# 98TH GENERAL ASSEMBLY

## State of Illinois

# 2013 and 2014

#### HB2982

by Rep. Patricia R. Bellock

## SYNOPSIS AS INTRODUCED:

210 ILCS 9/66 new 210 ILCS 9/67 new 210 ILCS 9/96 new 210 ILCS 9/97 new 210 ILCS 9/112 new

Amends the Assisted Living and Shared Housing Act. Sets forth circumstances under which the Department of Public Health may initiate a review of an assisted living or shared housing establishment's license. Adds provisions concerning the appointment of a monitor or receiver if the Department has identified systemic risks to residents. Requires the Department to make available information concerning (i) the reporting of abuse, neglect, or exploitation of residents and (ii) other matters. Sets forth procedures by which an adult resident who does not have a legal guardian and has not been adjudicated incompetent may designate another adult to serve as his or her representative for the purpose of receiving certain notifications. Adds provisions concerning checks of the Health Care Worker Registry and other registries with respect to persons employed at an assisted living or shared housing establishment; prohibits employment of a person found to have a disqualifying conviction or involvement in a substantiated case of abuse or neglect. Effective January 1, 2014.

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FISCAL NOTE ACT MAY APPLY

A BILL FOR

AN ACT concerning regulation.

#### Be it enacted by the People of the State of Illinois, 2 represented in the General Assembly: 3

Section 5. The Assisted Living and Shared Housing Act is 4 5 amended by adding Sections 66, 67, 96, 97, and 112 as follows:

6 (210 ILCS 9/66 new)

7 Sec. 66. Department's initiation of licensure review. The Department shall adopt rules to establish a process by which it 8 9 may initiate a review of an assisted living or shared housing establishment's licensure under this Act upon the Department's 10 determination that any of the following circumstances exist: 11 12 (1) There is a disproportionate number or percentage of 13 licensure complaints against an establishment. 14 (2) There is a disproportionate number or percentage of substantiated cases of abuse, neglect, or exploitation of 15 16 residents of an establishment. 17 (3) There has been an apparent unnatural death of a resident of an establishment. 18 19 (4) There has been eqregious or life-threatening abuse 20 or neglect of a resident of an establishment. 21 (5) There has been any other significant event as

22 determined by the Department.

The rules shall include the timeframe within which the 23

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# 1 <u>Department may initiate a review of an establishment's</u> 2 <u>licensure.</u>

3

(210 ILCS 9/67 new)

#### 4 Sec. 67. Appointment of monitor or receiver.

5 The Department shall adopt rules to govern the (a) 6 appointment and conduct of a monitor or receiver for an assisted living or shared housing establishment when the 7 8 Department has identified systemic risks to residents of the establishment. The rules shall specify the criteria for 9 10 determining the need for an independent monitor or receiver, 11 the monitor or receiver's conduct once appointed, and the 12 requirements for a monitor or receiver to report to the 13 Department. A monitor or receiver must be an independent entity appointed by the Department and may not be an employee of the 14 15 Department or of another State agency.

# 16 <u>(b) This Section does not limit the Department's authority</u> 17 <u>to take any necessary action with respect to an assisted living</u> 18 <u>or shared housing establishment by using employees of the</u> 19 Department or of another State agency.

# 20 (210 ILCS 9/96 new) 21 Sec. 96. Department to make information available. 22 (a) Upon an individual becoming a resident of an assisted 23 living or shared housing establishment, the Department shall 24 make available to the resident, and to his or her guardian or

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1	representative, if any, a document listing telephone numbers
2	and other contact information by which suspected cases of
3	abuse, neglect, or exploitation of residents of establishments
4	may be reported. The information provided by the Department
5	shall include a statement of residents' rights.
6	(b) The Department shall make available through its
7	official website information on each assisted living or shared
8	housing establishment licensed under this Act. The information
9	shall include, but need not be limited to, the following:
10	(1) Licensure and quality assurance survey results
11	with respect to each establishment.

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12 (2) Licensure and contract status with respect to each
 13 establishment.

