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- 1 AMENDMENT TO SENATE BILL 1701
- 2 AMENDMENT NO. _____. Amend Senate Bill 1701, AS AMENDED,
- 3 by replacing everything after the enacting clause with the
- 4 following:
- 5 "Section 1. Short title. This Act may be cited as the
- 6 Elevator Safety and Regulation Act.
- 7 Section 5. Purpose. The purpose of this Act is to
- 8 provide for the public safety of life and limb and to promote
- 9 public safety awareness. The use of unsafe and defective
- 10 lifting devices imposes a substantial probability of serious
- and preventable injury to employees and the public exposed to
- 12 unsafe conditions. The prevention of these injuries and
- 13 protection of employees and the public from unsafe conditions
- 14 is in the best interest of the people of this State. Elevator
- 15 personnel performing work covered by this Act shall, by
- documented training or experience or both, be familiar with
- 17 the operation and safety functions of the components and
- 18 equipment. Training and experience shall include, but not be
- 19 limited to, recognizing the safety hazards and performing the
- 20 procedures to which they are assigned in conformance with the
- 21 requirements of the Act. This Act shall establish the minimum
- 22 standards for elevator personnel.

- 1 This Act is not intended to interfere with the home rule
- 2 powers of a municipality with a population over 500,000,
- 3 including the power to license and regulate any profession or
- 4 occupation.
- 5 The provisions of this Act are not intended to prevent
- 6 the use of systems, methods, or devices of equivalent or
- 7 superior quality, strength, fire resistance, code
- 8 effectiveness, durability, and safety to those required by
- 9 the Act, provided that there is technical documentation to
- demonstrate the equivalency of the system, method, or device,
- as prescribed in ASME A17.1, ASME A18.1, or ASCE 21.
- 12 Section 10. Applicability.
- 13 (a) This Act covers the design, construction, operation,
- 14 inspection, testing, maintenance, alteration, and repair of
- 15 the following equipment, its associated parts, and its
- 16 hoistways (except as modified by subsection (c) of this
- 17 Section):
- 18 (1) Hoisting and lowering mechanisms equipped with a
- 19 car or platform, which move between 2 or more landings.
- This equipment includes, but is not limited to, the
- following (also see ASME A17.1, ASME A17.3, ASME A18.1,
- 22 and ANSI A10.4):
- 23 (A) Elevators.
- 24 (B) Platform lifts and stairway chair lifts.
- 25 (2) Power driven stairways and walkways for carrying
- persons between landings. This equipment includes, but is
- 27 not limited to, the following (also see ASME A17.1 and
- 28 ASME A17.3):
- 29 (A) Escalators.
- 30 (B) Moving walks.
- 31 (3) Hoisting and lowering mechanisms equipped with a
- 32 car, which serves 2 or more landings and is restricted to
- 33 the carrying of material by its limited size or limited

- 1 access to the car. This equipment includes, but is not
- limited to, the following (also see ASME A17.1 and ASME
- 3 A17.3):
- 4 (A) Dumbwaiters.
- 5 (B) Material lifts and dumbwaiters with
- 6 automatic transfer devices.
- 7 (b) This Act covers the design, construction, operation,
- 8 inspection, maintenance, alteration, and repair of automatic
- 9 guided transit vehicles on guideways with an exclusive
- 10 right-of-way. This equipment includes, but is not limited to,
- 11 automated people movers (also see ASCE 21).
- 12 (c) This Act does not apply to the following equipment:
- 13 (1) Material hoists.
- 14 (2) Belt manlifts.
- 15 (3) Mobile scaffolds, towers, and platforms, except
- those covered by ANSI A10.4.
- 17 (4) Powered platforms and equipment for exterior and
- interior maintenance.
- 19 (5) Conveyors and related equipment.

(7) Industrial trucks.

- 20 (6) Cranes, derricks, hoists, hooks, jacks, and slings.
- 23 (8) Portable equipment, except for portable
- escalators.

- 25 (9) Tiering or piling machines used to move
- 26 materials to and from storage located and operating
- 27 entirely within one story.
- 28 (10) Equipment for feeding or positioning materials
- 29 at machine tools, printing presses, etc.
- 30 (11) Skip or furnace hoists.
- 31 (12) Wharf ramps.
- 32 (13) Railroad car lifts or dumpers.
- 33 (14) Line jacks, false cars, shafters, moving
- 34 platforms, and similar equipment used for installing an

