

Rep. Scott Drury

## Filed: 3/6/2015

	09900HB1535ham001 LRB09	99 08776 NHT 32144 a
1	AMENDMENT TO HOUSE BILL 15	35
2	AMENDMENT NO Amend House Bill	l 1535 by replacing
3	everything after the enacting clause with the following:	
4	"Section 5. The Illinois School Stud	ent Records Act is
5	amended by changing Sections 2 and 6 as foll	OWS:
6	(105 ILCS 10/2) (from Ch. 122, par. 50-2)	
7	Sec. 2. As used in this Act,	
8	(a) "Student" means any person enrolled or previously	
9	enrolled in a school.	
10	(b) "School" means any public preschoo	l, day care center,
11	kindergarten, nursery, elementary or sec	condary educational
12	institution, vocational school, special e	ducational facility
13	or any other elementary or secondary edu	acational agency or
14	institution and any person, agency or	institution which
15	maintains school student records from more than one school, but	
16	does not include a private or non-public sch	nool.

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(c) "State Board" means the State Board of Education.

(d) "School Student Record" means any writing or other 2 recorded information concerning a student and by which a 3 4 student may be individually or personally identified, 5 maintained by a school or at its direction or by an employee of a school, regardless of how or where the information is stored. 6 The following shall not be deemed school student records under 7 8 this Act: writings or other recorded information maintained by 9 an employee of a school or other person at the direction of a 10 school for his or her exclusive use; provided that all such 11 writings and other recorded information are destroyed not later than the student's graduation or permanent withdrawal from the 12 school; and provided further that no such records or recorded 13 14 information may be released or disclosed to any person except a 15 person designated by the school as a substitute unless they are 16 first incorporated in a school student record and made subject to all of the provisions of this Act. School student records 17 shall not include information maintained by law enforcement 18 19 professionals working in the school.

(e) "Student Permanent Record" means the minimum personal information necessary to a school in the education of the student and contained in a school student record. Such information may include the student's name, birth date, address, grades and grade level, parents' names and addresses, attendance records, and such other entries as the State Board may require or authorize. 09900HB1535ham001 -3- LRB099 08776 NHT 32144 a

1 "Student Temporary Record" means all information (f) 2 contained in a school student record but not contained in the student permanent record. Such information may include family 3 background information, intelligence test scores, aptitude 4 5 test scores, psychological and personality test results, 6 teacher evaluations, and other information of clear relevance to the education of the student, all subject to regulations of 7 the State Board. The information shall include information 8 9 provided under Section 8.6 of the Abused and Neglected Child 10 Reporting Act. In addition, the student temporary record shall 11 include information regarding serious disciplinary infractions that resulted in expulsion, suspension, or the imposition of 12 13 punishment or sanction. For purposes of this provision, serious 14 disciplinary infractions means: infractions involving drugs, 15 weapons, or bodily harm to another.

16 (q) "Parent" means a person who is the natural parent of the student or other person who has the primary responsibility 17 for the care and upbringing of the student. All rights and 18 privileges accorded to a parent under this Act shall become 19 20 exclusively those of the student upon his 18th birthday, graduation from secondary school, marriage or entry into 21 military service, whichever occurs first. Such rights and 22 23 privileges may also be exercised by the student at any time 24 with respect to the student's permanent school record.

25 (h) "Eligible Student" means a student who has reached 18 26 years of age.

1	(i) "School Board" means any school board, board of	
2	directors, or any other governing body established under the	
3	School Code.	
4	(j) "Personally identifiable information" means:	
5	(1) the student's name;	
6	(2) the name of the student's parent or quardian or	
7	other family members;	
8	(3) the address of the student or student's family;	
9	(4) a personal identifier, such as the student's social	
10	security number, student number, or biometric record;	
11	(5) other indirect identifiers, such as the student's	
12	date of birth, place of birth, and mother's maiden name;	
13	(6) other information that, alone or in combination, is	
14	linked or linkable to a specific student and that would	
15	allow a reasonable person in the school community, who does	
16	not have personal knowledge of the relevant circumstances,	
17	to identify the student with reasonable certainty; or	
18	(7) information requested by a person who an	
19	educational agency or institution reasonably believes	
20	knows the identity of the student to whom the education	
21	record relates.	
22	(Source: P.A. 92-295, eff. 1-1-02.)	

