



DATE: August 24, 2023

# **MEMORANDUM**

- TO: The Honorable John F. Curran, Senate Minority Leader The Honorable Don Harmon, Senate President The Honorable Tony McCombie, House Minority Leader The Honorable Emanuel "Chris" Welch, Speaker of the House
- FROM: Grace B. Hou Concor B. Hom Secretary Illinois Department of Human Services

#### SUBJECT: Psychotropic Medication Refusals

The Illinois Department of Human Services respectfully submits the annual Psychotropic Medication Refusals Report on behalf of the Division of Mental Health in order to fulfill the requirements set forth in P.A. 94-1066, 405 ILCS 5/2-107.3.

If you have any questions or comments, please contact Laura Godinez, Deputy Director of Licensing and Quality Management at <u>Laura.Godinez@illinois.gov</u>.

cc: The Honorable JB Pritzker, Governor John W. Hollman, Clerk of the House Tim Anderson, Secretary of the Illinois Senate Legislative Research Unit State Government Report Center

#### State of Illinois Department of Human Services Division of Mental Health Psychotropic Medication Refusals Annual Report Fiscal Year 2023

The Illinois Mental Health and Developmental Disabilities Code section 405 ILCS 5/2-107.3 requires that "each facility director of a State operated health facility shall prepare a quarterly report stating the number of persons who were determined to meet the standard for administration of psychotropic medication or electroconvulsive therapy but for whom it was determined that the filing of such a petition was not warranted as provided for in subsection (h) of Section 5/2-107 of this Code and the reasons for each such determination. The Department shall prepare and publish an annual report summarizing the information received under the section. The Department's report shall include the data from each facility filing such a report shall separately report the data from each such facility identified by facility."

#### Summary FY2023

	Alton	Chester	Chicago Read	Choate	Elgin	Madden	Packard
Average Daily Census	109	284	145	43	390	95	116
Clients Served	210	536	333	106	858	1627	280
Number of Refusals	1378	506	28	408	994	628	198
Patient Days	39,742	103,745	52,897	12,751	142,360	34,512	42,319
Rate of Refusal per 1000 patient days	34.6	4.9	0.53	32.0	7.0	18.2	4.7

#### **General Information**

In 2023, there were at **total of 4140** medication **refusals incidents** by **526** individual **patients** (unduplicated) across all 7 state-operated psychiatric hospitals. Of these only 8 individuals (14 incidents) met the criteria for filing a petition for court ordered medication. 3 petitions were filed and 1 was granted.

The attached annual and quarterly reports show the data by hospital and specifies where refusals occurred, how many posed an imminent risk of harm, how many petitions were filed or the reasons a petition was not filed, and how many petitions were granted.

In summary, Alton Mental Health Center documented the highest number of medication refusal incidents followed by Elgin and Madden. Elgin is the largest hospital so while their number of refusals may be high, the rate of refusals in comparison to the number of patients served is lower. Madden services primarily acute, short-term patients who may initially refuse medication but after a short time are able to agree to medications or who stabilized enough to be discharged without filing a petition. Chicago Read, who serves longer term more stable patients has the fewest number of medication refusals. Though Alton had the highest number of incidents reported, they also had the highest number petitions in progress, and it appears as though they continued to report medication refusal incidents after the petition was already granted.

The number of people refusing medication who posed an imminent risk was relatively low compared to total refusals suggesting that medication refusal alone does not necessarily indicate a person will meet criteria for court ordered medications. Of those who did pose an imminent risk only a very small number individuals had petitions for involuntary medication filed. The reason most often given for not filing a petition is that "other less restrictive services are more appropriate."



