# 93RD GENERAL ASSEMBLY

## State of Illinois

# 2003 and 2004

Introduced 2/6/2004, by Antonio Munoz

## SYNOPSIS AS INTRODUCED:

New Act

Creates the Genetic Counselor Licensing Act. Regulates the practice of genetic counseling through licensure requirements. Provides for administration of the Act by the Department of Professional Regulation. Provides enforcement provisions. Preempts home rule.

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CORRECTIONAL BUDGET AND IMPACT NOTE ACT MAY APPLY FISCAL NOTE ACT MAY APPLY HOME RULE NOTE ACT MAY APPLY

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AN ACT concerning professional regulation.

# 2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

Section 1. Short title. This Act may be cited as the
Genetic Counselor Licensing Act.

Section 5. Declaration of public policy. The mapping of 6 7 the human genome continues to result in the rapid expansion of genetic knowledge and a proliferation of testing for genetic 8 conditions. This has created a need for qualified medical 9 genetics professionals, including genetic counselors, 10 to coordinate an assessment that may include genetic testing, to 11 deliver accurate information to families, to assist the 12 families in adjusting to the implications of their diagnoses, 13 14 and to help ensure that genetic information is used 15 appropriately in the delivery of medical care. Therefore, the practice of genetic counseling is declared to affect the public 16 17 health, safety, and welfare and to be subject to regulation in 18 the public interest. The purpose of the Act is to protect and 19 benefit the public by setting standards of qualifications, education, training, and experience for those who seek to 20 obtain a license and hold the title of genetic counselor, to 21 22 promote high standards of professional performance for those 23 licensed to practice genetic counseling in the State of Illinois, and to protect the public from unprofessional conduct 24 25 by persons licensed to practice genetic counseling.

26 27 Section 10. Definitions. As used in this Act:

"ABGC" means the American Board of Genetic Counseling.

28 "ABMG" means the American Board of Medical Genetics.

29 "Active candidate status" is awarded to applicants who have 30 received approval from the ABGC or ABMG to sit for their 31 respective certification examinations. "Board" means the Genetic Counselor Licensing and
 Disciplinary Board.

3 "Department" means the Department of Professional 4 Regulation.

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"Director" means the Director of Professional Regulation.

6 "Genetic anomaly" means a variation in an individual's DNA that has been shown to confer a genetically influenced disease 7 or predisposition to a genetically influenced disease or makes 8 a person a carrier of such variation. A "carrier" of a genetic 9 10 anomaly means a person who may or may not have a predisposition 11 or risk of incurring a genetically influenced condition and who 12 is at risk of having offspring with a genetically influenced condition. 13

"Genetic counseling" means the provision of services to 14 individuals, couples, groups, families, and organizations by 15 16 one or more appropriately trained individuals to address the 17 physical and psychological issues associated with the occurrence or risk of occurrence or recurrence of a genetic 18 19 disorder, birth defect, disease, or potentially inherited or 20 genetically influenced condition in an individual or a family. "Genetic counseling" includes, but is not limited to, the 21 following: 22

(A) Estimating the likelihood of occurrence or
 recurrence of a birth defect or of any potentially
 inherited or genetically influenced condition. This
 assessment may involve:

27 (i) obtaining and analyzing a complete health
 28 history of the person and his or her family;

29

(ii) reviewing pertinent medical records;

30 (iii) evaluating the risks from exposure to
31 possible mutagens or teratogens;

(iv) recommending genetic testing or other
 evaluations to diagnose a condition or determine the
 carrier status of one or more family members;

(B) Helping the individual, family, health care
 provider, or public (i) appreciate the medical,

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1 psychological and social implications of a disorder, 2 including its features, variability, usual course and 3 management options, (ii) learn how genetic factors contribute to the disorder and affect the chance for 4 5 recurrence of the condition in other family members, (iii) 6 understand available options for coping with, preventing, or reducing the chance of occurrence or recurrence of a 7 condition, (iv) select the most appropriate, accurate, and 8 9 cost-effective methods of diagnosis, and (v) understand 10 genetic or prenatal tests, coordinate testing for 11 inherited disorders, and interpret complex genetic test 12 results.

Facilitating an individual's or family's 13 (C) (i) exploration of the perception of risk and burden associated 14 with the disorder, (ii) decision-making regarding testing 15 16 or medical interventions consistent with their beliefs, 17 goals, needs, resources, culture, and ethical and moral views, and (iii) adjustment and adaptation to the condition 18 their genetic risk by addressing 19 or needs for 20 psychological, social, and medical support.

"Genetic counselor" means a person licensed under this Actto engage in the practice of genetic counseling.

23 "Genetic test" is a test of a person's genes, gene products, or chromosomes for abnormalities or deficiencies, 24 including carrier status, that are linked to physical or mental 25 26 disorders or impairments, indicate a susceptibility to 27 illness, disease, impairment, or other disorders, whether 28 physical or mental, or demonstrate genetic or chromosomal 29 damage due to environmental factors. "Genetic testing" does not 30 include routine physical measurements; chemical, blood, and urine analyses that are widely accepted and in use in clinical 31 32 practice; tests for use of drugs; and tests for the presence of the human immunodeficiency virus. 33

34 "Independent private practice of genetic counseling" means 35 the application of genetic counseling knowledge and skills by a 36 licensed genetic counselor who (i) regulates and is responsible - 4 - LRB093 15047 AMC 40629 b

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1 for her or his own practice and (ii) is self-employed or works 2 in a group practice or setting not qualified under Internal 3 Revenue Service regulations as a not-for-profit business.

