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1	AMENDMENT TO HOUSE BILL 64
2	AMENDMENT NO Amend House Bill 64 by replacing
3	everything after the enacting clause with the following:
4	"Section 5. The Mental Health and Developmental
5	Disabilities Administrative Act is amended by changing
6	Sections 4, 7, and 15 as follows:
7	(20 ILCS 1705/4) (from Ch. 91 1/2, par. 100-4)
8	Sec. 4. Supervision of facilities and services;
9	quarterly reports.
10	(a) To exercise executive and administrative supervision
11	over all facilities, divisions, programs and services now
12	existing or hereafter acquired or created under the
13	jurisdiction of the Department, including, but not limited
14	to, the following:
15	The Alton Mental Health Center, at Alton
16	The Clyde L. Choate Mental Health and Developmental
17	Center, at Anna
18	The Chester Mental Health Center, at Chester
19	The Chicago-Read Mental Health Center, at Chicago
20	The Elgin Mental Health Center, at Elgin
21	The Metropolitan Children and Adolescents Center, at

1	The Jacksonville Developmental Center, at
2	Jacksonville
3	The Governor Samuel H. Shapiro Developmental Center,
4	at Kankakee
5	The Tinley Park Mental Health Center, at Tinley Park
6	The Warren G. Murray Developmental Center, at
7	Centralia
8	The Jack Mabley Developmental Center, at Dixon
9	The Lincoln Developmental Center, at Lincoln
10	The H. Douglas Singer Mental Health and
11	Developmental Center, at Rockford
12	The John J. Madden Mental Health Center, at Chicago
13	The George A. Zeller Mental Health Center, at Peoria
14	The Andrew McFarland Mental Health Center, at
15	Springfield
16	The Adolf Meyer Mental Health Center, at Decatur
17	The William W. Fox Developmental Center, at Dwight
18	The Elisabeth Ludeman Developmental Center, at Park
19	Forest
20	The William A. Howe Developmental Center, at Tinley
21	Park
22	The Ann M. Kiley Developmental Center, at Waukegan.
23	(b) Beginning not later than July 1, 1977, the
24	Department shall cause each of the facilities under its
25	jurisdiction which provide in-patient care to comply with
26	standards, rules and regulations of the Department of Public
27	Health prescribed under Section 6.05 of the Hospital
28	Licensing Act.
29	(c) The Department shall issue quarterly reports on
30	admissions, deflections, discharges, bed closures,
31	staff-resident ratios, census, and average length of stay,
32	and any adverse federal certification or accreditation
33	findings, if any, for each State-operated facility for the
34	mentally ill and developmentally disabled.

1 (Source: P.A. 91-357, eff. 7-29-99; 91-652, eff. 12-1-99.)

2 (20 ILCS 1705/7) (from Ch. 91 1/2, par. 100-7)

3 Sec. 7. To receive and provide the highest possible quality of humane and rehabilitative care and treatment to 4 5 persons admitted or committed or transferred in accordance with law to the facilities, divisions, programs, 6 and services under the jurisdiction of the Department. No 7 resident of another state shall be received or retained to 8 the exclusion of any resident of this State. No resident of 9 10 another state shall be received or retained to the exclusion of any resident of this State. All recipients of 17 years of 11 age and under in residence in a Department facility other 12 than a facility for the care of the mentally retarded shall 13 be housed in quarters separated from older recipients except 14 15 for: (a) recipients who are placed in medical-surgical units because of physical illness; and (b) recipients between 13 16 17 and 18 years of age who need temporary security measures.

All recipients in a Department facility shall be given a dental examination by a licensed dentist or registered dental hygienist at least once every 18 months and shall be assigned to a dentist for such dental care and treatment as is necessary.

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All medications administered to recipients shall be administered only by those persons who are legally qualified to do so by the laws of the State of Illinois. Medication shall not be prescribed until a physical and mental examination of the recipient has been completed. If, in the clinical judgment of a physician, it is necessary to administer medication to a recipient before the completion of the physical and mental examination, he may prescribe such medication but he must file a report with the facility director setting forth the reasons for prescribing such medication within 24 hours of the prescription. A copy of the

