

Sen. Emil Jones, III

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10200SB1090sam001

LRB102 04913 SPS 25441 a

AMENDMENT TO SENATE BILL 1090

AMENDMENT NO. _____. Amend Senate Bill 1090 by replacing everything after the enacting clause with the following:

"Section 5. The Illinois Physical Therapy Act is amended by adding Sections 8.7 and 33.5 as follows:

6 (225 ILCS 90/8.7 new)

Sec. 8.7. Criminal history records background check. Each applicant for licensure under Sections 8, 8.1, and 11 shall have his or her fingerprints submitted to the Illinois State Police in an electronic format that complies with the form and manner for requesting and furnishing criminal history record information as prescribed by the Illinois State Police. These fingerprints shall be checked against the Illinois State Police and Federal Bureau of Investigation criminal history record databases now and hereafter filed. The Illinois State Police shall charge applicants a fee for conducting the

1	criminal history records check, which shall be deposited into
2	the State Police Services Fund and shall not exceed the actual
3	cost of the records check. The Illinois State Police shall
4	furnish, pursuant to positive identification, records of
5	Illinois convictions to the Department. The Department may
6	require applicants to pay a separate fingerprinting fee,
7	either to the Department or to a vendor designated or approved
8	by the Department. The Department, in its discretion, may
9	allow an applicant or licensee who does not have reasonable
10	access to a designated vendor to provide his or her
11	fingerprints in an alternative manner. Communication between
12	the Department and an interstate compact governing body or
13	other entities may not include information received from the
14	Federal Bureau of Investigation relating to a State and
15	federal criminal history records check. The Department may
16	adopt any rules necessary to implement this Section.

- 17 (225 ILCS 90/33.5 new)
- Sec. 33.5. Physical Therapy Licensure Compact. The State 18
- 19 of Illinois ratifies and approves the following Compact:

20 PHYSICAL THERAPY LICENSURE COMPACT

21 SECTION 1. PURPOSE

22 The purpose of this Compact is to facilitate interstate 23 practice of physical therapy with the goal of improving public

1	access to physical therapy services. The practice of physical
2	therapy occurs in the state where the patient/client is
3	located at the time of the patient/client encounter. The
4	Compact preserves the regulatory authority of states to
5	protect public health and safety through the current system of
6	state licensure.
7	This Compact is designed to achieve the following
8	objectives:
9	1. Increase public access to physical therapy services
10	by providing for the mutual recognition of other member
11	<pre>state licenses;</pre>
12	2. Enhance the states' ability to protect the public's
13	health and safety;
14	3. Encourage the cooperation of member states in
15	regulating multi-state physical therapy practice;
16	4. Support spouses of relocating military members;
17	5. Enhance the exchange of licensure, investigative,
18	and disciplinary information between member states; and
19	6. Allow a remote state to hold a provider of services
20	with a compact privilege in that state accountable to that
21	state's practice standards.
22	SECTION 2. DEFINITIONS
23	As used in this Compact, and except as otherwise provided,
24	the following definitions shall apply:
25	1. "Active Duty Military" means full-time duty status in

- 1 the active uniformed service of the United States, including
- members of the National Guard and Reserve on active duty 2
- orders pursuant to 10 U.S.C. Section 1209 and 1211. 3
- 4 2. "Adverse Action" means disciplinary action taken by a
- 5 physical therapy licensing board based upon misconduct,
- unacceptable performance, or a combination of both. 6
- 3. "Alternative Program" means a non-disciplinary 7
- monitoring or practice remediation process approved by a 8
- 9 physical therapy licensing board. This includes, but is not
- 10 limited to, substance abuse issues.
- 11 4. "Compact privilege" means the authorization granted by
- a remote state to allow a licensee from another member state to 12
- 13 practice as a physical therapist or work as a physical
- 14 therapist assistant in the remote state under its laws and
- 15 rules. The practice of physical therapy occurs in the member
- 16 state where the patient/client is located at the time of the
- 17 patient/client encounter.
- 5. "Continuing competence" means a requirement, as a 18
- 19 condition of license renewal, to provide evidence of
- 20 participation in, and/or completion of, educational and
- professional activities relevant to practice or area of work. 2.1
- 6. "Data system" means a repository of information about 22
- licensees, including examination, licensure, investigative, 23
- 24 compact privilege, and adverse action.
- 25 7. "Encumbered license" means a license that a physical
- 26 therapy licensing board has limited in any way.

