

103RD GENERAL ASSEMBLY State of Illinois 2023 and 2024 HB1032

Introduced 1/12/2023, by Rep. Mary E. Flowers

SYNOPSIS AS INTRODUCED:

210 ILCS 135/4

from Ch. 91 1/2, par. 1704

Amends the Community-Integrated Living Arrangements Licensure and Certification Act. Provides that licenses for community mental health or developmental services agencies are valid for 2 years (rather than 3 years). Provides that if a community mental health or developmental services agency receives a compliance score as the result of a survey or audit from the Department of Human Services or the Bureau of Accreditation, Licensure and Certification that is less than acceptably compliant, the agency shall implement a plan of corrections to address the violations listed in the survey or audit and may be subject to additional sanctions based on the agency's compliance score, including, but not limited to, a freeze on admissions or revocation of the agency's license.

LRB103 04885 CPF 49895 b

1 AN ACT concerning regulation.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Community-Integrated Living Arrangements
 Licensure and Certification Act is amended by changing Section
 4 as follows:
- 7 (210 ILCS 135/4) (from Ch. 91 1/2, par. 1704)
- 8 (Text of Section before amendment by P.A. 102-944)
- 9 Sec. 4. (a) Any community mental health or developmental services agency who wishes to develop and support a variety of 10 11 community-integrated living arrangements may do so pursuant to a license issued by the Department under this Act. However, 12 programs established under or otherwise subject to the Child 13 14 Care Act of 1969, the Nursing Home Care Act, the Specialized Mental Health Rehabilitation Act of 2013, the ID/DD Community 15 Care Act, or the MC/DD Act, as now or hereafter amended, shall 16 remain subject thereto, and this Act shall not be construed to 17 limit the application of those Acts. 18
- 19 (b) The system of licensure established under this Act
 20 shall be for the purposes of:
- 21 (1) ensuring that all recipients residing in 22 community-integrated living arrangements are receiving 23 appropriate community-based services, including

treatment, training and habilitation or rehabilitation;

- (2) ensuring that recipients' rights are protected and that all programs provided to and placements arranged for recipients comply with this Act, the Mental Health and Developmental Disabilities Code, and applicable Department rules and regulations;
- (3) maintaining the integrity of communities by requiring regular monitoring and inspection of placements and other services provided in community-integrated living arrangements.

The licensure system shall be administered by a quality assurance unit within the Department which shall be administratively independent of units responsible for funding of agencies or community services.

- (c) As a condition of being licensed by the Department as a community mental health or developmental services agency under this Act, the agency shall certify to the Department that:
 - (1) all recipients residing in community-integrated living arrangements are receiving appropriate community-based services, including treatment, training and habilitation or rehabilitation;
 - (2) all programs provided to and placements arranged for recipients are supervised by the agency; and
 - (3) all programs provided to and placements arranged for recipients comply with this Act, the Mental Health and Developmental Disabilities Code, and applicable Department

- 1 rules and regulations.
 - (d) An applicant for licensure as a community mental health or developmental services agency under this Act shall submit an application pursuant to the application process established by the Department by rule and shall pay an application fee in an amount established by the Department, which amount shall not be more than \$200.
 - (e) If an applicant meets the requirements established by the Department to be licensed as a community mental health or developmental services agency under this Act, after payment of the licensing fee, the Department shall issue a license valid for 3 years from the date thereof unless suspended or revoked by the Department or voluntarily surrendered by the agency.
 - (f) Upon application to the Department, the Department may issue a temporary permit to an applicant for up to a 2-year period to allow the holder of such permit reasonable time to become eligible for a license under this Act.
 - (g) (1) The Department may conduct site visits to an agency licensed under this Act, or to any program or placement certified by the agency, and inspect the records or premises, or both, of such agency, program or placement as it deems appropriate, for the purpose of determining compliance with this Act, the Mental Health and Developmental Disabilities Code, and applicable Department rules and regulations. The Department shall conduct inspections of the records and premises of each community-integrated living arrangement

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1 certified under this Act at least once every 2 years.

- (2) If the Department determines that an agency licensed under this Act is not in compliance with this Act or the rules and regulations promulgated under this Act, the Department shall serve a notice of violation upon the licensee. Each notice of violation shall be prepared in writing and shall specify the nature of the violation, the statutory provision or rule alleged to have been violated, and that the licensee submit a plan of correction to the Department if required. The notice shall also inform the licensee of any other action which the Department might take pursuant to this Act and of the right to a hearing.
- (g-5) As determined by the Department, a disproportionate percentage of licensure complaints; disproportionate number or percentage of substantiated cases of abuse, neglect, or exploitation involving an agency; an apparent unnatural death of an individual served by an agency; any egregious or life-threatening abuse or neglect within an agency; or any other significant event as determined by the Department shall initiate a review of the agency's license by the Department, as well as a review of its service agreement for funding. The Department shall adopt rules to establish the process by which the determination to initiate a review shall be made and the timeframe to initiate a review upon the making of such determination.
 - (h) Upon the expiration of any license issued under this