14 <u>(3) Substantiated findings of abuse, egregious</u> 15 <u>neglect, or exploitation of a resident of an establishment.</u> 16 <u>(c) The Department shall adopt rules regarding making the</u> 17 <u>information available and shall inform residents and their</u> 18 <u>guardians or representatives of its availability during the</u> 19 <u>initial provider selection process.</u>

20 (210 ILCS 9/97 new)
21 Sec. 97. Resident's designation of representative to
22 receive notifications.
23 (a) An adult resident of an assisted living or shared
24 housing establishment who does not have a legal guardian and
25 has not been adjudicated incompetent may designate another

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1	adult of his or her choice to serve as his or her
2	representative for the sole purpose of receiving notification
3	from the establishment or from the Department concerning any
4	incident or condition regarding the resident's health, safety,
5	or well-being. The establishment shall inform the resident of
6	his or her right to designate another adult as a representative
7	for such purposes. The designation must be made in writing and
8	signed by the resident, the designated representative, and a
9	representative of the establishment. The designation may be
10	revoked in writing by the resident at any time.

11 (b) An assisted living or shared housing establishment 12 shall provide a form by which a resident may designate a 13 representative that is substantially the same as the following:

#### 14

#### "DESIGNATION OF REPRESENTATIVE

- 15 <u>I, (insert name), am (insert age) years old and reside at</u> 16 <u>(insert address of establishment).</u>
- 17 <u>I have not been adjudicated incompetent and do not have a legal</u> 18 <u>guardian.</u>
- 19 I hereby delegate (insert name, phone number, and e-mail 20 address of designated representative), an adult who resides at 21 (insert address), as my representative for the sole purpose of 22 receiving notification of any incident that may affect my

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1	health, safety, or well-being while a resident at (insert name
2	and address of establishment), and hereby give my consent to
3	(insert name of establishment) to communicate with (insert name
4	of designated representative) about any such incident.
5	I understand that I may revoke this Designation of
6	Representative at any time by notifying (insert name of
7	establishment) in writing that I wish to do so.
8	I also understand that by executing this document I am waiving
9	my right to confidentiality, but only to the extent of the
10	authority conveyed in this document.
11	(Insert Name of Resident)
12	<u></u>
13	<u>Signature of Resident</u>
14	<u>(Insert Name of Resident's Representative)</u>
15	<u></u>
16	Signature of Representative
17	(Insert Name of Establishment Representative)
18	<u></u>

# 1 <u>Signature of Representative".</u>

2	(210 ILCS 9/112 new)			
3	Sec. 112. Establishment employees; registry checks.			
4	(a) Within 60 days after the effective date of this			
5	amendatory Act of the 98th General Assembly, the Department			
6	shall require every assisted living or shared housing			
7	establishment licensed under this Act to conduct registry			
8	checks with respect to each of its employees as provided in			
9	this Section. An establishment must conduct a registry check at			
10	the time an employee is hired and annually thereafter during			
11	the period of employee's employment. The following registries			
12	must be checked:			
13	(1) The Health Care Worker Registry.			
14	(2) The Department of Children and Family Services'			
14 15	(2) The Department of Children and Family Services' State Central Register.			
15	State Central Register.			
15 16	<u>State Central Register.</u> (3) The Illinois Sex Offender Registry.			
15 16 17	<u>State Central Register.</u> (3) The Illinois Sex Offender Registry. (b) A person may not be employed by an assisted living or			
15 16 17 18	<u>State Central Register.</u> <u>(3) The Illinois Sex Offender Registry.</u> <u>(b) A person may not be employed by an assisted living or</u> <u>shared housing establishment if he or she is found to have a</u>			
15 16 17 18 19	<u>State Central Register.</u> <u>(3) The Illinois Sex Offender Registry.</u> <u>(b) A person may not be employed by an assisted living or</u> <u>shared housing establishment if he or she is found to have a</u> <u>disqualifying conviction or a disqualifying substantiated case</u>			
15 16 17 18 19 20	<u>State Central Register.</u> <u>(3) The Illinois Sex Offender Registry.</u> <u>(b) A person may not be employed by an assisted living or</u> <u>shared housing establishment if he or she is found to have a</u> <u>disqualifying conviction or a disqualifying substantiated case</u> <u>of abuse or neglect. At the time of an annual registry check</u> ,			
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15 16 17 18 19 20 21 22	<u>State Central Register.</u> <u>(3) The Illinois Sex Offender Registry.</u> <u>(b) A person may not be employed by an assisted living or</u> <u>shared housing establishment if he or she is found to have a</u> <u>disqualifying conviction or a disqualifying substantiated case</u> <u>of abuse or neglect. At the time of an annual registry check,</u> <u>if a current employee's name has been placed on a registry with</u> <u>a disqualifying conviction or a disqualifying substantiated</u>			