- 1 8. "Executive Board" means a group of directors elected or
- appointed to act on behalf of, and within the powers granted to 2
- 3 them by, the Commission.
- 4 9. "Home state" means the member state that is the
- 5 licensee's primary state of residence.
- 10. "Investigative information" means information, 6
- records, and documents received or generated by a physical 7
- therapy licensing board pursuant to an investigation. 8
- 9 11. "Jurisprudence Requirement" means the assessment of an
- 10 individual's knowledge of the laws and rules governing the
- 11 practice of physical therapy in a state.
- 12. "Licensee" means an individual who currently holds an 12
- 13 authorization from the state to practice as a physical
- 14 therapist or to work as a physical therapist assistant.
- 15 13. "Member state" means a state that has enacted the
- 16 Compact.
- 14. "Party state" means any member state in which a 17
- licensee holds a current license or compact privilege or is 18
- 19 applying for a license or compact privilege.
- 20 15. "Physical therapist" means an individual who is
- 2.1 licensed by a state to practice physical therapy.
- 22 16. "Physical therapist assistant" means an individual who
- is licensed/certified by a state and who assists the physical 23
- 24 therapist in selected components of physical therapy.
- 25 17. "Physical therapy," "physical therapy practice," and
- 26 "the practice of physical therapy" mean the care and services

1	provided	by	or	under	the	direction	and	supervision	of	а
2	licensed	phys	sical	. thera	pist.					

- 18. "Physical Therapy Compact Commission" or "Commission" 3
- 4 means the national administrative body whose membership
- 5 consists of all states that have enacted the Compact.
- 19. "Physical therapy licensing board" or "licensing 6
- 7 board" means the agency of a state that is responsible for the
- licensing and regulation of physical therapists and physical 8
- 9 therapist assistants.
- 10 20. "Remote State" means a member state other than the
- home state, where a licensee is exercising or seeking to 11
- 12 exercise the compact privilege.
- 13 21. "Rule" means a regulation, principle, or directive
- 14 promulgated by the Commission that has the force of law.
- 15 22. "State" means any state, commonwealth, district, or
- territory of the United States of America that regulates the 16
- 17 practice of physical therapy.

18 SECTION 3. STATE PARTICIPATION IN THE COMPACT

- 19 A. To participate in the Compact, a state must:
- 20 1. Participate fully in the Commission's data system,
- 21 including using the Commission's unique identifier as
- 22 defined in rules;
- 2. Have a mechanism in place for receiving and 23
- 24 investigating complaints about licensees;
- 25 3. Notify the Commission, in compliance with the terms

1	of the Compact and rules, of any adverse action or the
2	availability of investigative information regarding a
3	licensee;
4	4. Fully implement a criminal background check
5	requirement, within a time frame established by rule, by
6	receiving the results of the Federal Bureau of
7	Investigation record search on criminal background checks
8	and use the results in making licensure decisions in
9	accordance with Section 3.B.;
10	5. Comply with the rules of the Commission;
11	6. Utilize a recognized national examination as a
12	requirement for licensure pursuant to the rules of the
13	Commission; and
14	7. Have continuing competence requirements as a
15	condition for license renewal.
16	B. Upon adoption of this statute, the member state shall
17	have the authority to obtain biometric-based information from
18	each physical therapy licensure applicant and submit this
19	information to the Federal Bureau of Investigation for a
20	criminal background check in accordance with 28 U.S.C. §534
21	and 42 U.S.C. §14616.
22	C. A member state shall grant the compact privilege to a
23	licensee holding a valid unencumbered license in another
24	member state in accordance with the terms of the Compact and
25	<u>rules.</u>

D. Member states may charge a fee for granting a compact

1 <u>privilege</u>

2	SECTION 4. COMPACT PRIVILEGE
3	A. To exercise the compact privilege under the terms and
4	provisions of the Compact, the licensee shall:
5	1. Hold a license in the home state;
6	2. Have no encumbrance on any state license;
7	3. Be eligible for a compact privilege in any member
8	state in accordance with Section 4D, G and H;
9	4. Have not had any adverse action against any license
10	or compact privilege within the previous 2 years;
11	5. Notify the Commission that the licensee is seeking
12	the compact privilege within a remote state(s);
13	6. Pay any applicable fees, including any state fee,
14	for the compact privilege;
15	7. Meet any jurisprudence requirements established by
16	the remote state(s) in which the licensee is seeking a
17	<pre>compact privilege; and</pre>
18	8. Report to the Commission adverse action taken by
19	any non-member state within 30 days from the date the
20	adverse action is taken.
21	B. The compact privilege is valid until the expiration
22	date of the home license. The licensee must comply with the
23	requirements of Section 4.A. to maintain the compact privilege
24	in the remote state.
25	C. A licensee providing physical therapy in a remote state

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1	under	the	compact	privilege	shall	function	within	the	laws	and
2	regula	atio	ns of the	e remote st	tate.					