4 "Person" means an individual, association, partnership, or5 corporation.

"Qualified supervisor" means any person who is a licensed 6 genetic counselor, as defined by rule, or a physician with a 7 8 specialty in genetics certified by the American Board of 9 Medical Genetics. A qualified supervisor may be provided at the 10 applicant's place of work, or may be contracted by the 11 applicant to provide supervision. The qualified supervisor 12 shall file written documentation to the Board of employment, 13 discharge, or supervisory control of a genetic counselor at the time of employment, discharge, or assumption of supervision of 14 15 a genetic counselor.

16 "Supervision" means review of aspects of genetic 17 counseling and case management in a bimonthly meeting with the 18 person under supervision.

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## Section 15. Exemptions.

20 This Act does not prohibit any persons (a) legally regulated in this State by any other Act from engaging in the 21 22 practice for which they are authorized as long as they do not 23 represent themselves by the title of "genetic counselor" or "licensed genetic counselor". This Act does not prohibit the 24 25 practice of nonregulated professions whose practitioners are 26 engaged in the delivery of human services as long as these 27 practitioners do not represent themselves as or use the title 28 of "genetic counselor" or "licensed genetic counselor".

(b) Nothing in this Act shall be construed to limit the activities and services of (i) a student, intern, resident, or fellow in genetic counseling or genetics seeking to fulfill educational requirements in order to qualify for a license under this Act if these activities and services constitute a part of the student's supervised course of study or (ii) an individual seeking to fulfill the post-degree experience

1 requirements in order to qualify for licensing under this Act, 2 as long as the activities and services are not conducted in an 3 independent practice if the activities and services are supervised by a qualified supervisor. A student, intern, 4 5 resident, or fellow must be designated by the title "intern", 6 "resident", "fellow", or any other designation of trainee status. Nothing contained in this subsection shall be construed 7 8 to permit students, interns, residents, or fellows to offer 9 their services as genetic counselors or geneticists to any other person and to accept remuneration for such genetic 10 11 counseling services, except as specifically provided in this 12 subsection or subsection (c).

13 partnerships, and associations Corporations, (C) mav 14 employ students, interns, or post-degree candidates seeking to 15 fulfill educational requirements or the professional 16 experience requirements needed to qualify for a license under 17 this Act if their activities and services constitute a part of the student's supervised course of study or post-degree 18 19 professional experience requirements. Nothing in this 20 subsection shall prohibit a corporation, partnership, or association from contracting with a licensed health care 21 professional to provide services that they are licensed to 22 23 provide.

(d) Nothing in this Act shall prevent the employment, by a 24 25 genetic counselor, person, association, partnership, or 26 corporation furnishing genetic counseling services for 27 remuneration, of persons not licensed as genetic counselors 28 under this Act to perform services in various capacities as 29 needed, if these persons are not in any manner held out to the 30 public or do not hold themselves out to the public by any title 31 or designation stating or implying that they are genetic 32 counselors.

(e) Nothing in this Act shall be construed to limit the
services of a person, not licensed under the provisions of this
Act, in the employ of a federal, State, county, or municipal
agency or other political subdivision or not-for-profit

1 corporation providing human services if (i) the services are a
2 part of the duties in his or her salaried position, (ii) the
3 services are performed solely on behalf of his or her employer,
4 and (iii) that person does not in any manner represent himself
5 or herself as or use the title of "genetic counselor" or
6 "licensed genetic counselor".

7 (f) Duly recognized members of any religious organization 8 shall not be restricted from functioning in their ministerial 9 capacity provided they do not represent themselves as being 10 genetic counselors or as providing genetic counseling.

11 (g) Nothing in this Act shall be construed to limit the 12 activities and use of the official title of "genetic counselor" 13 on the part of a person not licensed under this Act who is an academic employee of a duly chartered institution of higher 14 15 education and who holds and professional educational 16 qualifications equivalent to those required for licensure 17 under this Act, insofar as such activities are performed in the person's role as an academic employee, or insofar as such 18 19 person engages in public speaking with or without remuneration.

20 (h) Nothing in this Act shall be construed to require any 21 hospital, clinic, home health agency, hospice, or other entity 22 that provides health care to employ or to contract with a 23 person licensed under this Act to provide genetic counseling 24 services.

(i) Nothing in this Act shall be construed to prevent any 25 26 licensed social worker, licensed clinical social worker, 27 licensed clinical psychologist, licensed professional 28 counselor, or licensed clinical professional counselor from 29 practicing professional counseling as long as that person is 30 not in any manner held out to the public as a "genetic counselor" or "licensed genetic counselor" or does not hold out 31 32 his or her services as being genetic counseling.

(j) Nothing in this Act shall be construed to limit the competent practice of the occupation of a person not licensed under this Act who is a physician licensed to practice medicine in all of its branches under the Medical Practice Act of 1987 - 7 - LRB093 15047 AMC 40629 b

1 as long as the person does not use the title "genetic 2 counselor" or any other title tending to indicate they are a 3 genetic counselor unless licensed as such in this State.