- 1 elevator by a contractor licensed in this State.
- 2 (15) Railway and Transit Systems.
- 3 Section 15. Definitions. For the purpose of this Act:
- 4 "Administrator" means the Office of the State Fire
- 5 Marshal.
- 6 "ANSI Al0.4" means the safety requirements for personnel
- 7 hoists, an American National Standard.
- 8 "ASCE 21" means the American Society of Civil Engineers
- 9 Automated People Mover Standards.
- 10 "ASME A17.1" means the Safety Code for Elevators and
- 11 Escalators, an American National Standard.
- "ASME A17.3" means the Safety Code for Existing Elevators
- and Escalators, an American National Standard.
- "ASME A18.1" means the Safety Standard for Platform Lifts
- and Stairway Chairlifts, an American National Standard.
- 16 "Automated people mover" means an installation as defined
- as an "automated people mover" in ASCE 21.
- 18 "Board" means the Elevator Safety Review Board.
- "Certificate of operation" means a certificate issued by
- 20 the Administrator that indicates that the conveyance has
- 21 passed the required safety inspection and tests and fees have
- 22 been paid as set forth in this Act. The Administrator may
- 23 issue a temporary certificate of operation that permits the
- 24 temporary use of a non-compliant conveyance by the general
- 25 public for a limited time of 30 days while minor repairs are
- 26 being completed.
- "Conveyance" means any elevator, dumbwaiter, escalator,
- 28 moving sidewalk, platform lifts stairway chairlifts and
- 29 automated people movers.
- 30 "Elevator" means an installation defined as an "elevator"
- 31 in ASME A17.1.
- 32 "Elevator contractor" means any person, firm, or
- 33 corporation who possesses an elevator contractors license in

- 1 accordance with the provisions of Sections 40 and 55 of this
- 2 Act and who is engaged in the business of erecting,
- 3 constructing, installing, altering, servicing, repairing, or
- 4 maintaining elevators or related conveyance covered by this
- 5 Act.
- 6 "Elevator contractor's license" means a license issued
- 7 to an elevator contractor who has proven his or her
- 8 qualifications and ability and has been authorized by the
- 9 Elevator Safety Review Board to possess this type of license.
- 10 It shall entitle the holder thereof to engage in the business
- of erecting, constructing, installing, altering, servicing,
- 12 testing, repairing, or maintaining elevators or related
- 13 conveyance covered by this Act. The Administrator may issue
- 14 a limited elevator contractor's license authorizing a firm or
- 15 company that employs individuals to carry on a business of
- 16 erecting, constructing, installing, altering, servicing,
- 17 repairing, or maintaining platform lifts and stairway
- 18 chairlifts within any building or structure, including but
- 19 not limited to private residences.
- 20 "Elevator inspector" means any person who possesses an
- 21 elevator inspector's license in accordance with the
- 22 provisions of this Act or any person who performs the duties
- 23 and functions of an elevator inspector for any unit of local
- 24 government with a population greater than 500,000 prior to or
- on the effective date of this Act.
- 26 "Elevator mechanic" means any person who possesses an
- 27 elevator mechanic's license in accordance with the provisions
- of Sections 40 and 45 of this Act and who is engaged in
- 29 erecting, constructing, installing, altering, servicing,
- 30 repairing, or maintaining elevators or related conveyance
- 31 covered by this Act.
- 32 "Elevator mechanic's license" means a license issued to a
- 33 person who has proven his or her qualifications and ability
- 34 and has been authorized by the Elevator Safety Review Board

- 1 to work on conveyance equipment. It shall entitle the holder
- 2 thereof to install, construct, alter, service, repair, test,
- 3 maintain, and perform electrical work on elevators or related
- 4 conveyance covered by this Act.
- 5 "Escalator" means an installation defined as an
- 6 "escalator" in ASME A17.1.
- 7 "Existing installation" means an installation defined as
- 8 an "installation, existing" in ASME A17.1.
- 9 "Inspector's license" means a license issued to a person
- 10 who has proven his or her qualifications and ability and has
- 11 been authorized by the Elevator Safety Review Board to
- 12 possess this type of license. It shall entitle the holder
- thereof to engage in the business of inspecting elevators or
- 14 related conveyance covered by this Act.
- "License" means a written license, duly issued by the
- 16 Administrator, authorizing a person, firm, or company to
- 17 carry on the business of erecting, constructing, installing,
- 18 altering, servicing, repairing, maintaining, or performing
- 19 inspections of elevators or related conveyance covered by
- 20 this Act.
- 21 "Material alteration" means an "alteration" as defined by
- the Board.
- "Moving walk" means an installation as defined a "moving
- 24 walk" in ASME A17.1.
- 25 "Private residence" means a separate dwelling or a
- 26 separate apartment in a multiple dwelling that is occupied by
- 27 members of a single-family unit.
- 28 "Repair" has the meaning defined by the Board, which does
- 29 not require a permit.
- 30 "Temporarily dormant" means an elevator, dumbwaiter, or
- 31 escalator:
- 32 (1) with a power supply that has been disconnected
- 33 by removing fuses and placing a padlock on the mainline
- disconnect switch in the "off" position;

1		(2)	wit	:h a	car	that	is	parked	d and	hoistwa	y doors
2	that	are	in	the	clos	sed a	ınd	latched	posit	ion;	

- (3) with a wire seal on the mainline disconnect switch installed by a licensed elevator inspector;
- (4) that shall not be used again until it has been put in safe running order and is in condition for use;
- (5) requiring annual inspections for the duration of the temporarily dormant status by a licensed elevator inspector;
- (6) that has a "temporarily dormant" status that is renewable on an annual basis, not to exceed a one-year period;
- (7) requiring the inspector to file a report with the chief elevator inspector describing the current conditions; and
- 16 (8) with a wire seal and padlock that shall not be 17 removed for any purpose without permission from the elevator inspector.
- Section 20. License required. 19

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- 2.0 (a) After July 1, 2003, no person shall erect, construct, 21 wire, alter, replace, maintain, remove, or dismantle any 22 conveyance contained within buildings or structures in the jurisdiction of this State unless he or she possesses an 23 24 elevator mechanic's license under this Act and unless he or she works under the direct supervision of a person, firm, or 25 company having an elevator contractor's license in accordance 26 with Section 40 of this Act or exempted by that Section. 27 28 However, a licensed elevator contractor is not required for:
 - (1) removal or dismantling of conveyances that are destroyed as a result of a complete demolition of a secured building or structure or where the hoistway or wellway is demolished back to the basic support structure and where no access is permitted that would endanger the

- 1 safety and welfare of a person; and
- 2 (2) the conveyance is to be installed in the
- 3 hoistway that was demolished to the basic support
- 4 structure.