- D. A licensee providing physical therapy in a remote state is subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's laws, remove a licensee's compact privilege in the remote state for a specific period of time, impose fines, and/or take any other necessary actions to protect the health and safety of its citizens. The licensee is not eligible for a compact privilege in any state until the specific time for removal has passed and all fines are paid.
- E. If a home state license is encumbered, the licensee

 shall lose the compact privilege in any remote state until the

 following occur:
 - 1. The home state license is no longer encumbered; and
- 16 <u>2. Two years have elapsed from the date of the adverse</u>
 17 action.
- F. Once an encumbered license in the home state is

 restored to good standing, the licensee must meet the

 requirements of Section 4A to obtain a compact privilege in

 any remote state.
- 22 <u>G. If a licensee's compact privilege in any remote state</u>
 23 <u>is removed, the individual shall lose the compact privilege in</u>
 24 any remote state until the following occur:
- 25 <u>1. The specific period of time for which the compact</u> 26 privilege was removed has ended;

1	2. All fines have been paid; and
2	3. Two years have elapsed from the date of the adverse
3	action.
4	H. Once the requirements of Section 4G have been met, the
5	license must meet the requirements in Section 4A to obtain a
6	<pre>compact privilege in a remote state.</pre>
7	SECTION 5. ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES
8	A licensee who is active duty military or is the spouse of
9	an individual who is active duty military may designate one of
10	the following as the home state:
11	A. Home of record;
12	B. Permanent Change of Station (PCS); or
13	C. State of current residence if it is different than the
14	PCS state or home of record.
15	SECTION 6. ADVERSE ACTIONS
16	A. A home state shall have exclusive power to impose
17	adverse action against a license issued by the home state.
18	B. A home state may take adverse action based on the
19	investigative information of a remote state, so long as the
20	home state follows its own procedures for imposing adverse
21	action.
22	C. Nothing in this Compact shall override a member state's
23	decision that participation in an alternative program may be
24	used in lieu of adverse action and that such participation

- shall remain non-public if required by the member state's 1 laws. Member states must require licensees who enter any 2
- alternative programs in lieu of discipline to agree not to 3
- 4 practice in any other member state during the term of the
- 5 alternative program without prior authorization from such
- 6 other member state.
- 7 D. Any member state may investigate actual or alleged
- violations of the statutes and rules authorizing the practice 8
- 9 of physical therapy in any other member state in which a
- 10 physical therapist or physical therapist assistant holds a
- license or compact privilege. 11
- 12 E. A remote state shall have the authority to:
- 13 1. Take adverse actions as set forth in Section 4.D.
- 14 against a licensee's compact privilege in the state;
- 15 2. Issue subpoenas for both hearings and
- 16 investigations that require the attendance and testimony
- of witnesses, and the production of evidence. Subpoenas 17
- issued by a physical therapy licensing board in a party 18
- 19 state for the attendance and testimony of witnesses,
- 20 and/or the production of evidence from another party
- 2.1 state, shall be enforced in the latter state by any court
- of competent jurisdiction, according to the practice and 22
- 23 procedure of that court applicable to subpoenas issued in
- 24 proceedings pending before it. The issuing authority shall
- 2.5 pay any witness fees, travel expenses, mileage, and other
- 26 fees required by the service statutes of the state where

the witnesses and/or evidence are located; and

2	3. If otherwise permitted by state law, recover from
3	the licensee the costs of investigations and disposition
4	of cases resulting from any adverse action taken against
5	that licensee.
6	F. Joint Investigations
7	1. In addition to the authority granted to a member
8	state by its respective physical therapy practice act or
9	other applicable state law, a member state may participate
10	with other member states in joint investigations of
11	<u>licensees.</u>
12	2. Member states shall share any investigative,
13	litigation, or compliance materials in furtherance of any
14	joint or individual investigation initiated under the
15	Compact.
16	SECTION 7. ESTABLISHMENT OF THE PHYSICAL THERAPY COMPACT
17	COMMISSION
18	A. The Compact member states hereby create and establish a
19	joint public agency known as the Physical Therapy Compact
20	<pre>Commission:</pre>
21	1. The Commission is an instrumentality of the Compact
22	states.
23	2. Venue is proper and judicial proceedings by or
24	against the Commission shall be brought solely and
25	exclusively in a court of competent jurisdiction where the