(k) Nothing in the Act shall prohibit a visiting ABGC or
ABMG certified genetic counselor from outside the State working
as a consultant, or organizations from outside the State
employing ABGC or ABMG certified genetic counselors providing
occasional services, who are not licensed under this Act, from
engaging in the practice of genetic counseling subject to the
stated circumstances and limitations.

11 Section 20. Restrictions and limitations.

12 (a) No person shall, without a valid license as a genetic 13 counselor issued by the Department (i) in any manner hold 14 himself or herself out to the public as a genetic counselor 15 under this Act; (ii) use in connection with his or her name or 16 place of business the title "genetic counselor", "licensed genetic counselor", "gene counselor", "genetic consultant", or 17 18 "genetic associate" or any words, letters, abbreviations, or 19 insignia indicating or implying a person has met the qualifications for or has the license issued under this Act; or 20 (iii) offer to render or render to individuals, corporations, 21 22 or the public genetic counseling services if the words "genetic 23 counselor" or "licensed genetic counselor" are used to describe the person offering to render or rendering them, or "genetic 24 25 counseling" is used to describe the services rendered or 26 offered to be rendered.

27 (b) No association or partnership shall practice genetic 28 counseling unless every member, partner, and employee of the 29 association or partnership who practices genetic counseling or 30 who renders genetic counseling services holds a valid license 31 issued under this Act. No license shall be issued to a corporation, the stated purpose of which includes or which 32 practices or which holds itself out as available to practice 33 genetic counseling, it is 34 unless organized under the 35 Professional Service Corporation Act.

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1 (c) Nothing in this Act shall be construed as permitting 2 persons licensed as genetic counselors to engage in any manner 3 in the practice of medicine in all its branches as defined by 4 law in this State.

(d) When, in the course of providing genetic counseling 5 6 services to any person, a genetic counselor licensed under this Act finds indication of a disease or condition that in his or 7 her professional judgment requires professional service 8 9 outside the scope of practice as defined in this Act, he or she shall refer that person to a physician licensed to practice 10 11 medicine in all of its branches or another appropriate health 12 care practitioner.

13 Section 25. Unlicensed practice; violation; civil penalty. (a) Any person who practices, offers to practice, attempts 14 15 to practice, or holds himself or herself out to practice as a 16 genetic counselor without being licensed or exempt under this Act shall, in addition to any other penalty provided by law, 17 18 pay a civil penalty to the Department in an amount not to 19 exceed \$5,000 for each offense, as determined by the Department. Civil penalty shall be assessed by the Department 20 after a hearing is held in accordance with the provisions set 21 22 forth in this Act regarding the provision of a hearing for the 23 discipline of a licensee.

(b) The Department may investigate any actual, alleged, orsuspected unlicensed activity.

(c) The civil penalty shall be paid within 60 days after the effective date of the order imposing the civil penalty. The order shall constitute a final judgment and may be filed and execution had thereon in the same manner as any judgment from any court of record.

31 Section 30. Powers and duties of the Department. Subject to 32 the provisions of this Act, the Department may:

33 (a) authorize examinations to ascertain the qualifications34 and fitness of applicants for licensing as genetic counselors

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1 and pass upon the qualifications of applicants for licensure by 2 endorsement;

3 (b) conduct hearings on proceedings to refuse to issue or 4 renew or to revoke licenses or suspend, place on probation, 5 censure, or reprimand persons licensed under this Act, and to 6 refuse to issue or renew or to revoke licenses, or suspend, 7 place on probation, censure, or reprimand persons licensed 8 under this Act.

9 (c) adopt rules necessary for the administration of this 10 Act; and

(d) maintain rosters of the names and addresses of all licensees and all persons whose licenses have been suspended, revoked, or denied renewal for cause within the previous calendar year. These rosters shall be available upon written request and payment of the required fee.

Section 35. Genetic Counselor Licensing and Disciplinary Board.

18 (a) The Director shall appoint a Board that shall serve in 19 an advisory capacity to the Director. The Board shall consist of 7 persons, 4 of whom have a master's degree and are licensed 20 as genetic counselors; 2 of whom either have a PhD degree or 21 22 are physicians and are certified by the American Board of 23 Medical Genetics; and one member of the public who is not a licensed health care provider and who is an advocate for 24 25 individuals suffering from or genetically predisposed to 26 genetically influenced disease. In appointing members of the 27 Board, the Director shall give due consideration to the 28 adequate representation of the various subspecialties of 29 genetic counseling. In appointing members of the Board, the 30 Director shall give due consideration to recommendations by 31 members of the profession of genetic counseling and the Statewide organizations representing the interests of public 32 health and genetics. The initial appointees shall be licensed 33 under this Act within 1 year after appointment to the Board. 34 35 Failure on the part of an initial Board appointee to obtain a

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1 license within 1 year will be cause for removal from the Board.