- 1 Act, a license renewal application shall be required of and a
- 2 license renewal fee in an amount established by the Department
- 3 shall be charged to a community mental health or developmental
- 4 services agency, provided that such fee shall not be more than
- 5 \$200.
- 6 (i) A public or private agency, association, partnership,
- 7 corporation, or organization that has had a license revoked
- 8 under subsection (b) of Section 6 of this Act may not apply for
- 9 or possess a license under a different name.
- 10 (Source: P.A. 99-180, eff. 7-29-15; 100-58, eff. 8-11-17;
- 11 100-313, eff. 8-24-17; 100-863, eff. 8-14-18.)
- 12 (Text of Section after amendment by P.A. 102-944)
- 13 Sec. 4. (a) Any community mental health or developmental
- 14 services agency who wishes to develop and support a variety of
- 15 community-integrated living arrangements may do so pursuant to
- 16 a license issued by the Department under this Act. However,
- 17 programs established under or otherwise subject to the Child
- 18 Care Act of 1969, the Nursing Home Care Act, the Specialized
- 19 Mental Health Rehabilitation Act of 2013, the ID/DD Community
- 20 Care Act, or the MC/DD Act, as now or hereafter amended, shall
- 21 remain subject thereto, and this Act shall not be construed to
- 22 limit the application of those Acts.
- 23 (b) The system of licensure established under this Act
- shall be for the purposes of:
- 25 (1) ensuring that all recipients residing in

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- (2) ensuring that recipients' rights are protected and that all programs provided to and placements arranged for recipients comply with this Act, the Mental Health and Developmental Disabilities Code, and applicable Department rules and regulations;
- (3) maintaining the integrity of communities by requiring regular monitoring and inspection of placements and other services provided in community-integrated living arrangements.

The licensure system shall be administered by a quality assurance unit within the Department which shall be administratively independent of units responsible for funding of agencies or community services.

- (c) As a condition of being licensed by the Department as a community mental health or developmental services agency under this Act, the agency shall certify to the Department that:
 - (1) all recipients residing in community-integrated living arrangements are receiving appropriate community-based services, including treatment, training and habilitation or rehabilitation;
 - (2) all programs provided to and placements arranged for recipients are supervised by the agency; and
 - (3) all programs provided to and placements arranged

- for recipients comply with this Act, the Mental Health and
 Developmental Disabilities Code, and applicable Department
 rules and regulations.
 - (c-5) Each developmental services agency licensed under this Act shall submit an annual report to the Department, as a contractual requirement between the Department and the developmental services agency, certifying that all legislatively or administratively mandated wage increases to benefit workers are passed through in accordance with the legislative or administrative mandate. The Department shall determine the manner and form of the annual report.
 - (d) An applicant for licensure as a community mental health or developmental services agency under this Act shall submit an application pursuant to the application process established by the Department by rule and shall pay an application fee in an amount established by the Department, which amount shall not be more than \$200.
 - (e) If an applicant meets the requirements established by the Department to be licensed as a community mental health or developmental services agency under this Act, after payment of the licensing fee, the Department shall issue a license valid for $\underline{2}$ years from the date thereof unless suspended or revoked by the Department or voluntarily surrendered by the agency.
 - (f) Upon application to the Department, the Department may issue a temporary permit to an applicant for up to a 2-year period to allow the holder of such permit reasonable time to

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- become eligible for a license under this Act. 1
- (g) (1) The Department may conduct site visits to an agency licensed under this Act, or to any program or placement certified by the agency, and inspect the records or premises, or both, of such agency, program or placement as it deems appropriate, for the purpose of determining compliance with 7 this Act, the Mental Health and Developmental Disabilities Code, and applicable Department rules and regulations. The Department shall conduct inspections of the records and premises of each community-integrated living arrangement certified under this Act at least once every 2 years.
 - (2) If the Department determines that an agency licensed under this Act is not in compliance with this Act or the rules and regulations promulgated under this Act, the Department shall serve a notice of violation upon the licensee. Each notice of violation shall be prepared in writing and shall specify the nature of the violation, the statutory provision or rule alleged to have been violated, and that the licensee submit a plan of correction to the Department if required. The notice shall also inform the licensee of any other action which the Department might take pursuant to this Act and of the right to a hearing.
 - (3) If an agency licensed under this Act receives a compliance score as the result of a survey or audit from the Department or the Bureau of Accreditation, Licensure and Certification that is less than acceptably compliant, then the

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 including, but not limited to, a freeze on admissions and
 revocation of the agency's license.
 - (q-5) As determined by the Department, a disproportionate of licensure complaints; number or percentage disproportionate number or percentage of substantiated cases of abuse, neglect, or exploitation involving an agency; an apparent unnatural death of an individual served by an agency; any egregious or life-threatening abuse or neglect within an agency; or any other significant event as determined by the Department shall initiate a review of the agency's license by the Department, as well as a review of its service agreement for funding. The Department shall adopt rules to establish the process by which the determination to initiate a review shall be made and the timeframe to initiate a review upon the making of such determination.
 - (h) Upon the expiration of any license issued under this Act, a license renewal application shall be required of and a license renewal fee in an amount established by the Department shall be charged to a community mental health or developmental services agency, provided that such fee shall not be more than \$200.
 - (i) A public or private agency, association, partnership, corporation, or organization that has had a license revoked

- 1 under subsection (b) of Section 6 of this Act may not apply for
- 2 or possess a license under a different name.
- 3 (Source: P.A. 102-944, eff. 1-1-23.)

Section 95. No acceleration or delay. Where this Act makes changes in a statute that is represented in this Act by text that is not yet or no longer in effect (for example, a Section represented by multiple versions), the use of that text does not accelerate or delay the taking effect of (i) the changes made by this Act or (ii) provisions derived from any other Public Act.