1	principal office of the Commission is located. The
2	Commission may waive venue and jurisdictional defenses to
3	the extent it adopts or consents to participate in
4	alternative dispute resolution proceedings.
5	3. Nothing in this Compact shall be construed to be a
6	waiver of sovereign immunity.
7	B. Membership, Voting, and Meetings
8	1. Each member state shall have and be limited to one
9	(1) delegate selected by that member state's licensing
10	board.
11	2. The delegate shall be a current member of the
12	licensing board, who is a physical therapist, physical
13	therapist assistant, public member, or the board
14	administrator.
15	3. Any delegate may be removed or suspended from
16	office as provided by the law of the state from which the
17	delegate is appointed.
18	4. The member state board shall fill any vacancy
19	occurring in the Commission.
20	5. Each delegate shall be entitled to one (1) vote
21	with regard to the promulgation of rules and creation of
22	bylaws and shall otherwise have an opportunity to
23	participate in the business and affairs of the Commission.
24	6. A delegate shall vote in person or by such other
25	means as provided in the bylaws. The bylaws may provide
26	for delegates' participation in meetings by telephone or

1	other means of communication.
2	7. The Commission shall meet at least once during each
3	calendar year. Additional meetings shall be held as set
4	forth in the bylaws.
5	C. The Commission shall have the following powers and
6	<u>duties:</u>
7	1. Establish the fiscal year of the Commission;
8	2. Establish bylaws;
9	3. Maintain its financial records in accordance with
10	the bylaws;
11	4. Meet and take such actions as are consistent with
12	the provisions of this Compact and the bylaws;
13	5. Promulgate uniform rules to facilitate and
14	coordinate implementation and administration of this
15	Compact. The rules shall have the force and effect of law
16	and shall be binding in all member states;
17	6. Bring and prosecute legal proceedings or actions in
18	the name of the Commission, provided that the standing of
19	any state physical therapy licensing board to sue or be
20	sued under applicable law shall not be affected;
21	7. Purchase and maintain insurance and bonds;
22	8. Borrow, accept, or contract for services of
23	personnel, including, but not limited to, employees of a
24	<pre>member state;</pre>
25	9. Hire employees, elect or appoint officers, fix
26	compensation, define duties, grant such individuals

1	appropriate authority to carry out the purposes of the
2	Compact, and to establish the Commission's personnel
3	policies and programs relating to conflicts of interest,
4	qualifications of personnel, and other related personnel
5	<pre>matters;</pre>
6	10. Accept any and all appropriate donations and
7	grants of money, equipment, supplies, materials and
8	services, and to receive, utilize and dispose of the same;
9	provided that at all times the Commission shall avoid any
10	appearance of impropriety and/or conflict of interest;
11	11. Lease, purchase, accept appropriate gifts or
12	donations of, or otherwise to own, hold, improve or use,
13	any property, real, personal or mixed; provided that at
14	all times the Commission shall avoid any appearance of
15	<pre>impropriety;</pre>
16	12. Sell convey, mortgage, pledge, lease, exchange,
17	abandon, or otherwise dispose of any property real,
18	personal, or mixed;
19	13. Establish a budget and make expenditures;
20	14. Borrow money;
21	15. Appoint committees, including standing committees
22	composed of members, state regulators, state legislators
23	or their representatives, and consumer representatives,
24	and such other interested persons as may be designated in
25	this Compact and the bylaws;
26	16. Provide and receive information from, and

Τ	cooperate with, law enforcement agencies;
2	17. Establish and elect an Executive Board; and
3	18. Perform such other functions as may be necessary
4	or appropriate to achieve the purposes of this Compact
5	consistent with the state regulation of physical therapy
6	licensure and practice.
7	D. The Executive Board
8	The Executive Board shall have the power to act on behalf
9	of the Commission according to the terms of this Compact
10	1. The Executive Board shall be composed of nine
11	members:
12	a. Seven voting members who are elected by the
13	Commission from the current membership of the
14	<pre>Commission;</pre>
15	b. One ex-officio, nonvoting member from the
16	recognized national physical therapy professional
17	association; and
18	c. One ex-officio, nonvoting member from the
19	recognized membership organization of the physical
20	therapy licensing boards.
21	2. The ex-officio members will be selected by their
22	respective organizations.
23	3. The Commission may remove any member of the
24	Executive Board as provided in bylaws.
25	4. The Executive Board shall meet at least annually.
26	5. The Executive Board shall have the following Duties