2 (b) Members shall be appointed for and shall serve 4 year 3 terms and until their successors are appointed and qualified, except that of the initial appointments, 2 members shall be 4 5 appointed to serve for 2 years, 2 shall be appointed to serve 6 for 3 years, and the remaining shall be appointed to serve for years, and until their successors are appointed and 7 4 qualified. No member shall be reappointed to the Board for a 8 9 term that would cause continuous service on the Board to be longer than 8 years. Any appointment to fill a vacancy shall be 10 11 for the unexpired portion of the term.

12 (c) The membership of the Board should reasonably reflect13 representation from different geographic areas of Illinois.

14 (d) Any member appointed to fill a vacancy shall be15 eligible for reappointment to only one full term.

(e) The Director may remove any member for cause at anytime prior to the expiration of his or her term.

18 (f) The Board shall annually elect one of its members as 19 chairperson.

20 (g) The members of the Board shall be reimbursed for all 21 legitimate, necessary, and authorized expenses incurred in 22 attending the meetings of the Board.

(h) The Board may make recommendations on matters relating to continuing education, including the number of hours necessary for license renewal, waivers for those unable to meet such requirements, and acceptable course content. These recommendations shall not impose an undue burden on the Department or an unreasonable restriction on those seeking license renewal.

30 (i) The Director shall give due consideration to all31 recommendations of the Board.

32 (j) A majority of the Board members currently appointed 33 shall constitute a quorum. A vacancy in the membership of the 34 Board shall not impair the right of a quorum to perform all of 35 the duties of the Board.

(k) Members of the Board shall have no criminal, civil, or

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professional liability in an action based upon a disciplinary proceeding or other activity performed in good faith as a member of the Board, except for willful or wanton misconduct.

4 (1) In the event that the Board is considering a matter 5 that involves a subspecialty in genetic counseling not 6 represented in the Board membership, the Board shall consider 7 the need to temporarily appoint a qualified member who 8 practices in that subspecialty.

9 Section 40. Application for original license. Applications 10 for original licenses shall be made to the Department on forms 11 prescribed by the Department and accompanied by the required 12 fee, which is not refundable. All applications shall contain 13 such information that, in the judgment of the Department, will 14 enable the Department to pass on the qualifications of the 15 applicant for a license to practice as a genetic counselor.

16 Section 45. Social Security Number on license application. 17 In addition to any other information required to be contained 18 in the application, every application for an original, renewal, 19 or restored license under this Act shall include the 20 applicant's Social Security Number.

21 Section 50. Examination; failure or refusal to take 22 examination.

Applicants for genetic counseling licensure must 23 (a) 24 provide evidence that they have successfully completed the 25 certification examination provided by the ABGC or ABMG, if they are master's degree trained genetic counselors, or the ABMG, if 26 27 they are PhD trained medical geneticists; or successfully 28 completed the examination provided by the successor agencies of 29 the ABGC or ABMG. The examinations shall be of a character to fairly test the competence and qualifications of the applicants 30 31 to practice genetic counseling.

32 (b) If an applicant neglects, fails, or refuses to take an33 examination or fails to pass an examination for a license under

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1 this Act within 2 exam cycles after receiving a temporary 2 license, the application will be denied. However, such 3 applicant may thereafter make a new application for license only if the applicant provides documentation of passing the 4 5 certification examination offered through the ABGC or ABMG or 6 their successor agencies and satisfies the requirements then in existence for a license. 7

8 Section 55. Qualifications for licensure. A person shall be 9 qualified for licensure as a genetic counselor and the 10 Department shall issue a license if that person:

11 (1) has applied in writing in form and substance satisfactory to the Department; is at least 21 years of 12 13 age;

(2) has not engaged in conduct or activities which 15 would constitute grounds for discipline under this Act;

16 (3) has not violated any of the provisions of Sections 20 or 25 of this Act or the rules promulgated thereunder. 17 The Department may take into consideration any felony 18 19 conviction of the applicant but such conviction shall not operate as an absolute bar to licensure; 20

has provided documentation of the successful 21 (4) completion of the certification examination and current 22 certification provided by the American Board of Genetic 23 Counseling or the American Board of Medical Genetics, or 24 25 their successor agencies; and

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(5) has paid the fees required by this Act.

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Section 60. Temporary licensure.

28 (a) A person shall be qualified for temporary licensure as 29 a genetic counselor and the Department shall issue a temporary 30 license if that person:

(1) has successfully completed a Master's degree in 31 genetic counseling from an ABGC or ABMG accredited training 32 program or its equivalent as established by the ABGC or is 33 34 a physician or has a doctoral degree and has successfully

completed an ABMG accredited medical genetics training
 program or its equivalent as established by the ABMG;

3 (2) Has submitted evidence to the Department of active 4 candidate status for the certifying examination 5 administered by the ABGC or the ABMG or their successor 6 agencies; and

7 (3) has made application to the Department and paid the8 required fees.

9 (b) A temporary license shall allow the applicant to 10 practice under the supervision of a qualified supervisor until 11 he or she receives certification from the ABGC or the ABMG or 12 their successor agencies or 2 exam cycles have elapsed, 13 whichever comes first.

(c) Under no circumstances shall an applicant continue to practice on the temporary license for more than 30 days after notification that he or she has not passed the examination within 2 exam cycles after receiving the temporary license. However, the applicant may thereafter make a new application to the Department for a license satisfying the requirements then in existence for a license.