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# ALL REFUSALS

#### **Summary Information**

Total Refusals:	4,140	**Total Undup ID's:	526
Total # of Refusals With Imminent Risk:	14	**Total # of Clients with Imminent Risk:	8
	Total Imminent Risk = N:	4,126	
	**Petitions Filed:	3	
**Petitions Granted:	1	**Petitions Denied:	0
**Petitions 'Other':	0	**Petitions Withdrawn:	0

**\*\*** Unduplicated Counts

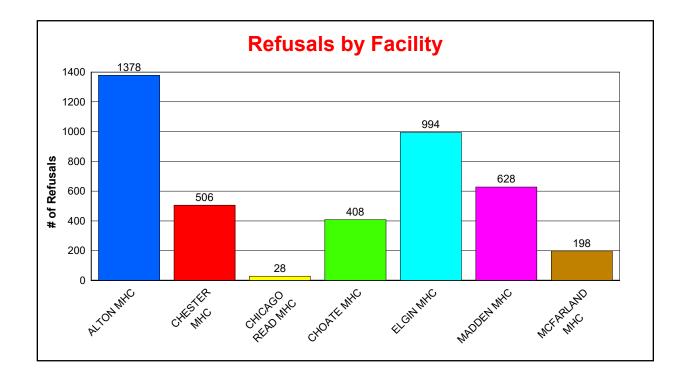


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	То
ALTON MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
A. Does not have a serious mental illness.	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
D. The benefits of enforced medication do not outweigh the potential harm.	
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	
F. Other, less restrictive services are more appropriate.	
G. Other	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
CHESTER MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	
D. The benefits of enforced medication do not outweigh the potential harm.	
F. Other, less restrictive services are more appropriate.	
G. Other	
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	



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	Total
History of compliance without need for court order.	
Other	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
CHICAGO READ MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	
F. Other, less restrictive services are more appropriate.	
СНОАТЕ МНС	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
D. The benefits of enforced medication do not outweigh the potential harm.	
F. Other, less restrictive services are more appropriate.	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
A. Does not have a serious mental illness.	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	



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	Total
D. The benefits of enforced medication do not outweigh the potential harm.	10
F. Other, less restrictive services are more appropriate.	546
G. Other	49
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	76
History of compliance without need for court order.	48
Other	28
3. Yes. Petition for Court-Ordered Medication is in process.	27
Petition for Court-Ordered Medication is in process.	27
MADDEN MHC	628
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	534
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	27
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	2
D. The benefits of enforced medication do not outweigh the potential harm.	82
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	4
F. Other, less restrictive services are more appropriate.	419
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	93
History of compliance without need for court order.	93
3. Yes. Petition for Court-Ordered Medication is in process.	1
Petition for Court-Ordered Medication is in process.	1



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	Total
MCFARLAND MHC	198
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	197
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	1
D. The benefits of enforced medication do not outweigh the potential harm.	91
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	74
F. Other, less restrictive services are more appropriate.	6
G. Other	25
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	1
History of compliance without need for court order.	1

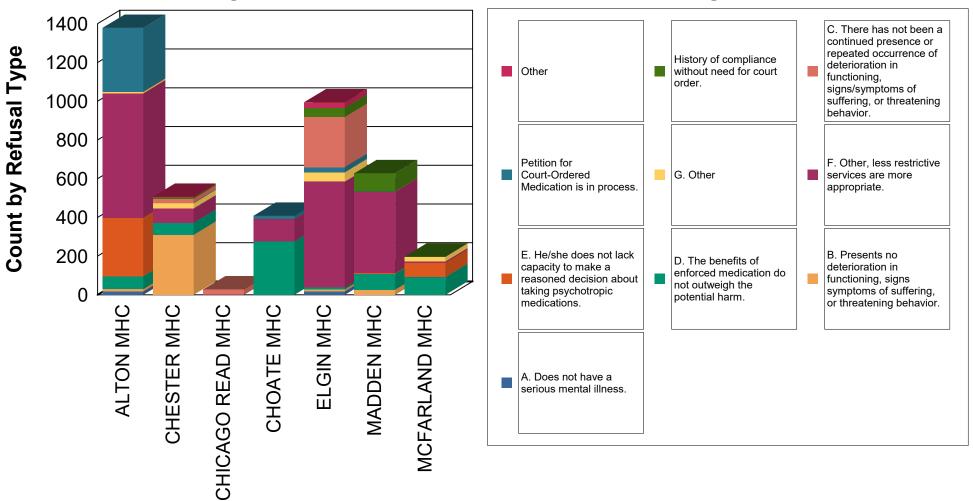


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ALL REFUSALS

# **Summary of Medication Refusals by Hospital**



# **State-Operated Psychiatric Hospital**



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# ALL REFUSALS

#### **Summary Information**

Total Refusals:	925	**Total Undup ID's:	196
Total # of Refusals With Imminent Risk:	11	**Total # of Clients with Imminent Risk:	5
	Total Imminent Risk = N: **Petitions Filed:	914	
**Petitions Granted:	1	**Petitions Denied:	0
**Petitions 'Other':	0	**Petitions Withdrawn:	0