1	and responsibilities:
2	a. Recommend to the entire Commission changes to
3	the rules or bylaws, changes to this Compact
4	legislation, fees paid by Compact member states such
5	as annual dues, and any commission Compact fee charged
6	to licensees for the compact privilege;
7	b. Ensure Compact administration services are
8	appropriately provided, contractual or otherwise;
9	c. Prepare and recommend the budget;
10	d. Maintain financial records on behalf of the
11	<pre>Commission;</pre>
12	e. Monitor Compact compliance of member states and
13	provide compliance reports to the Commission;
14	f. Establish additional committees as necessary;
15	<u>and</u>
16	g. Other duties as provided in rules or bylaws.
17	E. Meetings of the Commission
18	1. All meetings shall be open to the public, and
19	public notice of meetings shall be given in the same
20	manner as required under the rulemaking provisions in
21	Section 9.
22	2. The Commission or the Executive Board or other
23	committees of the Commission may convene in a closed,
24	non-public meeting if the Commission or Executive Board or
25	other committees of the Commission must discuss:
26	a. Non-compliance of a member state with its

obligations under the Compact;

other matters, practices or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures; c. Current, threatened, or reasonably anticipate litigation; d. Negotiation of contracts for the purchase lease, or sale of goods, services, or real estate; e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential; g. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of or for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or		
specific employees or other matters related to the Commission's internal personnel practices and procedures; c. Current, threatened, or reasonably anticipate litigation; d. Negotiation of contracts for the purchase lease, or sale of goods, services, or real estate; e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential; g. Disclosure of information of a personal nature where disclosure would constitute a clearled unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to and investigative reports prepared by or on behalf of or for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	2	b. The employment, compensation, discipline or
Commission's internal personnel practices and procedures; c. Current, threatened, or reasonably anticipate litigation; d. Negotiation of contracts for the purchase lease, or sale of goods, services, or real estate; e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential; q. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of or for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	3	other matters, practices or procedures related to
c. Current, threatened, or reasonably anticipate litigation; d. Negotiation of contracts for the purchase lease, or sale of goods, services, or real estate; e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial o financial information that is privileged o confidential; g. Disclosure of information of a personal natur where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	4	specific employees or other matters related to the
c. Current, threatened, or reasonably anticipate litigation; d. Negotiation of contracts for the purchase lease, or sale of goods, services, or real estate; e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential; g. Disclosure of information of a personal natur where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of or for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	5	Commission's internal personnel practices and
d. Negotiation of contracts for the purchase lease, or sale of goods, services, or real estate; e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial of financial information that is privileged of confidential; g. Disclosure of information of a personal natur where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of of for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	6	procedures;
d. Negotiation of contracts for the purchase lease, or sale of goods, services, or real estate; e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial o financial information that is privileged o confidential; g. Disclosure of information of a personal natur where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	7	c. Current, threatened, or reasonably anticipated
lease, or sale of goods, services, or real estate; e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial of financial information that is privileged of confidential; g. Disclosure of information of a personal natur where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of of for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	8	litigation;
e. Accusing any person of a crime or formall censuring any person; f. Disclosure of trade secrets or commercial of financial information that is privileged of confidential; g. Disclosure of information of a personal natur where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of of for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	9	d. Negotiation of contracts for the purchase,
censuring any person; f. Disclosure of trade secrets or commercial of financial information that is privileged of confidential; g. Disclosure of information of a personal nature where disclosure would constitute a clear unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of of for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	10	lease, or sale of goods, services, or real estate;
f. Disclosure of trade secrets or commercial of financial information that is privileged of confidential; g. Disclosure of information of a personal nature where disclosure would constitute a clearled unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of of for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	11	e. Accusing any person of a crime or formally
financial information that is privileged of confidential; g. Disclosure of information of a personal nature where disclosure would constitute a clearled unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	12	censuring any person;
confidential; q. Disclosure of information of a personal natur where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	13	f. Disclosure of trade secrets or commercial or
g. Disclosure of information of a personal nature where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	14	financial information that is privileged or
where disclosure would constitute a clearl unwarranted invasion of personal privacy; h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	15	<pre>confidential;</pre>
h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	16	g. Disclosure of information of a personal nature
h. Disclosure of investigative records compile for law enforcement purposes; i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	17	where disclosure would constitute a clearly
i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	18	unwarranted invasion of personal privacy;
i. Disclosure of information related to an investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	19	h. Disclosure of investigative records compiled
investigative reports prepared by or on behalf of o for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	20	for law enforcement purposes;
for use of the Commission or other committee charge with responsibility of investigation or determinatio of compliance issues pursuant to the Compact; or	21	i. Disclosure of information related to any
with responsibility of investigation or determination of compliance issues pursuant to the Compact; or	22	investigative reports prepared by or on behalf of or
of compliance issues pursuant to the Compact; or	23	for use of the Commission or other committee charged
	24	with responsibility of investigation or determination
j. Matters specifically exempted from disclosur	25	of compliance issues pursuant to the Compact; or
	26	j. Matters specifically exempted from disclosure