21 Section 65. Licenses; renewal; restoration; person in 22 military service; inactive status.

(a) The expiration date and renewal period for each license
issued under this Act shall be set by rule. The licensee may
renew a license during the 30-day period preceding its
expiration date by paying the required fee and demonstrating
compliance with continuing education requirements established
by rule.

(b) Any person who has permitted a license to expire or who has a license on inactive status may have it restored by submitting an application to the Department and filing proof of fitness, as defined by rule, to have the license restored, including, if appropriate, evidence which is satisfactory to the Department certifying the active practice of genetic counseling in another jurisdiction, and by paying the required

1 fee.

2 (c) If the person has not maintained an active practice in another jurisdiction that is satisfactory to the Department, 3 the Department shall determine the person's fitness to resume 4 5 active status. The Department may also require the person to 6 complete a specific period of evaluated genetic counseling work experience under the supervision of a qualified clinical 7 8 supervisor and may require demonstration of completion of 9 continuing education requirements.

(d) Any person whose license expired while on active duty 10 11 with the armed forces of the United States, while called into 12 service or training with the State Militia, or while in training or education under the supervision of the United 13 14 States government prior to induction into military service may 15 have his license restored without paying any renewal fees if, 16 within 2 years after the termination of such service, training, 17 or education, except under conditions other than honorable, the Department is furnished with satisfactory evidence that the 18 19 person has been so engaged and that such service, training, or 20 education has been so terminated.

(e) A license to practice shall not be denied any applicant because of the applicant's race, religion, creed, national origin, political beliefs or activities, age, sex, sexual orientation, or physical impairment.

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Section 70. Implementation; transitional periods.

(a) Upon enactment of this law, qualified applicants have 6
months to submit the required fees, completed application, and
documentation of passing the American Board of Genetic
Counseling or American Board Medical Genetics certification
examination in order to obtain a genetic counselor license that
will allow the applicant to practice genetic counseling; or

32 (b) Upon enactment of this law, qualified applicants have 6 33 months to submit the required fees, completed application, and 34 documentation of active candidate status with the American 35 Board of Genetic Counseling or American Board Medical Genetics

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in order to obtain a temporary genetic counselor license that will allow the applicant to practice genetic counseling under supervision as specified in this Act.

4 Section 75. Fees; deposit of fees. The fees imposed under 5 this Act shall be set by rule and are not refundable. All of 6 the fees collected under this Act shall be deposited into the 7 General Professions Dedicated Fund.

Section 80. Checks or orders dishonored. Any person who 8 9 issues or delivers a check or other order to the Department 10 that is returned to the Department unpaid by the financial institution upon which it is drawn shall pay to the Department, 11 in addition to the amount already owed to the Department, a 12 fine of \$50. The fines imposed by this Section are in addition 13 14 to any other discipline provided under this Act prohibiting 15 unlicensed practice or practice on a nonrenewed license. The Department shall notify the person that payment of fees and 16 17 fines shall be paid to the Department by certified check or 18 money order within 30 calendar days after notification. If, after the expiration of 30 days from the date 19 of the notification, the person has failed to submit the necessary 20 21 remittance, the Department shall automatically terminate the 22 license or certification or deny the application, without hearing. If, after termination or denial, the person seeks a 23 24 license or certificate, he or she shall apply to the Department 25 for restoration or issuance of the license or certificate and 26 pay all fees and fines due to the Department. The Department 27 may establish a fee for the processing of an application for 28 restoration of a license to pay all costs and expenses of processing of this application. The Director may waive the 29 30 fines due under this Section in individual cases where the Director finds that the fines would be unnecessarily 31 32 burdensome.

Section 85. Endorsement. The Department may issue a license

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1 as a genetic counselor, to an applicant currently licensed 2 under the laws of another state or United States jurisdiction 3 whose standards, in the opinion of the Department, were substantially equivalent at the date of his or her licensure in 4 5 the other jurisdiction to the requirements of this Act. Such an 6 applicant shall pay all of the required fees. Applicants have 6 months from the date of application to complete the application 7 process. If the process has not been completed within 6 months, 8 9 the application shall be denied, the fee forfeited, and the 10 applicant must reapply and meet the requirements in effect at 11 the time of reapplication.

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Section 90. Privileged communications and exceptions.

(a) No licensed genetic counselor shall disclose any
 information acquired from persons consulting the counselor in a
 professional capacity, except that which may be voluntarily
 disclosed under any of the following circumstances:

(1) In the course of formally reporting, conferring, or
consulting with administrative superiors, colleagues, or
consultants who share professional responsibility, in
which instance all recipients of the information are
similarly bound to regard the communication as privileged.

(2) With the written consent of the person who providedthe information.

(3) In the case of death or disability, with the
written consent of a personal representative, other person
authorized to sue, or the beneficiary of an insurance
policy on the person's life, health or physical condition.

(4) When a communication reveals the intended
commission of a crime or harmful act and such disclosure is
judged necessary by the licensed genetic counselor to
protect any person from a clear, imminent risk of serious
mental or physical harm or injury, or to forestall a
serious threat to the public safety.

34 (5) When the person waives the privilege by bringing35 any public charges against the licensee.