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- 5 (b) After July 1, 2003, no person shall inspect any
- 6 conveyance within buildings or structures, including, but not
- 7 limited, to private residences, unless he or she has an
- 8 inspector's license.
- 9 Section 25. Elevator Safety Review Board.
- 10 (a) There is hereby created within the Office of the
- 11 State Fire Marshal the Elevator Safety Review Board,
- 12 consisting of 13 members. The Administrator shall appoint 3
- 13 members who shall be representatives of a fire service
- 14 communities. The Governor shall appoint the remaining 8
- 15 members of the Board as follows: one representative from a
- 16 major elevator manufacturing company or its authorized
- 17 representative; one representative from an elevator servicing
- 18 company; one representative of the architectural design
- 19 profession; one representative of the general public; one
- 20 representative of a municipality in this State with a
- 21 population over 500,000; one representative of a municipality

a population under 25,000;

under

50,000;

with

- 23 representative of a municipality in this State with a
- 25 representative of a municipality in this State with a
- 26 population of 50,000 or over but under 500,000; one
- 27 representative of a building owner or manager; and one
- 28 representative of labor involved in the installation,
- 29 maintenance, and repair of elevators.

State

population of 25,000 or over but

- 30 (b) The members constituting the Board shall be appointed
- 31 for initial terms as follows:

this

- 32 (1) Of the members appointed by the Administrator, 2
- 33 shall serve for a term of 2 years, and one for a term of

1 4 years.

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2 (2) Of the members appointed by the Governor, 2
3 shall serve for a term of one year, 2 for terms of 2
4 years, 2 for terms of 3 years, and 2 for terms of 4
5 years.

At the expiration of their initial terms of office, 6 7 members or their successors shall be appointed for terms of 4 years each. Upon the expiration of a member's term of office, 8 9 the officer who appointed that member shall reappoint that member or appoint a successor who is a representative of 10 11 same interests with which his or her predecessor was identified. The Administrator and the Governor may at 12 any of 13 time remove any their respective appointees for inefficiency or neglect of duty in office. Upon the death or 14 incapacity of a member, the officer who appointed that member 15 16 shall fill the vacancy for the remainder of the vacated term by appointing a member who is a representative of the same 17 interests with which his or her predecessor was identified. 18 19 The members shall serve without salary, but shall receive from the State expenses necessarily incurred by them in 20 21 performance of their duties. The Governor shall appoint one 22 of the members to serve as chairperson. The chairperson 23 shall be the deciding vote in the event of a tie vote.

Section 30. Meeting of the Board. The Board shall meet and organize within 10 days after the appointment of its members and at such meeting shall elect one secretary of the Board to serve during the term to be fixed by the rules adopted by the Board. The Board shall meet regularly once each quarter or as often as deemed necessary by the Administrator at a time and place to be fixed by it and at such times as it is deemed necessary for the consideration of code regulations, appeals, variances, and for the transaction of any other business as properly may come before it. Special

- 1 meetings shall be called as provided in Board rules.
- 2 Section 35. Powers and duties of the Board.
- 3 (a) The Board shall consult with engineering authorities
- 4 and organizations and adopt rules consistent with the
- 5 provisions of this Act for the administration and enforcement
- of this Act. The Board may prescribe forms to be issued in
- 7 connection with the administration and enforcement of this
- 8 Act. The rules shall establish standards and criteria
- 9 consistent with this Act for licensing of elevator mechanics,
- 10 inspectors, and installers of elevators, including the
- 11 provisions of the Safety Code for Elevators and Escalators
- 12 (ASME A17.1), the Safety Code for Existing Elevators (ASME
- 13 Al8.1), the Standard for the Qualification of Elevator
- 14 Inspectors (ASME QEI-1), the Automated People Mover Standards
- 15 (ASCE 21), and the safety requirements for personnel hoists
- 16 (ANSI A10.4).
- 17 (b) The Board shall have the authority to grant
- 18 exceptions and variances from the literal requirements of
- 19 applicable State codes, standards, and regulations in cases
- 20 where such variances would not jeopardize the public safety
- 21 and welfare. The Board shall have the authority to hear
- 22 appeals, hold hearings, and decide upon such within 30 days
- of the appeal.
- 24 (c) The Board shall establish fee schedules for licenses,
- 25 permits, certificates, and inspections. The fees shall be set
- 26 at an amount necessary to cover the actual costs and expenses
- 27 to operate the Board and to conduct the duties as described
- in this Act.
- 29 (d) The Board shall be authorized to recommend the
- 30 amendments of applicable legislation, when appropriate, to
- 31 legislators.
- 32 (e) The Administrator may solicit the advice and expert
- 33 knowledge of the Board on any matter relating to the