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- 3. If a meeting, or portion of a meeting, is closed pursuant to this provision, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision.
- 4. The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the Commission or order of a court of competent jurisdiction.

F. Financing of the Commission

- 1. The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.
- 2. The Commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services.
- 3. The Commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the Commission and its staff, which must be in a total

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amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the Commission, which shall promulgate a rule binding upon all member states.

- 4. The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the member states, except by and with the authority of the member state.
- 5. The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the Commission.

G. Qualified Immunity, Defense, and Indemnification

1. The members, officers, executive director, employees and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil

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liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit and/or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person.

2. The Commission shall defend any member, officer, executive director, employee or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.

3. The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or

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representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.

SECTION 8. DATA SYSTEM

- A. The Commission shall provide for the development, maintenance, and utilization of a coordinated database and reporting system containing licensure, adverse action, and investigative information on all licensed individuals in member states.
- 17 B. Notwithstanding any other provision of state law to the 18 contrary, a member state shall submit a uniform data set to the 19 data system on all individuals to whom this Compact is applicable as required by the rules of the Commission, 20 21 including:
- 22 1. Identifying information;
- 23 2. Licensure data;
- 24 3. Adverse actions against a license or compact 25 privilege;

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1	4. Non-confidential information related to alternative
2	program participation;
3	5. Any denial of application for licensure, and the
4	reason(s) for such denial; and
5	6. Other information that may facilitate the
6	administration of this Compact, as determined by the rules
7	of the Commission.
8	C. Investigative information pertaining to a licensee in
9	any member state will only be available to other party states.
10	D. The Commission shall promptly notify all member states
11	of any adverse action taken against a licensee or an
12	individual applying for a license. Adverse action information
13	pertaining to a licensee in any member state will be available
14	to any other member state.
15	E. Member states contributing information to the data
16	system may designate information that may not be shared with
17	the public without the express permission of the contributing
18	<u>state.</u>
19	F. Any information submitted to the data system that is
20	subsequently required to be expunded by the laws of the member
21	state contributing the information shall be removed from the
22	data system.
23	SECTION 9. RULEMAKING

A. The Commission shall exercise its rulemaking powers

pursuant to the criteria set forth in this Section and the

1	rules adopted thereunder. Rules and amendments shall become
2	binding as of the date specified in each rule or amendment.
3	B. If a majority of the legislatures of the member states
4	rejects a rule, by enactment of a statute or resolution in the
5	same manner used to adopt the Compact within 4 years of the
6	date of adoption of the rule, then such rule shall have no
7	further force and effect in any member state.
8	C. Rules or amendments to the rules shall be adopted at a
9	regular or special meeting of the Commission.
10	D. Prior to promulgation and adoption of a final rule or
11	rules by the Commission, and at least thirty (30) days in
12	advance of the meeting at which the rule will be considered and
13	voted upon, the Commission shall file a Notice of Proposed
14	Rulemaking:
15	1. On the website of the Commission or other publicly
16	accessible platform; and
17	2. On the website of each member state physical
18	therapy licensing board or other publicly accessible
19	platform or the publication in which each state would
20	otherwise publish proposed rules.
21	E. The Notice of Proposed Rulemaking shall include:
22	1. The proposed time, date, and location of the
23	meeting in which the rule will be considered and voted
24	upon;
25	2. The text of the proposed rule or amendment and the

reason for the proposed rule;