- 1 report shall be part of the recipient's record.
- No later than January 1, 2002, the Department shall adopt
- 3 <u>a model protocol and forms for recording all patient</u>
- 4 diagnosis, care, and treatment at every facility under the
- 5 jurisdiction of the Department. The model protocol and forms
- 6 shall be used by each facility unless the Department
- 7 <u>determines that equivalent alternatives justify an exemption.</u>
- 8 Every facility under the jurisdiction of the Department
- 9 shall maintain a copy of each report of suspected abuse or
- 10 neglect of the patient. Copies of those reports shall be made
- 11 available to the State Auditor General in connection with his
- 12 biennial program audit of the facility as required by Section
- 3-2 of the Illinois State Auditing Act.
- No later than January 1, 2002, every facility under the
- jurisdiction of the Department and all services provided in
- 16 those facilities shall comply with all of the applicable
- 17 <u>standards adopted by the Social Security Administration under</u>
- 18 <u>Subchapter XVIII (Medicare) of the Social Security Act (42</u>
- 19 <u>U.S.C.</u> 1395 1395ccc), if the facility and services may be
- 20 <u>eligible for federal financial participation under that</u>
- 21 <u>federal law.</u>
- 22 (Source: P.A. 86-922; 86-1013; 86-1475.)
- 23 (20 ILCS 1705/15) (from Ch. 91 1/2, par. 100-15)
- Sec. 15. Before any person is released from a facility
- 25 operated by the State pursuant to an absolute discharge or a
- 26 conditional discharge from hospitalization under this Act,
- 27 the facility director of the facility in which such person is
- 28 hospitalized shall determine that such person is not
- 29 currently in need of hospitalization and:
- 30 (a) is able to live independently in the community;
- 31 or
- 32 (b) requires further oversight and supervisory care
- for which arrangements have been made with responsible

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relatives or supervised residential program approved by the Department; or

- (c) requires further personal care or general oversight as defined by the Nursing Home Care Act, for which placement arrangements have been made with a suitable family home or other licensed facility approved by the Department under this Section; or
- (d) requires community mental health services for

 which arrangements have been made with a suitable

 community mental health provider in accordance with

 criteria, standards, and procedures promulgated by rule.

 The suitable community mental health provider shall be

 selected from among the Department's contractual

 designees.

Such determination shall be made in writing and shall become a part of the facility record of such absolutely or conditionally discharged person. When the determination indicates that the condition of the person to be granted an absolute discharge or a conditional discharge is described under subparagraph (c) or (d) of this Section, the name and address of the continuing care facility or home to which such person is to be released shall be entered in the facility record. Where a discharge from a mental health facility is made under subparagraph (c), the Department shall assign the person so discharged to an existing community based not-for-profit agency for participation in day activities suitable to the person's needs, such as but not limited to social and vocational rehabilitation, and other recreational, educational and financial activities unless the community based not-for-profit agency is unable unqualified to accept such assignment. Where the clientele of any not-for-profit agency increases as a result of assignments under this amendatory Act of 2001 1977-by-more-than-3%-over-the-prior year, the Department shall fully reimburse such agency for

1 the <u>increased</u> costs of providing services to such persons in

2 excess-of-such-3%-increase. The Department shall keep written

3 records detailing how many persons have been assigned to a

4 <u>community based not-for-profit agency and how many persons</u>

were not so assigned because the community based agency was

unable to accept the assignments, in accordance with

7 <u>criteria, standards, and procedures promulgated by rule.</u>

8 Whenever a community based agency is found to be unable to

accept the assignments, the name of the agency and the reason

for the finding shall be included in the report.

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Insofar as desirable in the interests of the former recipient, the facility, program or home in which the discharged person is to be placed shall be located in or near in which the person resided prior to community hospitalization or in the community in which the person's family or nearest next of kin presently reside. Placement of the discharged person in facilities, programs or homes located outside of this State shall not be made by the Department unless there are no appropriate facilities, programs or homes available within this State. Out-of-state placements shall be subject to return of recipients so placed upon the availability of facilities, programs or homes within this State to accommodate these recipients, except where placement in a contiguous state results in locating a recipient in a facility or program closer to the recipient's home or family. If an appropriate facility or program becomes available equal to or closer to the recipient's home or family, the recipient shall be returned to and placed at the appropriate facility or program within this State.

To place any person who is under a program of the Department at board in a suitable family home or in such other facility or program as the Department may consider desirable. The Department may place in licensed nursing homes, sheltered care homes, or homes for the aged those

1 persons whose behavioral manifestations and medical and 2 needs are such as to be substantially nursing care 3 indistinguishable from persons already living in 4 facilities. Prior to any placement by the Department under this Section, a determination shall be made by the personnel 5 of the Department, as to the capability and suitability of 6 7 such facility to adequately meet the needs of the person to 8 be discharged. When specialized programs are necessary in 9 order to enable persons in need of supervised living to develop and improve in the community, the Department shall 10 11 place such persons only in specialized residential care facilities which shall meet Department standards including 12 restricted admission policy, special staffing and programming 13 for social and vocational rehabilitation, in addition to 14 t.he 15 requirements of the appropriate State licensing agency. The 16 Department shall not place any new person in a facility license of which has been revoked or not renewed on grounds 17 of inadequate programming, staffing, or medical or adjunctive 18 services, regardless of the pendency of an action for 19 administrative review regarding such revocation or failure to 20 2.1 renew. Before the Department may transfer any person to a 22 licensed nursing home, sheltered care home or home for the 23 aged or place any person in a specialized residential care facility the Department shall notify the person to be 24 25 transferred, or a responsible relative of such person, in writing, at least 30 days before the proposed transfer, with 26 respect to all the relevant facts concerning such transfer, 27 except in cases of emergency when such notice is not 28 29 required. If either the person to be transferred or a 30 responsible relative of such person objects to such transfer, in writing to the Department, at any time after receipt of 31 32 notice and before the transfer, the facility director of the 33 facility in which the person was a recipient shall 34 immediately schedule a hearing at the facility with the