- 1 administration and enforcement of this Act.
- 2 (f) The Administrator may employ professional, technical,
- 3 investigative, or clerical help, on either a full-time or
- 4 part-time basis, as may be necessary for the enforcement of
- 5 this Act.
- 6 (g) The Board shall not have authority within
- 7 municipalities with a population over 500,000 that have a
- 8 municipal code that covers the design, construction,
- 9 operation, inspection, testing, maintenance, alteration, and
- 10 repair of elevators, dumbwaiters, escalators, and moving
- 11 walks.
- 12 Section 40. Application for contractor's license.
- 13 (a) Any person, firm, or company wishing to engage in the
- 14 business of installing, altering, repairing, servicing,
- 15 replacing, or maintaining elevators, dumbwaiters, escalators,
- or moving walks within this State shall make application for
- 17 a license with the Administrator.
- 18 (b) All applications shall contain the following
- 19 information:
- 20 (1) if the applicant is a person, the name,
- residence, and business address of the applicant;
- 22 (2) if the applicant is a partnership, the name,
- residence, and business address of each partner;
- 24 (3) if the applicant is a domestic corporation, the
- 25 name and business address of the corporation and the name
- and residence address of the principal officer of the
- 27 corporation;
- 28 (4) if the applicant is a corporation other than a
- domestic corporation, the name and address of an agent
- 30 locally located who shall be authorized to accept service
- of process and official notices;
- 32 (5) the number of years the applicant has engaged in
- the business of installing, inspecting, maintaining, or

servicing elevators or platform lifts or both;

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- (6) if applying for an elevator contractor's license, the approximate number of persons, if any, to be employed by the elevator contractor applicant and, if applicable, satisfactory evidence that the employees are or will be covered by workers' compensation insurance;
- (7) satisfactory evidence that the applicant is or will be covered by general liability, personal injury, and property damage insurance;
 - (8) any criminal record of convictions; and
- 11 (9) any other information as the Administrator may 12 require.
- 13 (c) This Section does not apply to a person, firm, or 14 company located in a municipality with a population over 15 500,000 that provides for the licensure of contractors for 16 work performed within the corporate boundaries of a 17 municipality with a population over 500,000.
- Section 45. Qualifications for elevator mechanic's license.
- 20 (a) No license shall be granted to any person who has not 21 paid the required application fee.
- 22 (b) No license shall be granted to any person who has not 23 proven his or her qualifications and abilities. Applicants 24 for an elevator mechanic's license must demonstrate one of 25 the following qualifications:
- acceptable combination of documented 26 (1)an experience and education credits consisting of: (A) not 27 28 less than 3 years work experience in the elevator 29 industry, in construction, maintenance, and service or repair, as verified by current and previous employers 30 31 licensed to do business in this State; and (B) satisfactory completion of a written examination 32 33 administered by the Elevator Safety Review Board on the

adopted rules, referenced codes, and standards;

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- (2) acceptable proof that he or she has worked as an elevator constructor, maintenance, or repair person; acceptable proof shall consist of documentation that he or she worked without direct and immediate supervision for an elevator contractor who has worked on elevators in this State for a period of not less than 3 years immediately prior to the effective date of this Act; the person must make application within one year of the effective date of this Act;
- (3) a certificate of successful completion of the mechanic examination of a nationally recognized training program for the elevator industry such as the National Elevator Industry Educational Program or its equivalent;
- (4) a certificate of completion of an elevator mechanic apprenticeship program with standards substantially equal to those of this Act and registered with the Bureau of Apprenticeship and Training, U.S. Department of Labor, or a State apprenticeship council; or
- 21 (5) a valid license from a state having standards 22 substantially equal to those of this State.
- 23 Section 50. Qualifications for elevator inspector's 24 license.
- 25 (a) No inspector's license shall be granted to any person 26 who has not paid the required application fee.
- 27 (b) No inspector's license shall be granted to any 28 person, unless he or she proves to the satisfaction of the 29 Administrator that he or she meets the current ASME QEI-1, 30 Standards for the Qualifications of Elevator Inspectors.
- 31 (c) Notwithstanding the provisions of subsections (a) 32 and (b) of this Section, the Administrator shall grant an 33 elevator inspector's license to a person engaged in the

