or  
21 proprietor, or served in any advisory capacity, from  
22 which income in excess of \$1200 was derived during the  
23 preceding calendar year;

1           (2) The nature of professional services (other  
2 than services rendered to the unit or units of  
3 government in relation to which the person is required  
4 to file) and the nature of the entity to which they  
5 were rendered if fees exceeding \$5,000 were received  
6 during the preceding calendar year from the entity for  
7 professional services rendered by the person making  
8 the statement.

9           (3) The identity (including the address or legal  
10 description of real estate) of any capital asset from  
11 which a capital gain of \$5,000 or more was realized in  
12 the preceding calendar year.

13           (4) The name of any unit of government which has  
14 employed the person making the statement during the  
15 preceding calendar year other than the unit or units  
16 of government in relation to which the person is  
17 required to file.

18           (5) The name of any entity from which a gift or  
19 gifts, or honorarium or honoraria, valued singly or in  
20 the aggregate in excess of \$500, was received during  
21 the preceding calendar year.

22           (b) The following interests shall also be listed by  
23 persons listed in items (a) through (f), item (l), item  
24 (n), and item (p) of Section 4A-101:

25           (1) The name and instrument of ownership in any  
26 entity doing business in the State of Illinois, in

1           which an ownership interest held by the person at the  
2           date of filing is in excess of \$5,000 fair market value  
3           or from which dividends of in excess of \$1,200 were  
4           derived during the preceding calendar year. (In the  
5           case of real estate, location thereof shall be listed  
6           by street address, or if none, then by legal  
7           description). No time or demand deposit in a financial  
8           institution, nor any debt instrument need be listed;

9           (2) Except for professional service entities, the  
10          name of any entity and any position held therein from  
11          which income of in excess of \$1,200 was derived during  
12          the preceding calendar year, if the entity does  
13          business in the State of Illinois. No time or demand  
14          deposit in a financial institution, nor any debt  
15          instrument need be listed.

16          (3) The identity of any compensated lobbyist with  
17          whom the person making the statement maintains a close  
18          economic association, including the name of the  
19          lobbyist and specifying the legislative matter or  
20          matters which are the object of the lobbying activity,  
21          and describing the general type of economic activity  
22          of the client or principal on whose behalf that person  
23          is lobbying.

24          (c) The following interests shall also be listed by  
25          persons listed in items (a) through (c) and item (e) of  
26          Section 4A-101.5:

1           (1) The name and instrument of ownership in any  
2           entity doing business with a unit of local government  
3           in relation to which the person is required to file if  
4           the ownership interest of the person filing is greater  
5           than \$5,000 fair market value as of the date of filing  
6           or if dividends in excess of \$1,200 were received from  
7           the entity during the preceding calendar year. (In the  
8           case of real estate, location thereof shall be listed  
9           by street address, or if none, then by legal  
10          description). No time or demand deposit in a financial  
11          institution, nor any debt instrument need be listed.

12          (2) Except for professional service entities, the  
13          name of any entity and any position held therein from  
14          which income in excess of \$1,200 was derived during  
15          the preceding calendar year if the entity does  
16          business with a unit of local government in relation  
17          to which the person is required to file. No time or  
18          demand deposit in a financial institution, nor any  
19          debt instrument need be listed.

20          (3) The name of any entity and the nature of the  
21          governmental action requested by any entity which has  
22          applied to a unit of local government in relation to  
23          which the person must file for any license, franchise  
24          or permit for annexation, zoning or rezoning of real  
25          estate during the preceding calendar year if the  
26          ownership interest of the person filing is in excess

1 of \$5,000 fair market value at the time of filing or if  
2 income or dividends in excess of \$1,200 were received  
3 by the person filing from the entity during the  
4 preceding calendar year.

5 (d) The following interests shall also be listed by  
6 members of the General Assembly and candidates for  
7 nomination or election to the General Assembly, including  
8 immediate family members of such persons:

9 (1) The names of all businesses with which he or  
10 she is associated.

11 (2) All sources of income, with a description of  
12 all income in excess of \$1,000.

13 (3) The names of securities in excess of \$5,000  
14 owned by the person making the statement or a  
15 relative.

16 (4) The existence of any known blind trusts.

17 (5) Real property owned by the person making the  
18 statement or relative, and its location (exempting  
19 primary place of residence).

20 (6) Names of creditors owed debts in excess of  
21 \$10,000.

22 (7) Contracts between the person making the  
23 statement or a relative and the State of Illinois.

24 (8) Any business association between the person  
25 making the statement or relative and a registered  
26 lobbyist.

1 For the purposes of this Section, the unit of local  
 2 government in relation to which a person required to file  
 3 under item (e) of Section 4A-101.5 shall be the unit of local  
 4 government that contributes to the pension fund of which such  
 5 person is a member of the board.

6 (Source: P.A. 101-221, eff. 8-9-19.)

