



94TH GENERAL ASSEMBLY
State of Illinois
2005 and 2006
SB2011

Introduced 2/25/2005, by Sen. Peter J. Roskam - Kirk W. Dillard

SYNOPSIS AS INTRODUCED:

5 ILCS 420/4A-102
5 ILCS 420/4A-103

from Ch. 127, par. 604A-102
from Ch. 127, par. 604A-103

Amends the Illinois Governmental Ethics Act. For persons filing statements of economic interests with the Secretary of State, requires the disclosure of entities (now, entities doing business with the State) from or in which the person derived certain income or had certain ownership interests.

LRB094 10775 JAM 41225 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended
5 by changing Sections 4A-102 and 4A-103 as follows:

6 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

7 Sec. 4A-102. The statement of economic interests required
8 by this Article shall include the economic interests of the
9 person making the statement as provided in this Section. The
10 interest (if constructively controlled by the person making the
11 statement) of a spouse or any other party, shall be considered
12 to be the same as the interest of the person making the
13 statement. Campaign receipts shall not be included in this
14 statement.

15 (a) The following interests shall be listed by all persons
16 required to file:

17 (1) The name, address and type of practice of any
18 professional organization or individual professional
19 practice in which the person making the statement was an
20 officer, director, associate, partner or proprietor, or
21 served in any advisory capacity, from which income in
22 excess of \$1200 was derived during the preceding calendar
23 year;

24 (2) The nature of professional services (other than
25 services rendered to the unit or units of government in
26 relation to which the person is required to file) and the
27 nature of the entity to which they were rendered if fees
28 exceeding \$5,000 were received during the preceding
29 calendar year from the entity for professional services
30 rendered by the person making the statement.

31 (3) The identity (including the address or legal
32 description of real estate) of any capital asset from which

1 a capital gain of \$5,000 or more was realized in the
2 preceding calendar year.

3 (4) The name of any unit of government which has
4 employed the person making the statement during the
5 preceding calendar year other than the unit or units of
6 government in relation to which the person is required to
7 file.

8 (5) The name of any entity from which a gift or gifts,
9 or honorarium or honoraria, valued singly or in the
10 aggregate in excess of \$500, was received during the
11 preceding calendar year.

12 (b) The following interests shall also be listed by persons
13 listed in items (a) through (f) and item (l) of Section 4A-101:

14 (1) The name and instrument of ownership in any entity
15 ~~doing business in the State of Illinois,~~ in which an
16 ownership interest held by the person at the date of filing
17 is in excess of \$5,000 fair market value or from which
18 dividends of in excess of \$1,200 were derived during the
19 preceding calendar year. (In the case of real estate,
20 location thereof shall be listed by street address, or if
21 none, then by legal description). No time or demand deposit
22 in a financial institution, nor any debt instrument need be
23 listed;

24 (2) Except for professional service entities, the name
25 of any entity and any position held therein from which
26 income of in excess of \$1,200 was derived during the
27 preceding calendar year, ~~if the entity does business in the~~
28 ~~State of Illinois.~~ No time or demand deposit in a financial
29 institution, nor any debt instrument need be listed.

30 (3) The identity of any compensated lobbyist with whom
31 the person making the statement maintains a close economic
32 association, including the name of the lobbyist and
33 specifying the legislative matter or matters which are the
34 object of the lobbying activity, and describing the general
35 type of economic activity of the client or principal on
36 whose behalf that person is lobbying.

1 (c) The following interests shall also be listed by persons
2 listed in items (g), (h), and (i) of Section 4A-101:

3 (1) The name and instrument of ownership in any entity
4 doing business with a unit of local government in relation
5 to which the person is required to file if the ownership
6 interest of the person filing is greater than \$5,000 fair
7 market value as of the date of filing or if dividends in
8 excess of \$1,200 were received from the entity during the
9 preceding calendar year. (In the case of real estate,
10 location thereof shall be listed by street address, or if
11 none, then by legal description). No time or demand deposit
12 in a financial institution, nor any debt instrument need be
13 listed.

14 (2) Except for professional service entities, the name
15 of any entity and any position held therein from which
16 income in excess of \$1,200 was derived during the preceding
17 calendar year if the entity does business with a unit of
18 local government in relation to which the person is
19 required to file. No time or demand deposit in a financial
20 institution, nor any debt instrument need be listed.