- 1 practice of inspecting elevators in a municipality with a
- 2 population over 500,000 who is engaged in business as an
- 3 elevator inspector on the effective date of this Act.
- 4 Section 55. Qualifications for elevator contractor's
- 5 license.
- 6 (a) No license shall be granted to any person or firm
- 7 unless the appropriate application fee is paid.
- 8 (b) No license shall be granted to any person or firm who
- 9 has not proven the required qualifications and abilities. An
- 10 applicant must demonstrate one of the following
- 11 qualifications:
- 12 (1) five years work experience in the elevator
- industry in construction, maintenance, and service or
- 14 repair, as verified by current and previous elevator
- 15 contractor's licenses to do business, or satisfactory
- 16 completion of a written examination administered by the
- 17 Elevator Safety Review Board on the most recent
- 18 referenced codes and standards; or
- 19 (2) proof that the individual or firm holds a valid
- 20 license from a state having standards substantially equal
- 21 to those of this State.
- 22 (c) This Section does not apply to a person or firm
- 23 engaged in business as an elevator contractor in a
- 24 municipality with a population over 500,000 that provides for
- 25 the licensure of elevator contractors for work performed
- 26 within the corporate boundaries of a municipality with a
- population over 500,000.
- 28 Section 60. Issuance and renewal of licenses; fees.
- 29 (a) Upon approval of an application, the Administrator
- 30 may issue a license that must be renewed biannually. The
- 31 renewal fee for the license shall be set by the Board.
- 32 (b) Whenever an emergency exists in the State due to
- 33 disaster or work stoppage and the number of persons in the

State holding licenses granted by the Board is insufficient 2 to cope with the emergency, the licensed elevator contractor shall respond as necessary to assure the safety of the 3 4 public. certified by a licensed elevator Any person contractor to have an acceptable combination of documented 5 б experience and education to perform elevator work without 7 direct and immediate supervision shall seek an emergency elevator mechanic's license from the Administrator within 5 8 9 business days after commencing work requiring a license. administrator shall issue emergency elevator mechanic's 10 11 licenses. The applicant shall furnish proof of competency as 12 the administrator may require. Each license shall recite that it is valid for a period of 30 days from the date thereof and 13 for such particular elevators or geographical areas as 14 15 administrator may designate and otherwise shall entitle the 16 licensee to the rights and privileges of mechanic's license issued under this Act. The administrator 17 shall renew an emergency elevator mechanic's license during 18 19 the existence of an emergency. No fee shall be charged for any emergency elevator mechanic's license or renewal thereof. 20 21 (c) A licensed elevator contractor shall notify the 22 administrator when there are no licensed personnel available 23 to perform elevator work. The licensed elevator contractor may request that the administrator issue temporary elevator 24 25 mechanic's licenses to persons certified by the licensed 26 elevator contractor to have an acceptable combination of documented experience and education to perform elevator work 27 immediate supervision. Any person direct and 28 without. 29 certified by a licensed elevator contractor to have an 30 acceptable combination of documented experience and education perform elevator work without direct and immediate 31 32 supervision shall immediately seek a temporary elevator mechanic's license from the administrator and shall pay such 33 fee as the Board shall determine. Each license shall recite 34

- 1 that it is valid for a period of 30 days from the date of
- 2 issuance and while employed by the licensed elevator
- 3 contractor that certified the individual as qualified. It
- 4 shall be renewable as long as the shortage of license holders
- 5 shall continue.
- 6 (d) The renewal of all licenses granted under the
- 7 provisions of this Section shall be conditioned upon the
- 8 submission of a certificate of completion of a course
- 9 designed to ensure the continuing education of licensees on
- 10 new and existing provisions of the rules of the Elevator
- 11 Safety Review Board. Such course shall consist of not less
- 12 than 8 hours of instruction that shall be attended and
- 13 completed within one year immediately preceding any such
- 14 license renewal.
- 15 (e) The courses referred to in subsection (d) of this
- 16 Section shall be taught by instructors through continuing
- 17 education providers that may include, but shall not be
- limited to, association seminars and labor training programs.
- 19 The Elevator Safety Review Board shall approve the continuing
- 20 education providers. All instructors shall be approved by the
- 21 Board and shall be exempt from the requirements of subsection
- 22 (d) of this Section with regard to their applications for
- 23 license renewal, provided that such applicant was qualified
- 24 as an instructor at any time during the one year immediately
- 25 preceding the scheduled date for such renewal.
- 26 (f) A licensee who is unable to complete the continuing
- 27 education course required under this Section prior to the
- 28 expiration of his or her license due to a temporary
- 29 disability may apply for a waiver from the Board. This shall
- 30 be on a form provided by the Board, which shall be signed
- 31 under the penalty of perjury and accompanied by a certified
- 32 statement from a competent physician attesting to such
- 33 temporary disability. Upon the termination of such temporary
- 34 disability, the licensee shall submit to the Board a

- 1 certified statement from the same physician, if practicable,
- 2 attesting to the termination of the temporary disability, at
- 3 which time a waiver sticker, valid for 90 days, shall be
- 4 issued to the licensee and affixed to his or her license.
- 5 (g) Approved training providers shall keep for a period
- of 10 years uniform records of attendance of licensees
- 7 following a format approved by the Board. These records
- 8 shall be available for inspection by the Board at its
- 9 request. Approved training providers shall be responsible for
- 10 the security of all attendance records and certificates of
- 11 completion, provided that falsifying or knowingly allowing
- 12 another to falsify attendance records or certificates of
- 13 completion shall constitute grounds for suspension or
- 14 revocation of the approval required under this Section.
- 15 Section 65. Penalties; suspension and revocation of
- 16 licenses. A license issued pursuant to this Act may be
- 17 suspended, revoked, or subjected to a penalty by the
- 18 administrator upon verification that any one or more of the
- 19 following reasons exist:
- 20 (1) any false statement as to material matter in the
- 21 application;
- 22 (2) fraud, misrepresentation, or bribery in securing
- 23 a license;
- 24 (3) failure to notify the administrator and the
- owner or lessee of an elevator or related mechanisms of
- any condition not in compliance with this Act; or
- 27 (4) violation of any provisions of this Act or the
- rules promulgated hereunder.
- 29 Section 67. Fire Prevention Fund. All fees and fines
- 30 received by the Administrator under this Act shall be
- 31 deposited into the Fire Prevention Fund. All fees and fines
- 32 shall be used for the duties and administration of this Act.