1 (b) When the person is a minor under the laws of the State 2 of Illinois and the information acquired by the licensed genetic counselor indicates the minor was the victim or subject 3 of a crime, the licensed genetic counselor may be required to 4 5 testify in any judicial proceedings in which the commission of 6 that crime is the subject of inquiry when, after in camera review of the information that the licensed genetic counselor 7 acquired, the court determines that the interests of the minor 8 9 in having the information held privileged are outweighed by the 10 requirements of justice, the need to protect the public safety 11 or the need to protect the minor, except as provided under the 12 Abused and Neglected Child Reporting Act.

(c) Any person having access to records or anyone who participates in providing genetic counseling services, or in providing any human services, or is supervised by a licensed genetic counselor is similarly bound to regard all information and communications as privileged in accord with this Section.

(d) Nothing in this Act shall be construed to prohibit a
licensed genetic counselor from voluntarily testifying in
court hearings concerning matters of adoption, child abuse,
child neglect or other matters pertaining to children, except
as provided under the Abused and Neglected Child Reporting Act.

(e) The Mental Health and Developmental Disabilities Confidentiality Act is incorporated herein as if all of its provisions were included in this Act. In the event of a conflict between the application of this Section and the Mental Health and Developmental Disabilities Confidentiality Act to a specific situation, the provisions of the Mental Health and Developmental Disabilities Confidentiality Act shall control.

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## Section 95. Grounds for discipline.

(a) The Department may refuse to issue, renew, or may revoke, suspend, place on probation, reprimand, or take other disciplinary action as the Department deems appropriate, including the issuance of fines not to exceed \$1,000 for each violation, with regard to any license for any one or more of 1 the following:

(1) Material misstatement in furnishing information to the Department or to any other State agency.

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(2) Violations or negligent or intentional disregard of this Act, or any of its rules.

6 (3) Conviction of any crime under the laws of the 7 United States or any state or territory thereof that is a 8 felony, a misdemeanor, an essential element of which is 9 dishonesty, or a crime that is directly related to the 10 practice of the profession.

(4) Making any misrepresentation for the purpose of
obtaining a license, or violating any provision of this Act
or its rules.

14 (5) Professional incompetence or gross negligence in15 the rendering of genetic counseling services.

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(6) Malpractice.

17 (7) Aiding or assisting another person in violating any18 provision of this Act or any rules.

19 (8) Failing to provide information within 60 days in
 20 response to a written request made by the Department.

(9) Engaging in dishonorable, unethical, or
unprofessional conduct of a character likely to deceive,
defraud, or harm the public and violating the rules of
professional conduct adopted by the Department.

(10) Failing to maintain the confidentiality of any
 information received from a client, unless released by the
 client or otherwise authorized or required by law.

(11) Exploiting a client for personal advantage,
 profit, or interest.

30 (12) Habitual or excessive use or addiction to alcohol,
31 narcotics, stimulants, or any other chemical agent or drug
32 which results in inability to practice with reasonable
33 skill, judgment, or safety.

(13) Discipline by another jurisdiction, if at least
 one of the grounds for the discipline is the same or
 substantially equivalent to those set forth in this

1 Section.

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(14) Directly or indirectly giving to or receiving from any person, firm, corporation, partnership, or association any fee, commission, rebate, or other form of compensation for any professional service not actually rendered.

6 (15) A finding by the Board that the licensee, after having the license placed on probationary status has 7 violated the terms of probation

9 (16) Failing to refer a client to other competent 10 professionals when the licensee is unable or unwilling to 11 adequately support or serve the client.

12 (17) Willfully filing false reports relating to a licensee's practice, including but not limited to false 13 filed with federal or State agencies records 14 or departments. 15

16 (18) Willfully failing to report an instance of 17 suspected child abuse or neglect as required by the Abused and Neglected Child Reporting Act. 18

(19) Being named as a perpetrator in an indicated 19 20 report by the Department of Children and Family Services pursuant to the Abused and Neglected Child Reporting Act, 21 and upon proof by clear and convincing evidence that the 22 licensee has caused a child to be an abused child or 23 neglected child as defined in the Abused and Neglected 24 25 Child Reporting Act.

26 (20)Physical or mental disability, including 27 deterioration through the aging process or loss of 28 abilities and skills which results in the inability to 29 practice the profession with reasonable judgment, skill, 30 or safety.

(21) Solicitation of professional services by using 31 32 false or misleading advertising.

(22) Failure to file a return, or to pay the tax, 33 penalty of interest shown in a filed return, or to pay any 34 final assessment of tax, penalty or interest, as required 35 by any tax Act administered by the Illinois Department of 36

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Revenue or any successor agency or the Internal Revenue
 Service or any successor agency.

3 (23) A finding that licensure has been applied for or
4 obtained by fraudulent means.

5 (24) Practicing or attempting to practice under a name 6 other than the full name as shown on the license or any 7 other legally authorized name.

8 (25) Gross overcharging for professional services, 9 including filing statements for collection of fees or 10 monies for which services are not rendered.

11 (b) The Department shall deny, without hearing, any 12 application or renewal for a license under this Act to any person who has defaulted on an educational loan guaranteed by 13 the Illinois State Assistance Commission; however, the 14 15 Department may issue a license or renewal if the person in 16 default has established a satisfactory repayment record as 17 determined by the Illinois Student Assistance Commission.