7 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

8 Sec. 4A-103. The statement of economic interests required  
 9 by this Article to be filed with the Secretary of State shall  
 10 be filled in by typewriting or hand printing, shall be  
 11 verified, dated, and signed by the person making the statement  
 12 and shall contain substantially the following:

13 STATEMENT OF ECONOMIC INTEREST

14 (TYPE OR HAND PRINT)

15 .....

16 (name)

17 .....

18 (each office or position of employment for which this  
 19 statement is filed)

20 .....

21 (full mailing address)

22 GENERAL DIRECTIONS:

23 The interest (if constructively controlled by the person  
 24 making the statement) of a spouse or any other party, shall be  
 25 considered to be the same as the interest of the person making

1 the statement.

2 Campaign receipts shall not be included in this statement.

3 If additional space is needed, please attach supplemental  
4 listing.

5 1. List the name and instrument of ownership in any entity  
6 doing business in the State of Illinois, in which the  
7 ownership interest held by the person at the date of filing is  
8 in excess of \$5,000 fair market value or from which dividends  
9 in excess of \$1,200 were derived during the preceding calendar  
10 year. (In the case of real estate, location thereof shall be  
11 listed by street address, or if none, then by legal  
12 description.) No time or demand deposit in a financial  
13 institution, nor any debt instrument need be listed.

14 Business Entity	Instrument of Ownership
15 .....	.....
16 .....	.....
17 .....	.....
18 .....	.....

19 2. List the name, address and type of practice of any  
20 professional organization in which the person making the  
21 statement was an officer, director, associate, partner or  
22 proprietor or served in any advisory capacity, from which  
23 income in excess of \$1,200 was derived during the preceding  
24 calendar year.

25 Name	Address	Type of Practice
26 .....	.....	.....



1 .....  
2 .....

3 3. List the nature of professional services rendered  
4 (other than to the State of Illinois) to each entity from which  
5 income exceeding \$5,000 was received for professional services  
6 rendered during the preceding calendar year by the person  
7 making the statement.

8 .....  
9 .....

10 4. List the identity (including the address or legal  
11 description of real estate) of any capital asset from which a  
12 capital gain of \$5,000 or more was realized during the  
13 preceding calendar year.

14 .....  
15 .....

16 5. List the identity of any compensated lobbyist with whom  
17 the person making the statement maintains a close economic  
18 association, including the name of the lobbyist and specifying  
19 the legislative matter or matters which are the object of the  
20 lobbying activity, and describing the general type of economic  
21 activity of the client or principal on whose behalf that  
22 person is lobbying.

23	Lobbyist	Legislative Matter	Client or Principal
24	.....	.....	.....
25	.....	.....	.....

26 6. List the name of any entity doing business in the State

1 of Illinois from which income in excess of \$1,200 was derived  
 2 during the preceding calendar year other than for professional  
 3 services and the title or description of any position held in  
 4 that entity. (In the case of real estate, location thereof  
 5 shall be listed by street address, or if none, then by legal  
 6 description). No time or demand deposit in a financial  
 7 institution nor any debt instrument need be listed.

8 Entity	Position Held
9 .....	.....
10 .....	.....
11 .....	.....

12 7. List the name of any unit of government which employed  
 13 the person making the statement during the preceding calendar  
 14 year other than the unit or units of government in relation to  
 15 which the person is required to file.

16 .....

17 .....

18 8. List the name of any entity from which a gift or gifts,  
 19 or honorarium or honoraria, valued singly or in the aggregate  
 20 in excess of \$500, was received during the preceding calendar  
 21 year.

22 .....

23 9. List the names of all businesses with which he or she is  
 24 associated.

25 .....

26 .....

1       10. List all sources of income, with a description of all  
2 income in excess of \$1,000.

3       .....  
4       .....

5       11. List the names of securities in excess of \$5,000 owned  
6 by the person making the statement or a relative.

7       .....  
8       .....

9       12. List the existence of any known blind trusts.

10       .....  
11       .....

12       13. List the real property owned by the person making the  
13 statement or relative, and its location (exempting primary  
14 place of residence).

15       .....  
16       .....

17       14. List the names of creditors owed debts in excess of  
18 \$10,000.

19       .....  
20       .....

21       15. List any contracts between the person making the  
22 statement or a relative and the State of Illinois.

23       .....  
24       .....

25       16. List any business association between the person  
26 making the statement or relative and a registered lobbyist.

1 .....  
.....

2 .....  
.....

3 VERIFICATION:

4 "I declare that this statement of economic interests  
5 (including any accompanying schedules and statements) has been  
6 examined by me and to the best of my knowledge and belief is a  
7 true, correct and complete statement of my economic interests  
8 as required by the Illinois Governmental Ethics Act. I  
9 understand that the penalty for willfully filing a false or  
10 incomplete statement shall be a fine not to exceed \$1,000 or  
11 imprisonment in a penal institution other than the  
12 penitentiary not to exceed one year, or both fine and  
13 imprisonment."

14 .....  
.....

15 (date of filing) (signature of person making the statement)

16 (Source: P.A. 95-173, eff. 1-1-08.)