1 Section 70. Administrative Procedure Act. The Illinois 2 Administrative Procedure Act is hereby expressly adopted and incorporated herein as if all of the provisions of that Act 3 4 included in this Act, except that the provision of 5 subsection (d) of Section 10-65 of the Illinois 6 Administrative Procedure Act that provides that at hearings 7 the licensee has the right to show compliance with all lawful 8 requirements for retention, or continuation or renewal of the license, is specifically excluded. For the purposes of this 9 Act, the notice required under Section 10-25 of the Illinois 10 11 Administrative Procedure Act is deemed sufficient when mailed to the last known address of a party. 12

Section 75. Administrative Review Law. All final administrative decisions of the Administrator or the Board are subject to judicial review under the Administrative Review Law and its rules. The term "administrative decision" is defined as in Section 3-101 of the Code of Civil Procedure.

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Proceedings for judicial review shall be commenced in the circuit court of the county in which the party applying for review resides, but if the party is not a resident of this State, the venue shall be in Sangamon County.

23 Section 80. Registration of existing elevators, platform 24 lifts, dumbwaiters, escalators, moving walks, and any other conveyance. Within 6 months after the date of the appointment 25 of the Board, the owner or lessee of every existing 26 shall register with the Administrator each 27 conveyance 28 elevator, dumbwaiter, platform lift, escalator, or other device described in Section 10 of this Act and provide the 29 30 type, rated load and speed, name of manufacturer, its location, the purpose for which it is used, 31 and such additional information as the Administrator may require. 32

- 1 Elevators, dumbwaiters, platform lifts, escalators, moving
- 2 walks, or other conveyances of which construction has begun
- 3 subsequent to the date of the creation of the Board shall be
- 4 registered at the time they are completed and placed in
- 5 service.
- 6 Section 85. Compliance. It shall be the responsibility
- of individuals, firms, or companies licensed as described in
- 8 this Act to ensure that installation or service and
- 9 maintenance of elevators and devices described in Section 10
- 10 of this Act is performed in compliance with the provisions
- 11 contained in this Act and local regulations.
- 12 Section 90. Permits.
- 13 (a) No conveyance covered by this Act shall be erected,
- 14 constructed, installed, or altered within buildings or
- 15 structures within this State unless a permit has been
- 16 obtained from the Administrator or a municipality or other
- 17 unit of local government. If the permit is obtained from a
- 18 municipality or other unit of local government, the
- 19 municipality or other unit of local government that issued
- 20 the permit shall keep the permit on file for a period of not
- less than one year from the date of issuance and send a copy
- 22 to the Administrator for inspection. Where any material
- 23 alteration is made, the device shall conform to applicable
- 24 requirements in ASME A17.1, ASME A18.1, ASCE 21, or ANSI
- 25 A10.4. No permit required under this Section shall be issued
- 26 except to a person, firm, or corporation holding a current
- 27 elevator contractor's license, duly issued pursuant to this
- 28 Act. A copy of the permit shall be kept at the construction
- 29 site at all times while the work is in progress.
- 30 (b) The permit fee shall be as set by the Board. Permit
- 31 fees collected are non-refundable.
- 32 (c) Each application for a permit shall be accompanied by

- applicable fees and by copies of specifications and accurately scaled and fully dimensioned plans showing the location of the installation in relation to the plans and elevation of the building, the location of the machinery room and the equipment to be installed, relocated, or altered, and all structural supporting members, including foundations. The applicant shall also specify all materials to be employed and all loads to be supported or conveyed. These plans and specifications shall be sufficiently complete to illustrate
 - (d) Permits may be revoked for the following reasons:

all details of construction and design.

- (1) Any false statements or misrepresentation as to the material facts in the application, plans, or specifications on which the permit was based.
- (2) The permit was issued in error and should not have been issued in accordance with the code.
- (3) The work detailed under the permit is not being performed in accordance with the provisions of the application, plans, or specifications or with the code or conditions of the permit.
- (4) The elevator contractor to whom the permit was issued fails or refuses to comply with a "stop work" order.
- (5) If the work authorized by a permit is not commenced within 6 months after the date of issuance, or within a shorter period of time as the Administrator or his or her duly authorized representative in his or her discretion may specify at the time the permit is issued.
- (6) If the work is suspended or abandoned for a period of 60 days, or shorter period of time as the Administrator or his or her duly authorized representative in his or her discretion may specify at the time the permit is issued, after the work has been started. For good cause, the Administrator or his or her