**\*\*** Unduplicated Counts

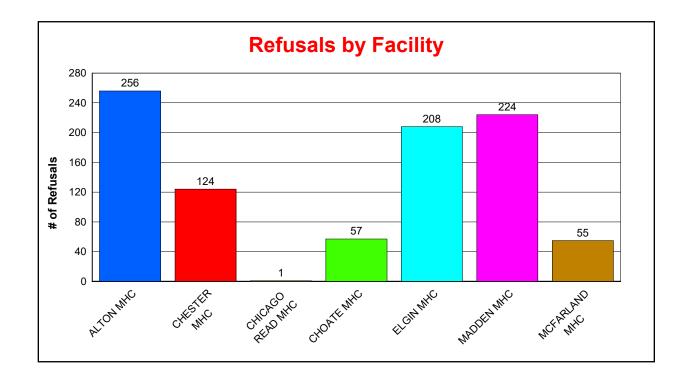


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	т
ALTON MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	
F. Other, less restrictive services are more appropriate.	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
CHESTER MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
D. The benefits of enforced medication do not outweigh the potential harm.	
F. Other, less restrictive services are more appropriate.	
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	
Other	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
CHICAGO READ MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	



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	Total
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	1
СНОАТЕ МНС	57
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	57
D. The benefits of enforced medication do not outweigh the potential harm.	16
F. Other, less restrictive services are more appropriate.	41
ELGIN MHC	208
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	174
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	1
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	36
D. The benefits of enforced medication do not outweigh the potential harm.	1
F. Other, less restrictive services are more appropriate.	126
G. Other	10
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	25
History of compliance without need for court order.	7
Other	18
3. Yes. Petition for Court-Ordered Medication is in process.	9
Petition for Court-Ordered Medication is in process.	9
MADDEN MHC	224
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	176



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	Total
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	3
D. The benefits of enforced medication do not outweigh the potential harm.	36
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	3
F. Other, less restrictive services are more appropriate.	134
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	47
History of compliance without need for court order.	47
3. Yes. Petition for Court-Ordered Medication is in process.	1
Petition for Court-Ordered Medication is in process.	1
MCFARLAND MHC	55
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	55
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	1
D. The benefits of enforced medication do not outweigh the potential harm.	15
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	20
F. Other, less restrictive services are more appropriate.	5
G. Other	14

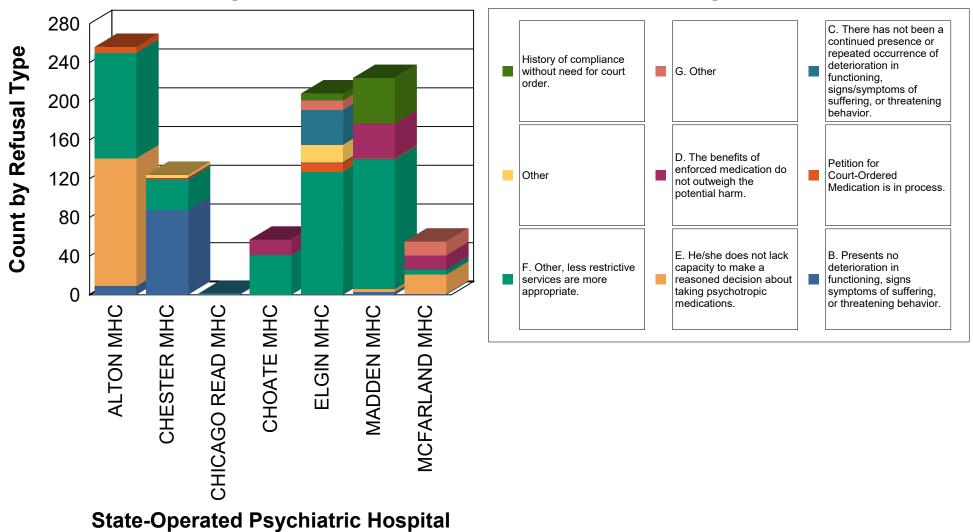


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# **Summary of Medication Refusals by Hospital**