1 presence of the facility director, the person who objected to 2 such proposed transfer, and a psychiatrist who is familiar with the record of the person to be transferred. Such person 3 4 transferred or a responsible relative may be be represented by such counsel or interested party as he 5 may who may present such testimony with respect to the 6 7 proposed transfer. Testimony presented at such hearing shall 8 part of the facility record of the 9 person-to-be-transferred. The record of testimony shall held in the person-to-be-transferred's record in the central 10 11 files of the facility. If such hearing is held a transfer may only be implemented, if at all, in accordance with the 12 results of such hearing. Within 15 days after such hearing 13 the facility director shall deliver his findings based on the 14 record of the case and the testimony presented at 15 16 hearing, by registered or certified mail, to the parties to such hearing. The findings of the facility director shall be 17 deemed a final administrative decision of the Department. For 18 19 purposes of this Section, "case of emergency" means those instances in which the health of the person to be transferred 20 21 is imperiled and the most appropriate mental health care or 22 medical care is available at a licensed nursing home, 23 sheltered care home or home for the aged or a specialized residential care facility. 24 25 Prior to placement of any person in a facility under this Section the Department shall ensure that an appropriate 26 training plan for staff is provided by the facility. Said 27

training may include instruction and demonstration 28 29 Department personnel qualified in the area of mental illness 30 or mental retardation, as applicable to the person to be Training may be given both at the facility from 31 placed. 32 which the recipient is transferred and at the facility receiving the recipient, and may be available on a continuing 33 34 basis subsequent to placement. In a facility providing

1 services to former Department recipients, training shall be

available as necessary for facility staff. Such training

3 will be on a continuing basis as the needs of the facility

4 and recipients change and further training is required.

The Department shall not place any person in a facility which does not have appropriately trained staff in sufficient numbers to accommodate the recipient population already at the facility. As a condition of further or future placements of persons, the Department shall require the employment of additional trained staff members at the facility where said persons are to be placed. The Secretary, or his or her designate, shall establish written guidelines for placement of persons in facilities under this Act. The Department shall keep written records detailing which facilities have been determined to have appropriately trained staff, which facilities have been determined not to have such staff, and all training which it has provided or required under this Section.

Bills for the support for a person boarded out shall be payable monthly out of the proper maintenance funds and shall be audited as any other accounts of the Department. If a person is placed in a facility or program outside the Department, the Department may pay the actual costs of residence, treatment or maintenance in such facility and may collect such actual costs or a portion thereof from the recipient or the estate of a person placed in accordance with this Section.

Other than those placed in a family home the Department shall cause all persons who are placed in a facility, as defined by the Nursing Home Care Act, or in designated community living situations or programs, to be visited at least once during the first month following placement, and once every month thereafter for the first year following placement when indicated, but at least quarterly. After the

first year, visits shall be made at least once per year for

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2 as long as the placement continues. If a long term care 3 facility has periodic care plan conferences, the visitor may 4 participate in those conferences. Visits shall be made by qualified and trained Department personnel, 5 or their contractual designee, in the area of mental health or 6 7 developmental disabilities applicable to the person visited, 8 and shall be made on a more frequent basis when indicated. 9 Department may not use as designee any personnel connected with or responsible to the representatives of any 10 11 facility in which persons who have been transferred under 12 this Section are placed. In the course of such visit there shall be consideration of the following areas, but not 13 limited thereto: effects of transfer on physical and mental 14 15 health of the person, sufficiency of nursing care and medical 16 coverage required by the person, sufficiency of staff personnel and ability to provide basic care for the person, 17 social, recreational and programmatic activities available 18 for the person, and other appropriate aspects of the person's 19 20 environment. The contractual process with the Department's 21 contractual designee shall identify the necessary services in 22 a treatment plan, the resource requirements to provide those services, and the parties responsible for providing those 23 24 resources. A report containing the above observations shall be made 25 to the Department and to any other appropriate agency 26 27 subsequent to each visitation. The report shall contain a detailed assessment of whether the recipient is receiving 28 29 necessary services in the least restrictive environment. If the recipient is not receiving those services, the Department 30 31 shall either require that the facility modify the treatment plan to ensure that those services are provided or make 32 33 arrangements necessary to provide those services elsewhere.