1	3. A request for comments on the proposed rule from
2	any interested person; and
3	4. The manner in which interested persons may submit
4	notice to the Commission of their intention to attend the
5	public hearing and any written comments.
6	F. Prior to adoption of a proposed rule, the Commission
7	shall allow persons to submit written data, facts, opinions,
8	and arguments, which shall be made available to the public.
9	G. The Commission shall grant an opportunity for a public
10	hearing before it adopts a rule or amendment if a hearing is
11	requested by:
12	1. At least twenty-five (25) persons;
13	2. A state or federal governmental subdivision or
14	agency; or
15	3. An association having at least twenty-five (25)
16	members.
17	H. If a hearing is held on the proposed rule or amendment,
18	the Commission shall publish the place, time, and date of the
19	scheduled public hearing. If the hearing is held via
20	electronic means, the Commission shall publish the mechanism
21	for access to the electronic hearing.
22	1. All persons wishing to be heard at the hearing
23	shall notify the executive director of the Commission or
24	other designated member in writing of their desire to
25	appear and testify at the hearing not less than five (5)
26	business days before the scheduled date of the hearing.

1	2. Hearings shall be conducted in a manner providing
2	each person who wishes to comment a fair and reasonable
3	opportunity to comment orally or in writing.
4	3. All hearings will be recorded. A copy of the
5	recording will be made available on request.
6	4. Nothing in this section shall be construed as
7	requiring a separate hearing on each rule. Rules may be
8	grouped for the convenience of the Commission at hearings
9	required by this Section.
10	I. Following the scheduled hearing date, or by the close
11	of business on the scheduled hearing date if the hearing was
12	not held, the Commission shall consider all written and oral
13	comments received.
14	J. If no written notice of intent to attend the public
15	hearing by interested parties is received, the Commission may
16	proceed with promulgation of the proposed rule without a
17	<pre>public hearing.</pre>
18	K. The Commission shall, by majority vote of all members,
19	take final action on the proposed rule and shall determine the
20	effective date of the rule, if any, based on the rulemaking
21	record and the full text of the rule.
22	L. Upon determination that an emergency exists, the
23	Commission may consider and adopt an emergency rule without
24	prior notice, opportunity for comment, or hearing, provided
25	that the usual rulemaking procedures provided in the Compact
26	and in this section shall be retroactively applied to the rule

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- (90) days after the effective date of the rule. For the 2
- purposes of this provision, an emergency rule is one that must 3
- 4 be adopted immediately in order to:
- 5 1. Meet an imminent threat to public health, safety,
- 6 or welfare;
- 2. Prevent a loss of Commission or member state funds; 7
- 3. Meet a deadline for the promulgation of an 8
- 9 administrative rule that is established by federal law or
- 10 rule; or
- 11 4. Protect public health and safety.
- M. The Commission or an authorized committee of the 12
- 13 Commission may direct revisions to a previously adopted rule
- 14 or amendment for purposes of correcting typographical errors,
- 15 errors in format, errors in consistency, or grammatical
- 16 errors. Public notice of any revisions shall be posted on the
- website of the Commission. The revision shall be subject to 17
- challenge by any person for a period of thirty (30) days after 18
- 19 posting. The revision may be challenged only on grounds that
- 20 the revision results in a material change to a rule. A
- challenge shall be made in writing, and delivered to the chair 21
- 22 of the Commission prior to the end of the notice period. If no
- challenge is made, the revision will take effect without 23
- 24 further action. If the revision is challenged, the revision
- 25 may not take effect without the approval of the Commission.

1	SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT
2	A. Oversight
3	1. The executive, legislative, and judicial branches
4	of state government in each member state shall enforce
5	this Compact and take all actions necessary and
6	appropriate to effectuate the Compact's purposes and
7	intent. The provisions of this Compact and the rules
8	promulgated hereunder shall have standing as statutory
9	<pre>law.</pre>
10	2. All courts shall take judicial notice of the
11	Compact and the rules in any judicial or administrative
12	proceeding in a member state pertaining to the subject
13	matter of this Compact which may affect the powers,
14	responsibilities or actions of the Commission.
15	3. The Commission shall be entitled to receive service
16	of process in any such proceeding, and shall have standing
17	to intervene in such a proceeding for all purposes.
18	Failure to provide service of process to the Commission
19	shall render a judgment or order void as to the
20	Commission, this Compact, or promulgated rules.
21	B. Default, Technical Assistance, and Termination
22	1. If the Commission determines that a member state
23	has defaulted in the performance of its obligations or
24	responsibilities under this Compact or the promulgated
25	rules, the Commission shall:
26	a. Provide written notice to the defaulting state