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# ALL REFUSALS

#### **Summary Information**

996	**Total Undup ID's:	186
1	**Total # of Clients with Imminent Risk:	1
Total Imminent Risk = N:	995	
**Petitions Filed:	1	
0	**Petitions Denied:	0
0	**Petitions Withdrawn:	0
	1 Total Imminent Risk = N: **Petitions Filed: 0	1       **Total # of Clients with Imminent Risk:         Total Imminent Risk = N:       995         **Petitions Filed:       1         0       **Petitions Denied:

**\*\*** Unduplicated Counts

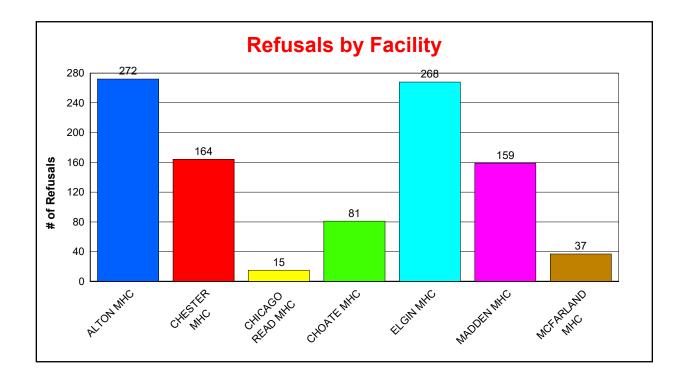


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	Тс
ALTON MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	
F. Other, less restrictive services are more appropriate.	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
CHESTER MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	
D. The benefits of enforced medication do not outweigh the potential harm.	
F. Other, less restrictive services are more appropriate.	
G. Other	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
CHICAGO READ MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	



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	Tot
СНОАТЕ МНС	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
D. The benefits of enforced medication do not outweigh the potential harm.	
ELGIN MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	
D. The benefits of enforced medication do not outweigh the potential harm.	
F. Other, less restrictive services are more appropriate.	
G. Other	
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	
History of compliance without need for court order.	
Other	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
MADDEN MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
D. The benefits of enforced medication do not outweigh the potential harm.	
F. Other, less restrictive services are more appropriate.	



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	Total
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	35
History of compliance without need for court order.	35
MCFARLAND MHC	37
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	36
D. The benefits of enforced medication do not outweigh the potential harm.	21
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	13
F. Other, less restrictive services are more appropriate.	1
G. Other	1
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	1
History of compliance without need for court order.	1

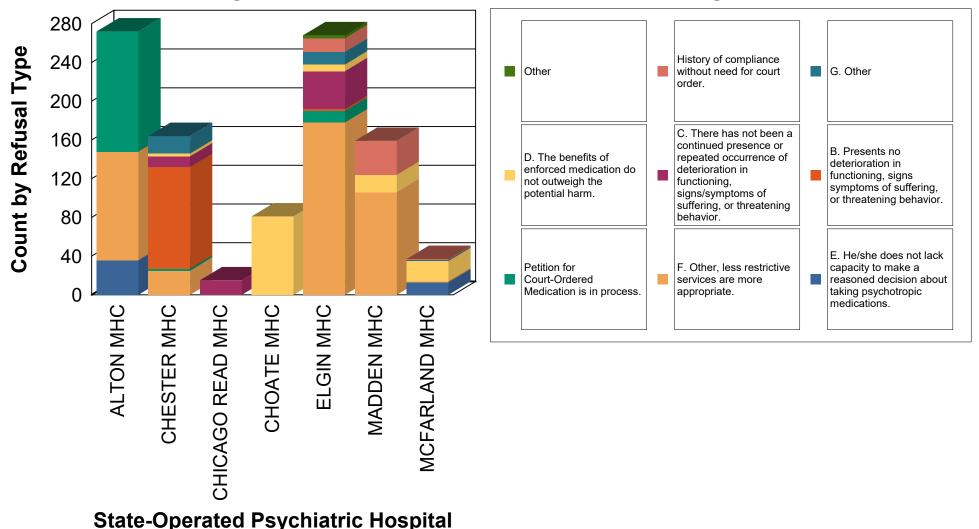


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# **Summary of Medication Refusals by Hospital**