At--the--conclusion--of--one--year--following---absolute---or

1 conditional-discharge,-or-a-longer-period-of-time-if-required

2 by---the---Department,---the--Department--may--terminate--the

3 visitation-requirements-of-this-Section-as-to-a-person-placed

4 in-accordance--with--this--Section,--by--filing--a---written

5 statement---of---termination---setting---forth---reasons---to

substantiate--the--termination-of-visitations-in-the-person's

file,-and-sending-a-copy-thereof-to-the-person,--and--to--his

8 guardian-or-next-of-kin-

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Upon the complaint of any person placed in accordance with this Section or any responsible citizen or upon discovery that such person has been abused, neglected, or improperly cared for, or that the placement does not provide the type of care required by the recipient's current condition, the Department immediately shall investigate, if the well-being, health, care, or safety of any person is affected by any of the above occurrences, and if any one of the above occurrences is verified, the Department shall remove such person at once to a facility of Department or to another facility outside the Department, provided such person's needs can be met at said facility. The Department may also provide any person placed in accordance with this Section who is without available funds, and who is permitted to engage in employment outside the facility, such sums for the transportation, and expenses as may be needed by him until he receives his wages for such employment.

The Department shall promulgate rules and regulations governing the purchase of care for persons who are wards of or who are receiving services from the Department. Such rules and regulations shall apply to all monies expended by any agency of the State of Illinois for services rendered by any person, corporate entity, agency, governmental agency or political subdivision whether public or private outside of the Department whether payment is made through a contractual,

- 1 per-diem or other arrangement. No funds shall be paid to any
- 2 person, corporation, agency, governmental entity or political
- 3 subdivision without compliance with such rules and
- 4 regulations.
- 5 The rules and regulations governing purchase of care
- 6 shall describe categories and types of service deemed
- 7 appropriate for purchase by the Department.
- 8 Any provider of services under this Act may elect to
- 9 receive payment for those services, and the Department is
- 10 authorized to arrange for that payment, by means of direct
- 11 deposit transmittals to the service provider's account
- 12 maintained at a bank, savings and loan association, or other
- 13 financial institution. The financial institution shall be
- 14 approved by the Department, and the deposits shall be in
- 15 accordance with rules and regulations adopted by the
- 16 Department.
- 17 The Department shall keep written records of the number
- of persons it places in long term care facilities each year.
- 19 The records shall include the name and address of each
- 20 <u>facility and the diagnosis of each individual so placed.</u>
- 21 (Source: P.A. 89-507, eff. 7-1-97; 90-423, eff. 8-15-97.)
- 22 Section 10. The Abused and Neglected Long Term Care
- 23 Facility Residents Reporting Act is amended by changing
- 24 Sections 6.2, 6.3, 6.4, 6.5, 6.6, 6.7, and 6.8 as follows:
- 25 (210 ILCS 30/6.2) (from Ch. 111 1/2, par. 4166.2)
- 26 (Section scheduled to be repealed on January 1, 2002)
- 27 Sec. 6.2. Inspector General.
- 28 (a) The Governor shall appoint, and the Senate shall
- 29 confirm, an Inspector General. The Inspector General shall
- 30 <u>be appointed for a term of 4 years and</u> who shall function
- 31 within the Department of Human Services and report to the
- 32 Secretary of Human Services and the Governor. The Inspector

1 General shall function independently within the Department of 2 Human Services with respect to the operations of the office, including the performance of investigations and issuance of 3 4 findings and recommendations. The Inspector General shall independently submit to the Governor any request for 5 appropriations necessary for the ordinary and contingent 6 expenses of the Office of Inspector General, and 7 appropriations for that office shall be separate from the 8 9 <u>Department of Human Services.</u> The Inspector General shall investigate reports of suspected abuse or neglect (as those 10 11 terms are defined in Section 3 of this Act) of patients or residents in any mental health or developmental disabilities 12 facility operated by the Department of Human Services and 13 shall have authority to investigate and take immediate action 14 15 on reports of abuse or neglect of recipients, whether 16 patients or residents, in any mental health or developmental disabilities facility or program that is licensed or 17 certified by the Department of Human Services (as successor 18 19 Department of Mental Health and Developmental the Disabilities) or that is funded by the Department of Human 20 2.1 Services (as successor to the Department of Mental Health and 22 Developmental Disabilities) and is not licensed or certified 23 by any agency of the State. At the specific, written request of an agency of the State other than the Department of Human 24 25 Services (as successor to the Department of Mental Health and Disabilities), the Inspector General may 26 Developmental cooperate in investigating reports of abuse and neglect of 27 persons with mental illness or persons with developmental 28 29 disabilities. The Inspector General shall have 30 supervision over or involvement in routine, programmatic, licensure, or certification operations of the Department of 31 32 Human Services or any of its funded agencies. The Inspector General shall promulgate rules establishing 33 34 minimum requirements for reporting allegations of abuse and