1	and other member states of the nature of the default,
2	the proposed means of curing the default and/or any
3	other action to be taken by the Commission; and
4	b. Provide remedial training and specific
5	technical assistance regarding the default.
6	2. If a state in default fails to cure the default, the
7	defaulting state may be terminated from the Compact upon
8	an affirmative vote of a majority of the member states,
9	and all rights, privileges and benefits conferred by this
10	Compact may be terminated on the effective date of
11	termination. A cure of the default does not relieve the
12	offending state of obligations or liabilities incurred
13	during the period of default.
14	3. Termination of membership in the Compact shall be
15	imposed only after all other means of securing compliance
16	have been exhausted. Notice of intent to suspend or
17	terminate shall be given by the Commission to the
18	governor, the majority and minority leaders of the
19	defaulting state's legislature, and each of the member
20	states.
21	4. A state that has been terminated is responsible for
22	all assessments, obligations, and liabilities incurred
23	through the effective date of termination, including
24	obligations that extend beyond the effective date of
25	termination.
26	5. The Commission shall not bear any costs related to

1	a state that is found to be in default or that has been
2	terminated from the Compact, unless agreed upon in writing
3	between the Commission and the defaulting state.
4	6. The defaulting state may appeal the action of the
5	Commission by petitioning the U.S. District Court for the
6	District of Columbia or the federal district where the
7	Commission has its principal offices. The prevailing
8	member shall be awarded all costs of such litigation,
9	including reasonable attorney's fees.
10	C. Dispute Resolution
11	1. Upon request by a member state, the Commission
12	shall attempt to resolve disputes related to the Compact
13	that arise among member states and between member and
14	non-member states.
15	2. The Commission shall promulgate a rule providing
16	for both mediation and binding dispute resolution for
17	disputes as appropriate.
18	D. Enforcement
19	1. The Commission, in the reasonable exercise of its
20	discretion, shall enforce the provisions and rules of this
21	Compact.
22	2. By majority vote, the Commission may initiate legal
23	action in the United States District Court for the
24	District of Columbia or the federal district where the
25	Commission has its principal offices against a member

state in default to enforce compliance with the provisions

1	of the Compact and its promulgated rules and bylaws. The
2	relief sought may include both injunctive relief and
3	damages. In the event judicial enforcement is necessary
1	the prevailing member shall be awarded all costs of such
5	litigation, including reasonable attorney's fees.

3. The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

SECTION 11. DATE OF IMPLEMENTATION OF THE INTERSTATE COMMISSION FOR PHYSICAL THERAPY PRACTICE AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT

A. The Compact shall come into effect on the date on which the Compact statute is enacted into law in the tenth member state. The provisions, which become effective at that time, shall be limited to the powers granted to the Commission relating to assembly and the promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the Compact.

B. Any state that joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.

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1	C. Any member state may withdraw from this Compact by
2	enacting a statute repealing the same.
3	1. A member state's withdrawal shall not take effect
4	until six (6) months after enactment of the repealing
5	statute.
6	2. Withdrawal shall not affect the continuing
7	requirement of the withdrawing state's physical therapy
8	licensing board to comply with the investigative and
9	adverse action reporting requirements of this act prior to
10	the effective date of withdrawal.
11	D. Nothing contained in this Compact shall be construed to
12	invalidate or prevent any physical therapy licensure agreement
13	or other cooperative arrangement between a member state and a
14	non-member state that does not conflict with the provisions of
15	this Compact.
16	E. This Compact may be amended by the member states. No
17	amendment to this Compact shall become effective and binding
18	upon any member state until it is enacted into the laws of all
19	member states.
20	SECTION 12. CONSTRUCTION AND SEVERABILITY
21	This Compact shall be liberally construed so as to
22	effectuate the purposes thereof. The provisions of this
23	Compact shall be severable and if any phrase, clause, sentence

or provision of this Compact is declared to be contrary to the

constitution of any party state or of the United States or the

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applicability thereof to any government, agency, person or circumstance is held invalid, the validity of the remainder of this Compact and the applicability thereof to any government, agency, person or circumstance shall not be affected thereby. If this Compact shall be held contrary to the constitution of any party state, the Compact shall remain in full force and effect as to the remaining party states and in full force and effect as to the party state affected as to all severable matters.".