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# ALL REFUSALS

#### **Summary Information**

Total Refusals:	1,017	**Total Undup ID's:	180
Total # of Refusals With Imminent Risk:	0	**Total # of Clients with Imminent Risk:	0
	Total Imminent Risk = N:	1,017	
	<b>**</b> Petitions Filed:	2	
**Petitions Granted:	0	**Petitions Denied:	0
**Petitions 'Other':	0	**Petitions Withdrawn:	0

**\*\*** Unduplicated Counts

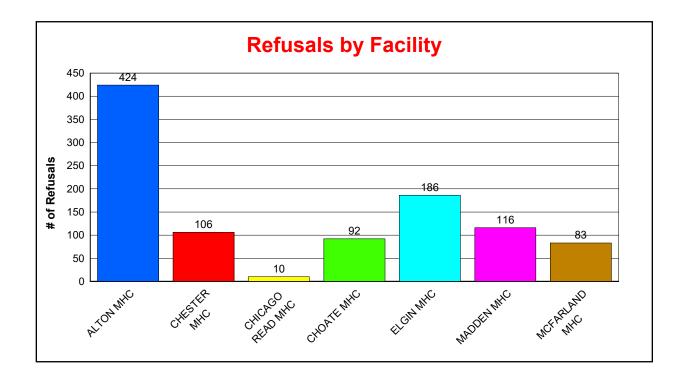


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	То
ALTON MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
D. The benefits of enforced medication do not outweigh the potential harm.	
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	
F. Other, less restrictive services are more appropriate.	
G. Other	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
CHESTER MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	
D. The benefits of enforced medication do not outweigh the potential harm.	
F. Other, less restrictive services are more appropriate.	
G. Other	
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	
History of compliance without need for court order.	
CHICAGO READ MHC	



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	т
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	
СНОАТЕ МНС	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
D. The benefits of enforced medication do not outweigh the potential harm.	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
ELGIN MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	
F. Other, less restrictive services are more appropriate.	
G. Other	
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	
History of compliance without need for court order.	
Other	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	



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	Total
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	108
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	7
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	2
D. The benefits of enforced medication do not outweigh the potential harm.	11
F. Other, less restrictive services are more appropriate.	88
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	8
History of compliance without need for court order.	8
MCFARLAND MHC	83
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	83
D. The benefits of enforced medication do not outweigh the potential harm.	36
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	38
G. Other	9



Refusal Dates from 01/01/2023 to 03/31/2023

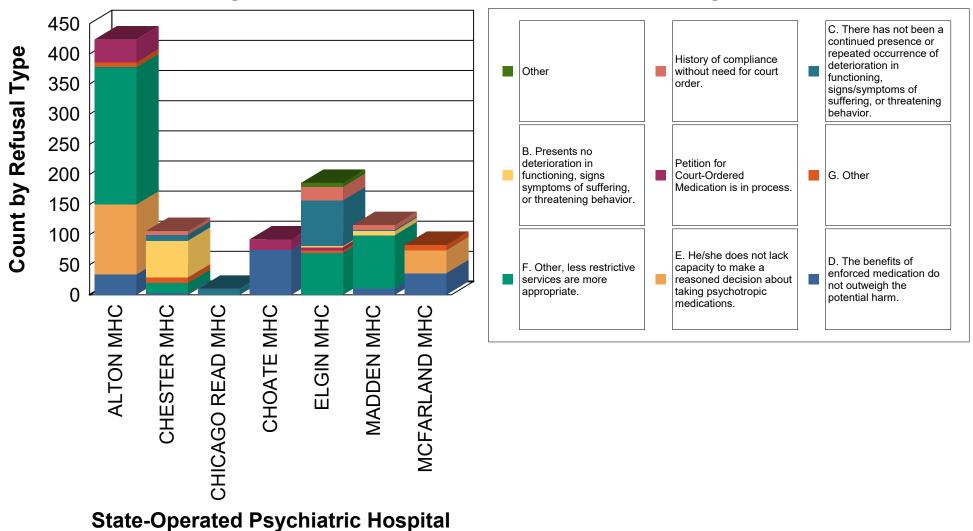
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# **Summary of Medication Refusals by Hospital**