(c) The determination by a court that a licensee is subject 18 19 to involuntary admission or judicial admission as provided in 20 the Mental Health and Developmental Disabilities Code will result in an automatic suspension of his or her license. The 21 suspension will end upon a finding by a court that the licensee 22 23 is no longer subject to involuntary admission or judicial admission, the issuance of an order so finding and discharging 24 the patient, and the recommendation of the Board to the 25 26 Director that the licensee be allowed to resume professional 27 practice.

28 Section 100. Violations; injunction; cease and desist 29 order.

30 (a) If any person violates the provisions of this Act, the 31 Director may, in the name of the People of the State of 32 Illinois, through the Attorney General, petition for an order 33 enjoining the violation or for an order enforcing compliance 34 with this Act. Upon the filing of a verified petition, the 35 court with appropriate jurisdiction may issue a temporary

restraining order without notice or bond, and may preliminarily and permanently enjoin the violation. If it is established that the person has violated or is violating the injunction, the court may punish the offender for contempt of court. Proceedings under this Section are in addition to all other remedies and penalties provided by this Act.

7 (b) If any person holds himself or herself out as being a 8 licensed genetic counselor under this Act and is not licensed 9 to do so, then any licensed genetic counselor, interested 10 party, or any person injured thereby may petition for relief as 11 provided in subsection (a) of this Section.

12 (c) Whenever, in the opinion of the Department, a person violates any provision of this Act, the Department may issue a 13 rule to show cause why an order to cease and desist should not 14 be entered against that person. The rule shall clearly set 15 16 forth the grounds relied upon by the Department and shall allow 17 at least 7 days from the date of the rule to file an answer satisfactory to the Department. Failure to answer to the 18 19 satisfaction of the Department shall cause an order to cease 20 and desist to be issued.

21 Section 105. Investigations; notice and hearing. The 22 Department may investigate the actions of any applicant or any 23 person holding or claiming to hold a license. The Department 24 shall, before revoking, suspending, placing on probation, 25 reprimanding, or taking any other disciplinary action under 26 Section 95 of this Act, at least 30 days prior to the date set 27 for the hearing, (i) notify the accused, in writing, of any 28 charges made and the time and place for the hearing on the 29 charges, (ii) direct him or her to file a written answer to the 30 charges with the Board under oath within 20 days after service 31 of the notice, and (iii) inform the accused that, if he or she fails to answer, default will be taken against him or her or 32 33 that his or her license or certificate may be suspended, revoked, placed on probationary status, or other disciplinary 34 action taken with regard to the license, including limiting the 35

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1 scope, nature, or extent of his or her practice, as the 2 Department may deem proper. In case the person, after receiving 3 notice, fails to file an answer, his or her license may, in the 4 discretion of the Department, be suspended, revoked, placed on 5 probationary status, or the Department may take whatever 6 disciplinary action deemed proper, including limiting the 7 scope, nature, or extent of the person's practice or the 8 imposition of a fine, without a hearing, if the act or acts 9 charged constitute sufficient grounds for such action under 10 this Act. The written notice may be served by personal delivery 11 or certified mail to the address specified by the accused in 12 his or her last notification to the Department.

13 Section 110. Record of proceedings; transcript. The 14 Department, at its expense, shall preserve a record of all 15 proceedings at the formal hearing of any case. The notice of 16 hearing, complaint, all other documents in the nature of pleadings, written motions filed in the proceedings, 17 the 18 transcript of testimony, the report of the Board and orders of 19 the Department shall be in the record of such proceeding. The Department shall furnish a transcript of the record to any 20 person interested in the hearing upon payment of the fee 21 22 required under Section 2105-115 of the Department of 23 Professional Regulation Law of the Civil Administrative Code of 24 Illinois.

25 Section 115. Subpoenas; depositions; oaths. The Department 26 has the power to subpoena and to bring before it any person and 27 to take testimony either orally or by deposition, or both, with 28 the same fees and mileage and in the same manner as prescribed in civil cases in the courts of this State. The Director, the 29 30 designated hearing officer, and every member of the Board has the power to administer oaths to witnesses at any hearing which 31 the Department is authorized to conduct, and any other oaths 32 33 authorized in any Act administered by the Department.

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Section 120. Compelling testimony. Any court, 1 upon 2 application of the Department, designated hearing officer, or the applicant or licensee against whom proceedings under 3 4 Section 95 of this Act are pending, may enter an order 5 requiring the attendance of witnesses and their testimony and 6 the production of documents, papers, files, books, and records in connection with any hearing or investigation. The court may 7 8 compel obedience to its order by proceedings for contempt.

9 Section 125. Findings and recommendations. At the 10 conclusion of the hearing, the Board shall present to the 11 Director a written report of its findings of fact, conclusions of law, and recommendations. The report shall contain a finding 12 whether the licensee violated this Act or failed to comply with 13 14 the conditions required in this Act. The Board shall specify 15 the nature of the violation or failure to comply, and shall 16 make its recommendations to the Director. The report of findings of fact, conclusions of law, and recommendation of the 17 18 Board shall be the basis for the Department's order for refusal 19 or for the granting of the license. If the Director disagrees with the recommendations of the Board, the Director may issue 20 an order in contravention of the Board recommendations. The 21 22 Director shall provide a written report to the Board on any 23 disagreement and shall specify the reasons for the action in the final order. The finding is not admissible in evidence 24 25 against the person in a criminal prosecution brought for the 26 violation of this Act, but the hearing and findings are not a 27 bar to a criminal prosecution brought for the violation of this 28 Act.