21 (3) The name of any entity and the nature of the
22 governmental action requested by any entity which has
23 applied to a unit of local government in relation to which
24 the person must file for any license, franchise or permit
25 for annexation, zoning or rezoning of real estate during
26 the preceding calendar year if the ownership interest of
27 the person filing is in excess of \$5,000 fair market value
28 at the time of filing or if income or dividends in excess
29 of \$1,200 were received by the person filing from the
30 entity during the preceding calendar year.

31 (Source: P.A. 92-101, eff. 1-1-02; 93-617, eff. 12-9-03.)

32 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

33 Sec. 4A-103. The statement of economic interests required
34 by this Article to be filed with the Secretary of State shall
35 be filled in by typewriting or hand printing, shall be

1 verified, dated, and signed by the person making the statement
2 and shall contain substantially the following:

3 STATEMENT OF ECONOMIC INTEREST

4 (TYPE OR HAND PRINT)

5

6 (name)

7

8 (each office or position of employment for which this statement
9 is filed)

10

11 (full post office address to which notification of an
12 examination of this statement should be sent)

13 GENERAL DIRECTIONS:

14 The interest (if constructively controlled by the person
15 making the statement) of a spouse or any other party, shall be
16 considered to be the same as the interest of the person making
17 the statement.

18 Campaign receipts shall not be included in this statement.

19 If additional space is needed, please attach supplemental
20 listing.

21 1. List the name and instrument of ownership in any entity
22 ~~doing business in the State of Illinois,~~ in which the ownership
23 interest held by the person at the date of filing is in excess
24 of \$5,000 fair market value or from which dividends in excess
25 of \$1,200 were derived during the preceding calendar year. (In
26 the case of real estate, location thereof shall be listed by
27 street address, or if none, then by legal description.) No time
28 or demand deposit in a financial institution, nor any debt
29 instrument need be listed.

30 Business Entity	Instrument of Ownership
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33
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35 2. List the name, address and type of practice of any
36 professional organization in which the person making the

1 statement was an officer, director, associate, partner or
 2 proprietor or served in any advisory capacity, from which
 3 income in excess of \$1,200 was derived during the preceding
 4 calendar year.

5 Name	Address	Type of Practice
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9 3. List the nature of professional services rendered (other
 10 than to the State of Illinois) to each entity from which income
 11 exceeding \$5,000 was received for professional services
 12 rendered during the preceding calendar year by the person
 13 making the statement.

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16 4. List the identity (including the address or legal
 17 description of real estate) of any capital asset from which a
 18 capital gain of \$5,000 or more was realized during the
 19 preceding calendar year.

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22 5. List the identity of any compensated lobbyist with whom
 23 the person making the statement maintains a close economic
 24 association, including the name of the lobbyist and specifying
 25 the legislative matter or matters which are the object of the
 26 lobbying activity, and describing the general type of economic
 27 activity of the client or principal on whose behalf that person
 28 is lobbying.

29 Lobbyist	Legislative Matter	Client or Principal
30
31

32 6. List the name of any entity ~~doing business in the State~~
 33 ~~of Illinois~~ from which income in excess of \$1,200 was derived
 34 during the preceding calendar year other than for professional
 35 services and the title or description of any position held in
 36 that entity. (In the case of real estate, location thereof

1 shall be listed by street address, or if none, then by legal
2 description). No time or demand deposit in a financial
3 institution nor any debt instrument need be listed.

4	Entity	Position Held
5
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8 7. List the name of any unit of government which employed
9 the person making the statement during the preceding calendar
10 year other than the unit or units of government in relation to
11 which the person is required to file.

12
13

14 8. List the name of any entity from which a gift or gifts,
15 or honorarium or honoraria, valued singly or in the aggregate
16 in excess of \$500, was received during the preceding calendar
17 year.

18

19 VERIFICATION:

20 "I declare that this statement of economic interests
21 (including any accompanying schedules and statements) has been
22 examined by me and to the best of my knowledge and belief is a
23 true, correct and complete statement of my economic interests
24 as required by the Illinois Governmental Ethics Act. I
25 understand that the penalty for willfully filing a false or
26 incomplete statement shall be a fine not to exceed \$1,000 or
27 imprisonment in a penal institution other than the penitentiary
28 not to exceed one year, or both fine and imprisonment."

29

30 (date of filing) (signature of person making the statement)

31 (Source: P.A. 92-101, eff. 1-1-02.)