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# ALL REFUSALS

#### **Summary Information**

Total Refusals:	1,202	**Total Undup ID's:	162
Total # of Refusals With Imminent Risk:	2	**Total # of Clients with Imminent Risk:	2
	Total Imminent Risk = N:	1,200	
	**Petitions Filed:	0	
**Petitions Granted:	0	**Petitions Denied:	0
**Petitions 'Other':	0	**Petitions Withdrawn:	0

**\*\*** Unduplicated Counts

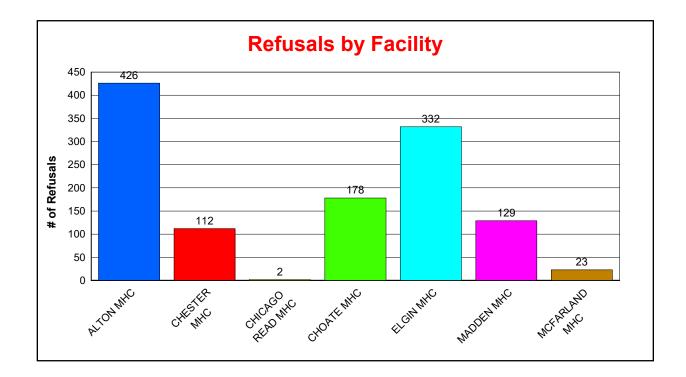


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	То
ALTON MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
A. Does not have a serious mental illness.	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
D. The benefits of enforced medication do not outweigh the potential harm.	
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	
F. Other, less restrictive services are more appropriate.	
3. Yes. Petition for Court-Ordered Medication is in process.	
Petition for Court-Ordered Medication is in process.	
CHESTER MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	
D. The benefits of enforced medication do not outweigh the potential harm.	
F. Other, less restrictive services are more appropriate.	
CHICAGO READ MHC	
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	
F. Other, less restrictive services are more appropriate.	
СНОАТЕ МНС	



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	Total
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	178
D. The benefits of enforced medication do not outweigh the potential harm.	104
F. Other, less restrictive services are more appropriate.	74
ELGIN MHC	332
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	326
A. Does not have a serious mental illness.	18
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	5
C. There has not been a continued presence or repeated occurrence of deterioration in functioning, signs/symptoms of suffering, or t	107
D. The benefits of enforced medication do not outweigh the potential harm.	2
F. Other, less restrictive services are more appropriate.	172
G. Other	22
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	5
History of compliance without need for court order.	5
3. Yes. Petition for Court-Ordered Medication is in process.	1
Petition for Court-Ordered Medication is in process.	1
MADDEN MHC	129
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	126
B. Presents no deterioration in functioning, signs symptoms of suffering, or threatening behavior.	17
D. The benefits of enforced medication do not outweigh the potential harm.	17



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 08/07/2023

 Time:
 7:29AM

	Total
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	1
F. Other, less restrictive services are more appropriate.	91
2. Yes. BUT petition for Court-Ordered Medication was NOT attempted because:	3
History of compliance without need for court order.	3
MCFARLAND MHC	23
1. No. He/she did not meet legal criteria for court enforced medication for the following reason(s):	23
D. The benefits of enforced medication do not outweigh the potential harm.	19
E. He/she does not lack capacity to make a reasoned decision about taking psychotropic medications.	3
G. Other	1



Refusal Dates from 04/01/2023 to 06/30/2023

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ALL REFUSALS

# **Summary of Medication Refusals by Hospital**