Section 130. Board; rehearing. At the conclusion of the hearing, a copy of the Board's report shall be served upon the applicant or licensee by the Department, either personally or as provided in this Act for the service of the notice of hearing. Within 20 days after such service, the applicant or licensee may present to the Department a motion in writing for - 24 - LRB093 15047 AMC 40629 b

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1 a rehearing, which shall specify the particular grounds for 2 rehearing. If no motion for a rehearing is filed, then upon the expiration of the time specified for filing such a motion, or 3 4 if a motion for rehearing is denied, then upon such denial, the 5 Director may enter an order in accordance with recommendations 6 of the Board, except as provided in Section 120 of this Act. If the applicant or licensee requests and pays for a transcript of 7 the record within the time for filing a motion for rehearing, 8 9 the 20-day period within which a motion may be filed shall commence upon the delivery of the transcript to the applicant 10 11 or licensee.

12 Section 135. Director; rehearing. Whenever the Director 13 believes justice has not been done in the revocation, 14 suspension, or refusal to issue or renew a license or the 15 discipline of a licensee, he or she may order a rehearing.

Section 140. Appointment of a hearing officer. The Director 16 17 has the authority to appoint any attorney licensed to practice law in the State of Illinois to serve as the hearing officer in 18 any action for refusal to issue or renew a license or permit or 19 to discipline a licensee. The hearing officer has full 20 21 authority to conduct the hearing. At least one member of the Board shall attend each hearing. The hearing officer shall 22 findings of fact, 23 report his conclusions of law and 24 recommendations to the Board and to the Director. The Board 25 shall have 60 calendar days from receipt of the report to 26 review it and to present its findings of fact, conclusions of 27 law and recommendation to the Director. If the Board does not 28 present its report within the 60-day period, the Director may issue an order based on the report of the hearing officer. If 29 30 the Director disagrees with the recommendation of the Board or of the hearing officer, the Director may issue an order in 31 contravention of the recommendation. The Director shall 32 promptly provide a written explanation to the Board on any such 33 34 disagreement.

Section 145. Order or certified copy; prima facie proof. 1 2 An order or certified copy thereof, over the seal of the Department and purporting to be signed by the Director, is 3 4 prima facie proof that:

- (1) the signature is the genuine signature of the Director; 5
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- (2) the Director is duly appointed and qualified; and
- (3) the Board and the members thereof are qualified to act.

Section 150. Restoration of suspended or revoked license. 8 9 At any time after the suspension or revocation of any license, the Department may restore it to the licensee upon the written 10 recommendation of the Board, unless after an investigation and 11 hearing the Board determines that restoration is not in the 12 public interest. 13

14 Section 155. Surrender of license. Upon the revocation or suspension of a license, the licensee shall immediately 15 16 surrender his or her license to the Department. If the licensee 17 fails to do so, the Department has the right to seize the license. 18

19 Section 160. Summary suspension of license. The Director may summarily suspend the license of a genetic counselor 20 without a hearing, simultaneously with the institution of 21 22 proceedings for a hearing provided for in Section 105 of this 23 Act, if the Director finds that evidence in the possession of 24 the Director indicates that the continuation of practice by the genetic counselor would constitute an imminent danger to the 25 26 public. In the event that the Director summarily suspends the license of an individual without a hearing, a hearing must be 27 28 held within 30 days after the suspension has occurred.

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Section 165. Administrative review; venue.

30 (a) All final administrative decisions of the Department 31 are subject to judicial review pursuant to the Administrative - 26 - LRB093 15047 AMC 40629 b

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Review Law and its rules. The term "administrative decision" is
 defined as in Section 3-101 of the Code of Civil Procedure.

3 (b) Proceedings for judicial review shall be commenced in 4 the circuit court of the county in which the party applying for 5 review resides, but if the party is not a resident of Illinois, 6 the venue shall be in Sangamon County.

7 Section 170. Certification of record; The costs. 8 Department shall not be required to certify any record to the 9 court, to file an answer in court, or to otherwise appear in 10 any court in a judicial review proceeding, unless there is 11 filed in the court, with the complaint, a receipt from the Department acknowledging payment of the costs of furnishing and 12 certifying the record. Failure on the part of the plaintiff to 13 14 file the receipt in court is grounds for dismissal of the 15 action.

Section 175. Violations. Unless otherwise specified, any person found to have violated any provision of this Act is guilty of a Class A misdemeanor.

19 Section 180. Administrative Procedure Act; application. 20 The Illinois Administrative Procedure Act is hereby expressly 21 adopted and incorporated in this Act as if all of the 22 provisions of such Act were included in this Act.

23 Section 185. Home rule. The regulation and licensing of 24 genetic counselors are exclusive powers and functions of the 25 State. A home rule unit may not regulate or license genetic 26 counselors. This Section is a denial and limitation of home 27 rule powers and functions under subsection (h) of Section 6 of 28 Article VII of the Illinois Constitution.