1 neglect and initiating, conducting, and 2 investigations. The promulgated rules shall clearly set forth that in instances where 2 or more State agencies could 3 4 investigate an allegation of abuse or neglect, the Inspector 5 General shall not conduct an investigation that is redundant to an investigation conducted by another State agency. 6 7 rules shall establish criteria for determining, based upon 8 the nature of the allegation, the appropriate method of investigation, which may include, but need not be limited to, 9 site visits, telephone contacts, or requests for written 10 11 responses from agencies. The rules shall also clarify how the Office of the Inspector General shall interact with the 12 licensing unit of the Department of Human Services in 13 investigations of allegations of abuse or neglect. 14 Any 15 allegations or investigations of reports made pursuant 16 this Act shall remain confidential until a final report is completed. The resident or patient who allegedly was abused 17 or neglected and his or her legal guardian shall be informed 18 19 by the facility or agency of the report of alleged abuse or neglect. Final reports regarding unsubstantiated or unfounded 20 21 allegations shall remain confidential, except that final 22 reports may be disclosed pursuant to Section 6 of this Act.

The-Inspector-General-shall-be-appointed-for-a-term-of--4
years.

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(b) The Inspector General shall within 24 hours after receiving a report of suspected abuse or neglect determine whether the evidence indicates that any possible criminal act has been committed. If he determines that a possible criminal act has been committed, or that special expertise is required in the investigation, he shall immediately notify the Department of State Police. The Department of State Police shall investigate any report indicating a possible murder, rape, or other felony. All investigations conducted by the Inspector General shall be conducted in a manner designed to

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ensure the preservation of evidence for possible use in a criminal prosecution.

(b-5) The Inspector General shall make a determination to accept or reject a preliminary report of the investigation neglect based established of alleged abuse or on investigative procedures. The facility or agency may request on additional clarification or reconsideration based information. For cases where the allegation of abuse or neglect is substantiated, the Inspector General shall require the facility or agency to submit a written response. written response from a facility or agency shall address in a concise and reasoned manner the actions that the agency or facility will take or has taken to protect the resident or patient from abuse or neglect, prevent reoccurrences, and identified eliminate problems and shall include implementation and completion dates for all such action.

(c) The Inspector General shall, within 10 calendar days after the transmittal date of a completed investigation where abuse or neglect is substantiated or administrative action is recommended, provide a complete report on the case to the Secretary of Human Services and to the agency in which the abuse or neglect is alleged to have happened. The complete report shall include a written response from the agency or facility operated by the State to the Inspector General that addresses in a concise and reasoned manner the actions that the agency or facility will take or has taken to protect the resident or patient from abuse or neglect, prevent reoccurrences, and eliminate problems identified and shall include implementation and completion dates for all such action. The Secretary of Human Services shall accept or response and establish how the Department will reject the determine whether the facility or program followed the The Secretary may require Department approved response. personnel to visit the facility or agency for training,

- 1 technical assistance, programmatic, licensure, certification purposes. Administrative action, including 2 sanctions, may be applied should the Secretary reject the 3 4 response or should the facility or agency fail to follow the 5 approved response. The facility or agency shall inform 6 resident or patient and the legal guardian whether the reported allegation was substantiated, unsubstantiated, 7 There shall be an appeals process for any person 8 9 agency that is subject to any action based on recommendation or recommendations. 10
- 11 (d) The Inspector General may recommend to the Departments of Public Health and Human Services sanctions to 12 13 be imposed against mental health and developmental disabilities facilities under the jurisdiction 14 t.he 15 Department of Human Services for the protection of residents, 16 including appointment of on-site monitors or receivers, transfer or relocation of residents, and closure of units. 17 18 The Inspector General may seek the assistance of the Attorney 19 General or any of the several State's attorneys in imposing such sanctions. Whenever the Inspector General issues any 20 recommendations to the Secretary of Human Services, the 2.1 22 Secretary shall provide a written response.
- 23 (e) The Inspector General shall establish and conduct 24 periodic training programs for Department of Human Services 25 employees concerning the prevention and reporting of neglect 26 and abuse.
- The Inspector General shall at all times be granted 27 (f) access to any mental health or developmental disabilities 28 29 facility operated by the Department of Human Services, shall 30 establish and conduct unannounced site visits to those facilities at least once annually, and shall be granted 31 32 access, for the purpose of investigating a report of abuse or neglect, to the records of the Department of Human Services 33 34 and to any facility or program funded by the Department of