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1       (1) For purposes of a check of the Health Care Worker         2       Registry, "disqualifying conviction" or "disqualifying         3       substantiated case of abuse or neglect" means (i) a         4       disqualifying offense as set forth in Section 25 of the         5       Health Care Worker Background Check Act or (ii) an event         6       identified by the Department of Human Services' standards         7       for investigation of suspected cases of abuse, neglect, or         8       financial exploitation under Section 1-17 of the         9       Department of Human Services Act.         10       (2) For purposes of a check of the Department of         11       Children and Family Services' State Central Register,         12       "disqualifying conviction" or "disqualifying substantiated         13       case of abuse or neglect" means an event identified by the         14       Department of Children and Family Services' standards for         15       background checks contained in Part 385 of Title 89 of the         16       Illinois Administrative Code.         17       (c) In collaboration with the Department of Children and         18       Family Services and the Department of Public Health, the         19       Department of Human Services shall establish a waiver process         11		
3         substantiated case of abuse or neglect" means (i) a           4         disgualifying offense as set forth in Section 25 of the           5         Health Care Worker Background Check Act or (ii) an event           6         identified by the Department of Human Services' standards           7         for investigation of suspected cases of abuse, neglect, or           8         financial exploitation under Section 1-17 of the           9         Department of Human Services Act.           10         (2) For purposes of a check of the Department of           11         Children and Family Services' State Central Register,           12         "disgualifying conviction" or "disgualifying substantiated           13         case of abuse or neglect" means an event identified by the           14         Department of Children and Family Services' standards for           15         background checks contained in Part 385 of Title 89 of the           16         Illinois Administrative Code.           17         (c) In collaboration with the Department of Children and           18         Family Services and the Department of Public Health, the           19         Department of Human Services shall establish a waiver process           20         from the prohibition of employment or termination of employment           21         requirements in subsection (a	1	(1) For purposes of a check of the Health Care Worker
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17 (c) In collaboration with the Department of Children and 18 Family Services and the Department of Public Health, the 19 Department of Human Services shall establish a waiver process 20 from the prohibition of employment or termination of employment 21 requirements in subsection (a) of this Section for any 22 applicant or employee listed under the Department of Children 23 and Family Services' State Central Register seeking to be hired 24 or maintain his or her employment with a community 25 developmental services agency under this Act. The waiver	15	background checks contained in Part 385 of Title 89 of the
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20 <u>from the prohibition of employment or termination of employment</u> 21 <u>requirements in subsection (a) of this Section for any</u> 22 <u>applicant or employee listed under the Department of Children</u> 23 <u>and Family Services' State Central Register seeking to be hired</u> 24 <u>or maintain his or her employment with a community</u> 25 <u>developmental services agency under this Act. The waiver</u>	18	Family Services and the Department of Public Health, the
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24 <u>or maintain his or her employment with a community</u> 25 <u>developmental services agency under this Act. The waiver</u>	22	applicant or employee listed under the Department of Children
25 developmental services agency under this Act. The waiver	23	and Family Services' State Central Register seeking to be hired
	24	or maintain his or her employment with a community
26 process for applicants and employees outlined under Section 40	25	developmental services agency under this Act. The waiver
	26	process for applicants and employees outlined under Section 40

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1	of the Health Care Worker	Background	Check Act sh	all remain in
2	effect for individuals	listed on	the Health	Care Worker
3	Registry.			
4	(d) In order to effe	ctively and	efficiently	comply with
5	subsections (a) and (b), t	the Departme	nt of Childr	en and Family
6	<u>Services shall take immedi</u>	ate actions	to streamlin	e the process
7	for checking the State Cer	ntral Regist	er for emplo	yees hired by
8	<u>community developmental s</u>	services age	ncies refere	enced in this
9	Act. These actions may	include est	ablishing a	website for
10	registry checks or esta	blishing a	registry c	heck process
11	similar to the Health Care	Worker Regi	stry.	
12	Soction 99 Effoctive	dato Thic	Not takes of	ffoot January

Section 99. Effective date. This Act takes effect January 1, 2014.