23 (105 ILCS 10/6) (from Ch. 122, par. 50-6)

24 Sec. 6. (a) No school student records or information 25 contained therein may be released, transferred, disclosed or

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otherwise disseminated, except as follows:

2 (1) to To a parent or student or person specifically
3 designated as a representative by a parent, as provided in
4 paragraph (a) of Section 5;

5 (2) to To an employee or official of the school or
6 school district or State Board with current demonstrable
7 educational or administrative interest in the student, in
8 furtherance of such interest;

9 (3) <u>to</u> <del>To</del> the official records custodian of another 10 school within Illinois or an official with similar 11 responsibilities of a school outside Illinois, in which the 12 student has enrolled, or intends to enroll, upon the 13 request of such official or student;

14 (4) to To any person for the purpose of research, 15 statistical reporting, or planning, provided that such 16 research, statistical reporting, or planning is 17 permissible under and undertaken in accordance with the 18 federal Family Educational Rights and Privacy Act (20 19 U.S.C. 1232g);

20 (5) <u>pursuant</u> Pursuant to a court order, provided that 21 the parent shall be given prompt written notice upon 22 receipt of such order of the terms of the order, the nature 23 and substance of the information proposed to be released in 24 compliance with such order and an opportunity to inspect 25 and copy the school student records and to challenge their 26 contents pursuant to Section 7; 1 2 (6) to To any person as specifically required by State
 or federal law;

3 (6.5) to  $\frac{TO}{TO}$  juvenile authorities when necessary for the discharge of their official duties who request information 4 5 prior to adjudication of the student and who certify in writing that the information will not be disclosed to any 6 7 other party except as provided under law or order of court. 8 For purposes of this Section "juvenile authorities" means: 9 (i) a judge of the circuit court and members of the staff 10 of the court designated by the judge; (ii) parties to the 11 proceedings under the Juvenile Court Act of 1987 and their attorneys; (iii) probation officers and court appointed 12 13 advocates for the juvenile authorized by the judge hearing 14 the case; (iv) any individual, public or private agency 15 having custody of the child pursuant to court order; (v) 16 individual, public or private agency providing anv education, medical or mental health service to the child 17 when the requested information is needed to determine the 18 19 appropriate service or treatment for the minor; (vi) any 20 potential placement provider when such release is 21 authorized by the court for the limited purpose of 22 determining the appropriateness of the potential placement; (vii) law enforcement officers and prosecutors; 23 24 (viii) adult and juvenile prisoner review boards; (ix) 25 authorized military personnel; (x) individuals authorized 26 by court;

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(7) <u>subject</u> Subject to regulations of the State Board, in connection with an emergency, to appropriate persons if the knowledge of such information is necessary to protect the health or safety of the student or other persons;

5 (8) to  $\frac{\pi}{10}$  any person, with the prior specific dated written consent of the parent designating the person to 6 7 whom the records may be released, provided that at the time 8 any such consent is requested or obtained, the parent shall 9 be advised in writing that he has the right to inspect and 10 copy such records in accordance with Section 5, to challenge their contents in accordance with Section 7 and 11 12 limit any such consent to designated records or to 13 designated portions of the information contained therein;

14 (9) to To a governmental agency, or social service 15 agency contracted by a governmental agency, in furtherance 16 of an investigation of a student's school attendance 17 pursuant to the compulsory student attendance laws of this 18 State, provided that the records are released to the 19 employee or agent designated by the agency;

20 (10) to To those SHOCAP committee members who fall 21 within the meaning of "state and local officials and 22 authorities", as those terms are used within the meaning of 23 the federal Family Educational Rights and Privacy Act, for 24 the purposes of identifying serious habitual juvenile 25 offenders and matching those offenders with community 26 resources pursuant to Section 5-145 of the Juvenile Court

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Act of 1987, but only to the extent that the release, transfer, disclosure, or dissemination is consistent with the Family Educational Rights and Privacy Act;

4 (11) to To the Department of Healthcare and Family
5 Services in furtherance of the requirements of Section
6 2-3.131, 3-14.29, 10-28, or 34-18.26 of the School Code or
7 Section 10 of the School Breakfast and Lunch Program Act;
8 or

9 (12) to To the State Board or another State government 10 agency or between or among State government agencies in 11 order to evaluate or audit federal and State programs or 12 perform research and planning, but only to the extent that 13 the release, transfer, disclosure, or dissemination is 14 consistent with the federal Family Educational Rights and 15 Privacy Act (20 U.S.C. 1232g).