- 1 Human Services that is subject under the provisions of this
- 2 Section to investigation by the Inspector General for a
- 3 report of abuse or neglect.
- 4 (g) Nothing in this Section shall limit investigations
- 5 by the Department of Human Services that may otherwise be
- 6 required by law or that may be necessary in that Department's
- 7 capacity as the central administrative authority responsible
- 8 for the operation of State mental health and developmental
- 9 disability facilities.
- 10 (h)--This-Section-is-repealed-on-January-1,-2002.
- 11 (Source: P.A. 90-252, eff. 7-29-97; 90-512, eff. 8-22-97;
- 12 90-655, eff. 7-30-98; 91-169, eff. 7-16-99.)
- 13 (210 ILCS 30/6.3) (from Ch. 111 1/2, par. 4166.3)
- 14 (Section scheduled to be repealed on January 1, 2002)
- 15 Sec. 6.3. Quality Care Board. There is created, within
- 16 the Department-of-Human-Services Office of the Inspector
- 17 General, a Quality Care Board to be composed of 7 members
- 18 appointed by the Governor with the advice and consent of the
- 19 Senate. One of the members shall be designated as chairman
- 20 by the Governor. Of the initial appointments made by the
- 21 Governor, 4 Board members shall each be appointed for a term
- of 4 years and 3 members shall each be appointed for a term
- of 2 years. Upon the expiration of each member's term, a
- 24 successor shall be appointed for a term of 4 years. In the
- $\,$ 25 $\,$ case of a vacancy in the office of any member, the Governor $\,$
- 26 shall appoint a successor for the remainder of the unexpired
- 27 term.
- Members appointed by the Governor shall be qualified by
- 29 professional knowledge or experience in the area of law,
- 30 investigatory techniques, or in the area of care of the
- 31 mentally ill or developmentally disabled. Two members
- 32 appointed by the Governor shall be persons with a disability
- or a parent of a person with a disability. Members shall

- 1 serve without compensation, but shall be reimbursed for
- 2 expenses incurred in connection with the performance of their
- 3 duties as members.
- 4 The Board shall meet quarterly, and may hold other
- 5 meetings on the call of the chairman. Four members shall
- 6 constitute a quorum. The Board may adopt rules and
- 7 regulations it deems necessary to govern its own procedures.
- 8 This-Section-is-repealed-on-January-1,-2002.
- 9 (Source: P.A. 91-169, eff. 7-16-99.)
- 10 (210 ILCS 30/6.4) (from Ch. 111 1/2, par. 4166.4)
- 11 (Section scheduled to be repealed on January 1, 2002)
- 12 Sec. 6.4. Scope and function of the Quality Care Board.
- 13 The Board shall monitor and oversee the operations, policies,
- 14 and procedures of the Inspector General to assure the prompt
- 15 and thorough investigation of allegations of neglect and
- 16 abuse. In fulfilling these responsibilities, the Board may
- 17 do the following:
- 18 (1) Provide independent, expert consultation to the
- 19 Inspector General on policies and protocols for
- investigations of alleged neglect and abuse.
- 21 (2) Review existing regulations relating to the
- 22 operation of facilities under the control of the
- 23 Department of Human Services.
- 24 (3) Advise the Inspector General as to the content
- of training activities authorized under Section 6.2.
- 26 (4) Recommend policies concerning methods for
- improving the intergovernmental relationships between the
- office of the Inspector General and other State or
- 29 federal agencies.
- 30 This-Section-is-repealed-on-January-1,-2002.
- 31 (Source: P.A. 91-169, eff. 7-16-99.)
- 32 (210 ILCS 30/6.5) (from Ch. 111 1/2, par. 4166.5)

- 1 (Section scheduled to be repealed on January 1, 2002)
- 2 Sec. 6.5. Investigators. Within--60--days--after--the
- 3 effective--date-of-this-amendatory-Act-of-1992, the Inspector
- 4 General shall establish a comprehensive program to ensure
- 5 every person employed or newly hired to conduct
- 6 investigations shall receive training on an on-going basis
- 7 concerning investigative techniques, communication skills,
- 8 and the appropriate means of contact with persons admitted or
- 9 committed to the mental health or developmental disabilities
- facilities under the jurisdiction of the Department of Human 10
- 11 Services.