- representative may allow an extension of this period at his or her discretion.
- 3 (e) This Section does not apply to conveyances located
- 4 in a municipality with a population over 500,000 that
- 5 provides for permits of such conveyances.
- 6 Section 95. New installations; annual inspections and 7 registrations.
- 8 (a) All new conveyance installations shall be performed
- 9 by a person, firm, or company to which a license to install
- 10 or service conveyances has been issued. Subsequent to
- 11 installation, the licensed person, firm, or company must
- 12 certify compliance with the applicable Sections of this Act.
- 13 Prior to any conveyance being used, the property owner or
- 14 lessee must obtain a certificate of operation from the
- 15 Administrator, unless the property is located within a
- 16 municipality with a population greater than 500,000. A fee as
- 17 set forth in this Act shall be paid for the certificate of
- 18 operation. It shall be the responsibility of the licensed
- 19 elevator contractor to complete and submit first time
- 20 registration for new installations. The certificate of
- 21 operation fee for newly installed platform lifts and stairway
- 22 chair lifts for private residences shall be subsequent to an
- inspection by a licensed third party inspection firm.
- 24 (b) The certificate of operation fee for all new and
- 25 existing platform and stairway chair lifts for private
- 26 residences and any renewal certificate fees shall be waived.
- 27 The Administrator or his or her designee shall inspect, in
- 28 accordance with the requirements set forth in this Act, all
- 29 newly installed and existing platform lifts and stairway
- 30 chair lifts for private residences subsequent to an
- inspection by a person, firm, or company to which a license
- 32 to inspect conveyances has been issued, unless the private
- 33 residence is located within a municipality with a population

- 1 greater than 500,000.
- 2 (c) A certificate of operation referenced in subsections
- 3 (a) and (b) of this Section is renewable annually, except for
- 4 certificates issued for platform and stairway chairlifts for
- $\,\,$ $\,$ $\,$ private residences, which shall be valid for a $\,$ period of $\,$ 3 $\,$
- 6 years. Certificates of operation must be clearly displayed on
- 7 or in each conveyance or in the machine room for use for the
- 8 benefit of code enforcement staff.
- 9 Section 100. Insurance requirements.
- 10 (a) Elevator contractors shall submit to the
- 11 Administrator an insurance policy or certified copy thereof,
- issued by an insurance company authorized to do business in
- 13 the State, to provide general liability coverage of at least
- 14 \$2,000,000 for injury or death of any one person and
- \$2,000,000 for injury or death of any number of persons in
- any one occurrence, with coverage of at least \$1,000,000 for
- 17 property damage in any one occurrence and statutory workers
- 18 compensation insurance coverage.
- 19 (b) Private elevator inspectors shall submit to the
- 20 Administrator an insurance policy or certified copy thereof,
- 21 issued by an insurance company authorized to do business in
- 22 the State, to provide general liability coverage of at least
- \$2,000,000 for injury or death of any one person and
- \$2,000,000 for injury or death of any number of persons in
- any one occurrence, with coverage of at least \$1,000,000 for
- 26 property damage in any one occurrence and statutory workers
- 27 compensation insurance coverage.
- 28 (c) These policies, or duly certified copies thereof, or
- 29 an appropriate certificate of insurance, approved as to form
- 30 by the Department of Insurance and as to sufficiency by the
- 31 State Comptroller, shall be delivered to the Administrator
- 32 before or at the time of the issuance of a license. In the
- 33 event of a material alteration or cancellation of a policy,

- 1 at least 10 days notice thereof shall be given to the
- 2 Administrator.
- 3 Section 105. Enforcement.
- 4 (a) It shall be the duty of the Elevator Safety Review
- 5 Board to develop an enforcement program to ensure compliance
- 6 with rules and requirements referenced in this Act. This
- 7 shall include, but shall not be limited to, rules for
- 8 identification of property locations that are subject to the
- 9 rules and requirements; issuing notifications to violating
- 10 property owners or operators, random on-site inspections, and
- 11 tests on existing installations; witnessing periodic
- 12 inspections and testing in order to ensure satisfactory
- 13 performance by licensed persons, firms, or companies; and
- assisting in development of public awareness programs.
- 15 (b) Any person may make a request for an investigation
- 16 into an alleged violation of this Act by giving notice to the
- 17 Administrator of such violation or danger. The notice shall
- 18 be in writing, shall set forth with reasonable particularity
- 19 the grounds for the notice, and shall be signed by the person
- 20 making the request. Upon the request of any person signing
- 21 the notice, the person's name shall not appear on any copy of
- 22 the notice or any record published, released, or made
- 23 available.
- 24 (c) If, upon receipt of such notification, the
- 25 Administrator determines that there are reasonable grounds to
- 26 believe that such violation or danger exists, the
- 27 Administrator shall cause to be made an investigation in
- 28 accordance with the provisions of this Act as soon as
- 29 practicable to determine if such violation or danger exists.
- 30 If the Administrator determines that there are no reasonable
- 31 grounds to believe that a violation or danger exists, he or
- 32 she shall notify the party in writing of such determination.
- 33 (d) This Section does not apply within a municipality