16 (a-5) Pursuant to subparagraph (4) of paragraph (a) of this Section, a school board or the State Board may provide school 17 student data to researchers at an accredited post-secondary 18 19 educational institution or an organization conducting research 20 if any such research is conducted in accordance with the 21 federal Family Educational Rights and Privacy Act and does not 22 take place until the following requirements are complied with: (1) Prior to the beginning of each school year, the 23

24 <u>school board shall provide notice to parents, quardians, or</u>
 25 <u>eligible students regarding planned studies. For those</u>
 26 <u>school boards that maintain an Internet website, the school</u>

board shall post on its Internet website a current list of 1 all research studies using data obtained from the school 2 3 board without obtaining consent from parents, guardians, or eligible students currently being conducted or 4 scheduled to be conducted. In April and December of each 5 year, the school board shall update the Internet website to 6 7 include new research studies that are approved or 8 conducted. For those school boards that do not maintain an 9 Internet website, each school board shall provide parents, 10 quardians, and eligible students with a current list of all research studies being conducted or scheduled to be 11 12 conducted in the same notice described above and shall provide supplemental notices in April and December as new 13 14 research studies are approved or conducted. 15 (A) The school board shall send the notice described in this subparagraph (1) by the same means 16 generally used to send notices to parents, guardians, 17

18 <u>or eligible students.</u>

19 (B) The notice described in this subparagraph (1) 20 shall describe generally the purposes of conducting educational research, contain a short description of 21 22 all current and scheduled research studies, and set 23 forth the address of the Internet website containing a 24 current list of all research studies being conducted 25 and scheduled to be conducted, which web address shall 26 also be set forth in the school board's student 1handbook. The notice shall also advise parents,2guardians, and eligible students that the State Board3conducts research studies and shall provide the4Internet website address for that part of the State5Board's website that contains a list of the current and6scheduled studies to be conducted.

7 (C) For those school boards that maintain an 8 Internet website, the webpage that contains the list of 9 all current and scheduled research studies shall also 10 set forth, in general terms, the nature of each listed research study, the categories of students whose data 11 12 will be used in each listed research study, and the 13 names of all organizations involved in each listed 14 research study. For those school boards that do not 15 maintain an Internet website, the school boards shall provide the information described in this subdivision 16 (C) in the notice described in this subparagraph (1). 17 (2) A written data use agreement that complies with the 18 19 federal Family Educational Rights and Privacy Act and its 20 accompanying regulations and, at a minimum, contains the 21 following provisions is entered into by and between the 22 party gaining access to the data of the school board or 23 State Board and the entity with the legal authority to 24 permit the use of the data:

25(A) The accredited post-secondary educational26institution or the organization conducting research

shall abide by all requirements of this subparagraph 1 2 (2). (B) A statement of the purpose, scope, and duration 3 of the research study or studies, as well as a 4 description of the data to be used as part of the study 5 and the person or persons to whom the data will be 6 disclosed; however, the list of persons to whom the 7 8 data may be disclosed may be amended from time to time 9 with the agreement of all parties to the data use 10 agreement. The accredited post-secondary educational 11 (C) 12 institution or the organization conducting research shall use school student records only to meet the 13 14 purpose or purposes of the study as set forth in 15 subdivision (B) of this subparagraph (2). (D) The accredited post-secondary educational 16 17 institution or the organization conducting research may only use data by which a student may be 18 19 individually or personally identified for 2 reasons: 20 (i) to link data files or (ii) to identify eligible 21 students for research studies for which written 22 parental, guardian, or eligible student consent will 23 be obtained for participation and the person or persons 24 to whom such information will be disclosed is set forth 25 in the dat<u>a use agreement.</u> 26 (E) The accredited post-secondary educational 1institution or the organization conducting research2shall destroy all data that individually or personally3identifies a student when the information is no longer4needed, but in no event later than 36 months after the5research study has been completed.

6 <u>(F) The accredited post-secondary educational</u> 7 <u>institution or the organization conducting research</u> 8 <u>shall certify in writing that it has the capacity to</u> 9 <u>and shall restrict access to school student records to</u> 10 <u>the person or persons set forth in subdivision (B) of</u> 11 <u>this subparagraph (2).</u>

12 (G) The accredited post-secondary educational institution or the organization conducting research 13 14 shall certify in writing that it shall maintain the 15 security of all data received pursuant to this paragraph (a-5) in compliance with rules adopted by the 16 State Board, which rules shall be consistent and 17 regularly updated to comply with commonly accepted 18 19 data-security practices, including, but not limited 20 to, those set forth by the United States Department of 21 Education Privacy Technical Assistance Center.