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- 12 This-Section-is-repealed-on-January-1,-2002.
- (Source: P.A. 91-169, eff. 7-16-99.) 13
- (210 ILCS 30/6.6) (from Ch. 111 1/2, par. 4166.6) 14
- 15 (Section scheduled to be repealed on January 1, 2002)
- Sec. 6.6. Subpoenas; testimony; penalty. The Inspector 16
- 17 General shall have the power to subpoena witnesses and compel
- production of books and papers pertinent to an 18 the
- investigation authorized by this Act, provided that the power 19
- 20 to subpoena or to compel the production of books and papers
- 21 shall not extend to the person or documents of a labor
- documents of a labor organization relate to the function of

organization or its representatives insofar as the person or

- 24 representing an employee subject to investigation under this
- Act. Mental health records of patients shall be confidential 25
- 26 provided under the Mental Health and Developmental
- Disabilities Confidentiality Act. Any person who fails to 27
- 28 appear in response to a subpoena or to answer any question or
- 29 produce any books or papers pertinent to an investigation
- under this Act, except as otherwise provided in this Section, 30
- 31 or who knowingly gives false testimony in relation to an
- investigation under this Act is guilty of a Class A 32
- 33 misdemeanor.

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1
         This-Section-is-repealed-on-January-1,-2002.
      (Source: P.A. 91-169, eff. 7-16-99.)
 2
 3
         (210 ILCS 30/6.7) (from Ch. 111 1/2, par. 4166.7)
         (Section scheduled to be repealed on January 1, 2002)
 4
         Sec. 6.7. Annual report. The Inspector General shall
 5
     provide to the General Assembly and the Governor, no later
 6
 7
     than January 1 of each year, a summary of reports and
 8
     investigations made under this Act for the prior fiscal year
     with respect to residents of institutions under
 9
      jurisdiction of the Department of Human Services. The report
10
     shall detail the imposition of sanctions and the final
11
     disposition of those recommendations.
                                            The summaries shall
12
     not contain any confidential or identifying information
13
14
     concerning the subjects of the reports and investigations.
15
     The report shall also include a trend analysis of the number
     of reported allegations and their disposition, for each
16
     facility and Department-wide, for the most recent 3-year time
17
18
     period and a statement, for each facility,
                                                        of
                                                              the
     staffing-to-patient ratios. The ratios shall include only
19
2.0
     the number of direct care staff.
                                         The report shall also
21
     include detailed recommended administrative actions and
22
     matters for consideration by the General Assembly.
         This-Section-is-repealed-on-January-1,-2002.
23
      (Source: P.A. 91-169, eff. 7-16-99.)
24
25
         (210 ILCS 30/6.8) (from Ch. 111 1/2, par. 4166.8)
         (Section scheduled to be repealed on January 1, 2002)
26
27
         Sec. 6.8. Program audit.
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26 (Section scheduled to be repealed on January 1, 2002)

27 Sec. 6.8. Program audit. The Auditor General shall

28 conduct a biennial program audit of the office of the

29 Inspector General in relation to the Inspector General's

30 compliance with this Act. The audit shall specifically

31 include the Inspector General's effectiveness in

32 investigating reports of alleged neglect or abuse of

- 1 residents in any facility operated by the Department of Human
- 2 Services and in making recommendations for sanctions to the
- Departments of Human Services and Public Health. The Auditor 3
- 4 General shall conduct the program audit according to the
- 5 provisions of the Illinois State Auditing Act and shall
- 6 report its findings to the General Assembly no later than
- 7 January 1 of each odd-numbered year.
- 8 This-Section-is-repealed-on-January-1,-2002.
- 9 (Source: P.A. 91-169, eff. 7-16-99.).
- 10 Section 15. The Nursing Home Care Act is amended by
- changing Sections 2-106, 2-106.1, and 3-203 as follows: 11
- 12 (210 ILCS 45/2-106) (from Ch. 111 1/2, par. 4152-106)
- Sec. 2-106. (a) For purposes of this Act, (i) a physical 13
- 14 restraint is any manual method or physical or mechanical
- device, material, or equipment attached or adjacent to a 15
- 16 resident's body that the resident cannot remove easily and
- 17 restricts freedom of movement or normal access to one's body;
- (ii) a chemical restraint is any drug used for discipline or 18
- 19 convenience and not required to treat medical symptoms. The
- 20 Department shall by rule, designate certain devices as
- been determined to be restraints by the United States

restraints, including at least all those devices which have

- 23 Department of Health and Human Services in interpretive
- guidelines issued for the purposes of administering Titles 18 24
- and 19 of the Social Security Acts. 25

21

22

- (b) Neither restraints nor confinements 26 shall be
- 27 employed for the purpose of punishment or for the convenience
- 28 of any facility personnel. No restraints or confinements
- shall be employed except as ordered by a physician who 29
- 30 documents the need for such restraints or confinements in the
- resident's clinical record. Whenever a resident of an 31
- 32 institute for mental diseases is restrained, a member of the