- 1 with a population over 500,000.
- Section 110. Liability. 2
- 3 (a) This Act shall not be construed to relieve or lessen
- the responsibility or liability of any person, firm, or 4
- 5 corporation owning, operating, controlling, maintaining,
- erecting, constructing, installing, altering, inspecting, 6
- 7 testing, or repairing any elevator or other
- 8 mechanisms covered by this Act for damages to person or
- property caused by any defect therein, nor does the State or 9
- 10 any unit of local government assume any such liability or
- responsibility therefore or any liability to any person for 11
- whatever reason whatsoever by the adoption of this Act or any 12
- acts or omissions arising under this Act. 13
- 14 Any owner or lessee who violates any of the
- 15 provisions of this Act shall be fined in an amount not to
- exceed \$1,500. 16
- 17 (c) Compliance with this Act is not a defense to a legal
- proceeding. 18

or

- 19 Section 115. Provisions not retroactive. The provisions
- 20 of this Act are not retroactive unless otherwise stated, and
- 21 equipment shall be required to comply with the applicable
- code at the date of its installation or within the period 22
- 23 determined by the Board for compliance with ASME A17.3,
- whichever is more stringent. If, upon the inspection of any 24
- device covered by this Act, the equipment is found in 25
- dangerous condition or there is an immediate hazard to those 26
- 27 riding or using such equipment or if the design or the method
- 28 of operation in combination with devices used is considered
- 30 she shall notify the owner of the condition and shall

inherently dangerous in the opinion of the administrator, he

- order such alterations or additions as may be deemed 31
- 32 necessary to eliminate the dangerous condition.

- 1 Section 120. Inspection and testing.
- 2 (a) It shall be the responsibility of the owner of all
- 3 new and existing conveyances located in any building or
- 4 structure to have the conveyance inspected annually by a
- 5 person, firm, or company to which a license to inspect
- 6 conveyances has been issued. Subsequent to inspection, the
- 7 licensed person, firm, or company must supply the property
- 8 owner or lessee and the Administrator with a written
- 9 inspection report describing any and all violations. Property
- 10 owners shall have 30 days from the date of the published
- inspection report to be in full compliance by correcting the
- 12 violations.
- 13 (b) It shall be the responsibility of the owner of all
- 14 conveyances to have a firm or company licensed as described
- in this Act to ensure that the required inspection and test
- 16 are performed at intervals in compliance with ASME A17.1,
- 17 ASME A18.1, and ASCE 21.
- 18 (c) All tests shall be performed by a licensed elevator
- 19 mechanic.
- 20 Section 125. State law, code, or regulation. Whenever a
- 21 provision in this Act is found to be inconsistent with any
- 22 provision of another applicable State law, code, or rule, the
- 23 State law shall prevail. This Act, unless specifically stated
- otherwise, is not intended to establish more stringent or
- 25 more restrictive standards than standards set forth in other
- 26 applicable State laws.
- 27 Section 130. Accidents. The owner of each conveyance
- 28 shall notify the administrator of any accident causing
- 29 personal injury or property damage in excess of \$1,000 that
- 30 involves a conveyance, on or before the close of business the
- 31 next business day following the accident. The Administrator
- 32 shall investigate and report to the Board the cause of any

- 1 conveyance accident that may occur in the State, the injuries
- 2 sustained, and any other data that may be of benefit in
- 3 preventing other similar accidents.
- Section 135. Elevators in private residences. The owner 4 5 of a conveyance located in his or her private residence may register, pay the required fee, and have his or her existing 6 7 conveyance inspected. The Administrator shall provide notice to the owner of the private residence where the conveyance is 8 located with relevant information about conveyance safety 9 10 requirements, including the need to have the elevator 11 periodically and timely inspected and made safe. Any inspection performed shall be done solely at the request and 12 with the consent of the private residence owner. No penalty 13 14 provision of this Act shall apply to private residence 15 owners.
- 16 Section 140. Local regulation; home rule.

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- 17 (a) A municipality within its corporate limits and a county within unincorporated areas within its boundaries may 18 19 inspect, license, or otherwise regulate elevators and devices described in Section 10 of this Act, but any safety standards 20 21 or regulations adopted by a municipality or county under this subsection must be at least as stringent as those provided 22 23 for in this Act and the rules adopted under this Act. A municipality or county that inspects, licenses, or otherwise 24 regulates elevators and devices described in Section 10 of 25 this Act may impose reasonable fees to cover the cost of the 26 27 inspection, licensure, or other regulation.
 - (b) Except as otherwise provided in subsection (c), a home rule unit may not regulate the inspection or licensure of, or otherwise regulate, elevators and devices described in Section 10 of this Act in a manner less restrictive than the regulation by the State of those matters under this Act. This

- 1 subsection is a limitation under subsection (i) of Section 6
- of Article VII of the Illinois Constitution on the concurrent
- 3 exercise by home rule units of powers and functions exercised
- 4 by the State.
- 5 (c) This Act does not limit the home rule powers of a
- 6 municipality with a population over 500,000, and this Act
- 7 shall not apply within such a municipality if that
- 8 application would be inconsistent with an ordinance adopted
- 9 under those home rule powers.
- 10 Section 900. The Regulatory Sunset Act is amended by
- 11 adding Section 4.23 as follows:
- 12 (5 ILCS 80/4.23 new)
- Sec. 4.23. Act repealed on January 1, 2013. The
- following Act is repealed on January 1, 2013:
- The Elevator Safety and Regulation Act.".