22 <u>(H) In compliance with the rules adopted pursuant</u> 23 <u>to subdivision (G) of this subparagraph (2) and any</u> 24 <u>other rules that may be necessary and adopted by the</u> 25 <u>State Board, the accredited post-secondary educational</u> 26 <u>institution or the organization conducting research</u>

shall develop, implement, maintain, and 1 use appropriate administrative, technical, and physical 2 3 security measures to preserve the confidentiality and integrity of all school student records. 4 5 (3) Accredited post-secondary educational institutions and organizations conducting research may only use data by 6 7 which a student may be personally or individually 8 identified for 2 reasons: (i) to link data files or (ii) to 9 identify eligible students for research studies for which 10 written parental, guardian, or eligible student consent will be obtained for participation and the person or 11 12 persons to whom such information will be disclosed is set forth in the data use agreement. 13 14 (4) The accredited post-secondary institution or the 15 organization conducting research agrees that it shall use personally identifiable information from school student 16 records only to meet the purpose or purposes of the 17 research study or studies as stated in the data use 18 19 agreement described in subparagraph (2) of this paragraph 20 (a-5). (5) Any information by which a student may be 21 22 individually or personally identified shall be released, transferred, disclosed, or otherwise disseminated only as 23 24 contemplated by the written data use agreement described in 25 subparagraph (2) of this paragraph (a-5). 26 (6) All school student records shall have personally

identifiable information removed prior to analysis by the 1 accredited post-secondary educational institution or the 2 3 organization conducting research. 4 (7) The accredited post-secondary institution or 5 organization conducting research shall implement and adhere to policies and procedures that restrict access to 6 7 data that has personally identifiable information. 8 (A) The accredited post-secondary institution or 9 organization conducting research shall designate an 10 individual to act as the custodian of the data with personally identifiable information who is responsible 11 12 for restricting access to that data and provide the 13 name of that individual to the entity with the legal 14 authority to permit the use of the data. 15 (B) Any personally identifiable information used to link data sets shall be securely stored in a 16 location separate and apart from the location of the 17 de-identified school student records, in a secure data 18 19 file. 20 Nothing in this paragraph (a-5) shall prohibit the State 21 Board or any school board from providing personally 22 identifiable information about individual students to an accredited post-secondary educational institution or an 23 24 organization conducting research pursuant to a specific, 25 written agreement with a school board or State Board and in 26 accordance with the federal Family Educational Rights and

## Privacy Act if necessary for the school board or State Board to comply with State or federal statutory mandates.

3 (b) No information may be released pursuant to subparagraph subparagraphs (3) or (6) of paragraph (a) of this Section 6 4 5 unless the parent receives prior written notice of the nature and substance of the information proposed to be released, and 6 an opportunity to inspect and copy such records in accordance 7 8 with Section 5 and to challenge their contents in accordance 9 with Section 7. Provided, however, that such notice shall be 10 sufficient if published in a local newspaper of general 11 circulation or other publication directed generally to the parents involved where the proposed release of information is 12 13 pursuant to subparagraph (6)  $\frac{6}{5}$  of paragraph (a) of  $\frac{1}{5}$  this 14 Section 6 and relates to more than 25 students.

15 (c) A record of any release of information pursuant to this 16 Section must be made and kept as a part of the school student 17 record and subject to the access granted by Section 5. Such 18 record of release shall be maintained for the life of the 19 school student records and shall be available only to the 20 parent and the official records custodian. Each record of 21 release shall also include:

(1) <u>the</u> The nature and substance of the information
released;

(2) <u>the</u> The name and signature of the official records
 custodian releasing such information;

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(3) <u>the</u> mame of the person requesting such

1 information, the capacity in which such a request has been 2 made, and the purpose of such request;

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(4) the The date of the release; and

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(5) a  $\frac{1}{2}$  copy of any consent to such release.

5 (d) Except for the student and his parents, no person to 6 whom information is released pursuant to this Section and no 7 person specifically designated as a representative by a parent 8 may permit any other person to have access to such information 9 without a prior consent of the parent obtained in accordance 10 with the requirements of subparagraph (8) of paragraph (a) of 11 this Section.

12 (e) Nothing contained in this Act shall prohibit the 13 publication of student directories which list student names, 14 addresses and other identifying information and similar 15 publications which comply with regulations issued by the State 16 Board.

17 (Source: P.A. 95-331, eff. 8-21-07; 95-793, eff. 1-1-09; 18 96-107, eff. 7-30-09; 96-1000, eff. 7-2-10; revised 19 11-26-14.)".