- 1 <u>facility staff shall remain with the resident at all times</u>
- 2 <u>unless the recipient has been confined. A resident who is</u>
- 3 restrained and confined shall be observed by a qualified
- 4 person as often as is clinically appropriate but in no event
- 5 <u>less often than once every 15 minutes.</u>
- 6 (c) A restraint may be used only with the informed
- 7 consent of the resident, the resident's guardian, or other
- 8 authorized representative. A restraint may be used only for
- 9 specific periods, if it is the least restrictive means
- 10 necessary to attain and maintain the resident's highest
- 11 practicable physical, mental or psychosocial well-being,
- 12 including brief periods of time to provide necessary
- 13 life-saving treatment. A restraint may be used only after
- 14 consultation with appropriate health professionals, such as
- 15 occupational or physical therapists, and a trial of less
- 16 restrictive measures has led to the determination that the
- 17 use of less restrictive measures would not attain or maintain
- 18 the resident's highest practicable physical, mental or
- 19 psychosocial well-being. However, if the resident needs
- 20 emergency care, restraints may be used for brief periods to
- 21 permit medical treatment to proceed unless the facility has
- 22 notice that the resident has previously made a valid refusal
- of the treatment in question.
- 24 (d) A restraint may be applied only by a person trained
- in the application of the particular type of restraint.
- 26 (e) Whenever a period of use of a restraint is
- initiated, the resident shall be advised of his or her right
- 28 to have a person or organization of his or her choosing,
- 29 including the Guardianship and Advocacy Commission, notified
- 30 of the use of the restraint. A recipient who is under
- 31 guardianship may request that a person or organization of his
- or her choosing be notified of the restraint, whether or not
- 33 the guardian approves the notice. If the resident so
- 34 chooses, the facility shall make the notification within 24

- 1 hours, including any information about the period of time
- 2 that the restraint is to be used. Whenever the Guardianship
- 3 and Advocacy Commission is notified that a resident has been
- 4 restrained, it shall contact the resident to determine the
- 5 circumstances of the restraint and whether further action is
- 6 warranted.
- 7 (f) Whenever a restraint is used on a resident whose
- 8 primary mode of communication is sign language, the resident
- 9 shall be permitted to have his or her hands free from
- 10 restraint for brief periods each hour, except when this
- 11 freedom may result in physical harm to the resident or
- 12 others.
- 13 (g) The requirements of this Section are intended to
- 14 control in any conflict with the requirements of Sections
- 15 1-126 and 2-108 of the Mental Health and Developmental
- 16 Disabilities Code.
- 17 (Source: P.A. 88-413.)
- 18 (210 ILCS 45/2-106.1)
- 19 Sec. 2-106.1. Drug treatment.
- 20 (a) A resident shall not be given unnecessary drugs. An
- 21 unnecessary drug is any drug used in an excessive dose,
- 22 including in duplicative therapy; for excessive duration;
- 23 without adequate monitoring; without adequate indications for
- 24 its use; or in the presence of adverse consequences that
- 25 indicate the drugs should be reduced or discontinued. The
- 26 Department shall adopt, by rule, the standards for
- 27 unnecessary drugs contained in interpretive guidelines issued
- 28 by the United States Department of Health and Human Services
- 29 for the purposes of administering titles 18 and 19 of the
- 30 Social Security Act.
- 31 (b) Psychotropic medication shall not be prescribed
- 32 without the informed consent of the resident, the resident's
- 33 guardian, or other authorized representative. "Psychotropic

- 1 medication" means medication that is used for or listed as
- 2 used for antipsychotic, antidepressant, antimanic, or
- 3 antianxiety behavior modification or behavior management
- 4 purposes in the latest editions of the AMA Drug Evaluations
- or the Physician's Desk Reference.
- 6 (c) The requirements of this Section are intended to
- 7 control in a conflict with the requirements of Sections 2-102
- 8 1-102 and 2-107.2 of the Mental Health and Developmental
- 9 Disabilities Code with respect to the administration of
- 10 psychotropic medication.
- 11 (Source: P.A. 88-413.)
- 12 (210 ILCS 45/3-203) (from Ch. 111 1/2, par. 4153-203)
- Sec. 3-203. In licensing any facility for persons with a
- 14 developmental disability or persons suffering from mental
- 15 <u>illness (other than Alzheimer's disease or related disorders)</u>
- 16 emotional--or--behavioral--disorders, the Department shall
- 17 consult with the Department of Human Services in developing
- 18 minimum standards for such persons.
- 19 (Source: P.A. 88-380; 89-507, eff. 7-1-97.)
- 20 Section 99. Effective date. This Section, the changes
- 21 to Sections 6.2, 6.3, 6.4, 6.5, 6.6, 6.7, and 6.8 of the
- 22 Abused and Neglected Long Term Care Facility Residents
- 23 Reporting Act, and the changes to Section 3-203 of the
- Nursing Home Care Act take effect upon becoming law.".